

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year)3			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%)	Net Yield (%)	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%)	Net Yield (%)	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%)	Net Yield (%)
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	-	-	-	-	-	-	-	-	-	-
2	Central Government Bonds	CGSB	3,19,697	6,640	2.08	2.08	3,14,115	13,235	4.21	4.21	2,37,109	11,220	4.73	4.73
3	Central Government Guaranteed Loans / Bonds	CGGL	48,970	880	1.80	1.80	48,635	1,733	3.56	3.56	41,328	1,511	3.66	3.66
4	Treasury Bills	CTRB	2,024	14	0.67	0.67	2,058	26	1.26	1.26	807	26	3.20	3.20
5	State Government Securities	SGGB	52,871	975	1.84	1.84	52,719	1,942	3.68	3.68	44,034	1,852	4.21	4.21
6	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	-	-	-	-	-	-	-	-	-	-	-
7	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	-	-	-	-
8	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	34,518	728	2.11	2.11	34,523	1,453	4.21	4.21	36,534	1,558	4.27	4.27
9	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	-	-	-	-	-	-	-	-	-
10	Infrastructure - PSU - Debentures / Bonds	IFPD	-	-	-	-	-	-	-	-	-	-	-	-
11	Infrastructure - Other Corporate Securities - CPs	ICCP	-	-	-	-	-	-	-	-	-	-	-	-
12	Infrastructure - Other Corporate Securities - Debentures / Bonds	ICTD	20,337	443	2.18	2.18	20,431	883	4.32	4.32	21,578	930	4.31	4.31
13	Infrastructure - Debentures / Bonds / CPs / Loans	IODS	-	-	-	-	-	-	-	-	-	-	-	-
14	Infrastructure - Equity (including unlisted)	IOEQ	-	-	-	-	-	-	-	-	-	-	-	-
15	Infrastructure - Equity (Promoter Group)	IOPE	-	-	-	-	-	-	-	-	-	-	-	-
16	Infrastructure - Equity and Equity Related Instruments (Promoter Group)	IPEG	2,030	363	17.88	17.88	1,826	296	16.22	16.22	4,076	178	4.36	4.36
17	Infrastructure - PSU - Debentures / Bonds	IFPD	79,596	1,516	1.90	1.90	73,389	2,762	3.76	3.76	45,168	1,919	4.25	4.25
18	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	-	-	-	-	1,231	45	3.64	3.64	-	-	-	-
19	Infrastructure - PSU - Equity shares - Quoted	ITPE	342	-	-	-	364	21	5.78	5.78	307	3	1.05	1.05
20	Infrastructure - PSU - CPs	IPCP	-	-	-	-	-	-	-	-	-	-	-	-
21	Infrastructure- LongTerm Bank Bonds Approved Investment	ILBI	2,008	45	2.24	2.24	2,008	89	4.43	4.43	2,011	89	4.43	4.43
22	Corporate Securities - Equity shares (Ordinary) - Quoted	EACE	21,537	1,627	7.55	7.55	23,985	3,700	15.43	15.43	15,768	2,276	14.44	14.44
23	PSU - Equity shares - Quoted	EAEQ	691	254	36.82	36.82	889	317	35.64	35.64	662	1	0.19	0.19
24	Application Money	ECAW	-	-	-	-	-	-	-	-	-	-	-	-
25	Commercial Papers	ECOM	-	-	-	-	-	-	-	-	-	-	-	-
26	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	1,551	50	3.20	3.20	1,759	131	7.47	7.47	2,363	196	8.31	8.31
27	Deposits - Repo / Reverse Repo	ECMR	13,224	106	0.80	0.80	13,561	217	1.60	1.60	1,904	52	1.49	1.49
28	Corporate Securities - Debentures	ECOS	83,871	1,821	2.17	2.17	81,477	3,509	4.31	4.31	69,233	2,913	4.21	4.21
29	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	-	-	-	-	-	-	-	-
30	Corporate Securities - Debentures / Bonds/ CPs / Loan - (Promoter Group)	EDPG	-	-	-	-	-	-	-	-	-	-	-	-
31	Corporate Securities - Bonds - (Taxable)	EBPT	9,559	186	1.95	1.95	9,558	369	3.86	3.86	2,958	127	4.31	4.31
32	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGWF	-	-	-	-	-	-	-	-	-	-	-	-
33	Passively Managed Equity ETF (Non Promoter Group)	EETF	-	-	-	-	-	-	-	-	-	-	-	-
34	Mutual Funds - (under Insurer's Promoter Group)	EMPG	-	-	-	-	-	-	-	-	-	-	-	-
35	Perpetual Debt Instruments of Tier I & II Capital issued by PSU Banks	EUPD	-	-	-	-	-	-	-	-	-	-	-	-
36	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - (PSU Banks)	EAPS	-	-	-	-	-	-	-	-	-	-	-	-
37	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - (PSU Banks)	OAPB	1,037	23	2.21	2.21	1,038	45	4.38	4.38	1,044	46	4.38	4.38
38	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - (Private Banks)	OAPB	-	-	-	-	-	-	-	-	-	-	-	-
39	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
40	Passively Managed Equity ETF (Non Promoter Group)	OETF	1,584	-	-	-	1,753	15	0.83	0.83	134	-	-	-
41	Equity Shares (incl. Co-op Societies)	OESH	14,693	2,070	14.09	14.09	11,614	2,460	21.19	21.19	2,907	119	4.08	4.08
42	Debentures	OLDB	9,500	174	1.83	1.83	9,500	346	3.64	3.64	9,505	347	3.65	3.65
43	Short term Loans (Unsecured Deposits)	OSLU	3,300	119	3.60	3.60	3,300	234	7.09	7.09	4,092	247	6.04	6.04
44	Mutual Funds - Debt / Income / Serial Plans / Liquid Schemes	OMGS	-	-	-	-	-	-	-	-	-	-	-	-
45	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
46	Debt Capital Instruments (DCI Basel III)	ODCI	-	-	-	-	-	-	-	-	-	-	-	-
47	Preference Shares	OPSH	19	-	-	-	19	-	-	-	33	-	-	-
48	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - (Private Banks)	EAPB	39,385	898	2.28	2.28	39,395	1,771	4.50	4.50	39,110	1,778	4.54	4.54
49	Alternate Investment Funds (Category II)	OAFB	2,067	49	2.37	2.37	2,080	52	2.49	2.49	1,612	91	5.64	5.64
50	(d) Investment Property - Immovable	ENP	7,896	79	1.00	1.00	7,397	220	2.98	2.98	7,533	270	3.42	3.42
51	Equity Shares (PSUs & Unlisted)	OEPU	738	146	19.79	19.79	1,268	280	22.08	22.08	94	3	3.24	3.24
52	Bonds - PSU - Taxable	OBPB	-	-	-	-	-	-	-	-	-	-	-	-
53	CCIL - CBLO	ECBO	-	-	-	-	-	-	-	-	-	-	-	-
54	Debentures / Bonds / CPs / Loans etc. - (Promoter Group)	ODPG	3,499	89	2.55	2.55	3,500	180	5.14	5.14	3,501	182	-	-
55	Infrastructure - Other Approved Securities	ISAS	-	-	-	-	-	-	-	-	-	-	-	-
56	Reclassified Approved Investments - Debt (Point 6 under Note for Regulation 4 to 9)	HORD	-	-	-	-	-	-	-	-	2,175	1,209	-	-
56	Units Of Real Estate Investment TRUST (REITs)	ERIT	934	-	-	-	934	-	-	-	-	-	-	-
	TOTAL		7,77,479	19,296	2.48	2.48	7,64,326	36,331	4.75	4.75	5,97,656	26,716	4.47	4.47

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 30-Sep-21

Signature

Mr. Nilesh Kothari

Chief of Finance

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

1 Based on daily simple Average of Investments

2 Yield netted for Tax

3 In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown

4 FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared of Segregated Fund (SFIN) level and also at consolidated level.

5 Group Term Life has been classified under PGA from 1st April 2013

6 Above assets and income includes margin/LIUIDAI Fixed Deposit and its interest. Assets are classified under schedule 11 in financial statement and income under other income.

FORM L-34

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: September 30, 2021

Name of the Fund Pension / General Annuity Fund



#DoTheSmartThing

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Rs Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	-	-	-	-	-	-	-	-	-	-
2	Central Government Guaranteed Loans / Bonds	CGSL	2,567	48	1.88	1.88	2,537	94	3.72	3.72	2,307	87	3.76	3.76
3	Central Government Bonds	CGSB	15,154	273	1.80	1.80	14,504	520	3.59	3.59	12,417	511	4.12	4.12
4	Treasury Bills	CTRB	-	-	-	-	400	0	0.02	0.02	-	-	-	-
5	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	-	-	-	-	-	-	-	-	-	-	-
6	State Government Securities	SGGB	6,149	119	1.93	1.93	6,151	238	3.87	3.87	6,158	241	3.92	3.92
7	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	-	-	-	-
8	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	-	-	-	-	-	-	-	-	-
9	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	6,406	141	2.20	2.20	6,406	278	4.34	4.34	7,018	312	4.45	4.45
10	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	6,864	149	2.17	2.17	7,086	309	4.36	4.36	7,607	333	4.38	4.38
11	Infrastructure - PSU - Debentures / Bonds	IPTD	6,845	131	1.92	1.92	6,776	257	3.80	3.80	5,307	218	4.10	4.10
12	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	-	-	-	-	-	-	-	-	-	-	-	-
13	Infrastructure - PSU - Equity shares - Quoted	ITPE	-	-	-	-	-	-	-	-	-	-	-	-
14	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	74	5	6.32	6.32	125	18	14.47	14.47	208	25	12.00	12.00
15	PSU - Equity shares - Quoted	EAEQ	56	48	85.99	85.99	80	69	85.86	85.86	16	-	-	-
16	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	-	-	-	-
17	Deposits - Deposit with Scheduled Banks, Fls (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	101	5	4.95	4.95	104	10	9.91	9.91	319	33	10.41	10.41
18	Deposits - Repo / Reverse Repo	ECMR	758	6	0.81	0.81	642	10	1.60	1.60	318	5	1.50	1.50
19	Corporate Securities - Debentures	ECOS	2,765	62	2.23	2.23	2,765	123	4.45	4.45	3,194	116	3.63	3.63
20	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	-	-	-	-	-	-	-	-
21	Corporate Securities - Bonds - (Taxable)	EPBT	1,702	33	1.94	1.94	1,702	65	3.84	3.84	472	20	4.21	4.21
22	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	-	-	-	-	-	-	-	-	-	-	-	-
23	Mutual Funds - (under Insurer's Promoter Group)	EMPG	-	-	-	-	-	-	-	-	-	-	-	-
24	Passively Managed Equity ETF (Non Promoter Group)	EETF	-	-	-	-	-	-	-	-	-	-	-	-
25	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
26	Equity Shares (incl. Co-op Societies)	OESH	-	-	-	-	-	-	-	-	-	-	-	-
27	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
28	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	-	-	-	-	-	-	-	-	-	-	-	-
29	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
30	Debentures	OLDB	-	-	-	-	-	-	-	-	-	-	-	-
31	Infrastructure - Debentures / Bonds / CPs / loans	IODS	-	-	-	-	-	-	-	-	-	-	-	-
32	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [Private Banks]	EAPB	3,647	83	2.26	2.26	3,648	163	4.48	4.48	3,652	166	4.53	4.53
33	CCIL - CBLO	ECBO	-	-	-	-	-	-	-	-	-	-	-	-
34	Infrastructure - Equity (Promoter Group)	IEPG	52	11	21.28	21.28	68	13	18.82	18.82	212	8	3.75	3.75
35	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [Psu Banks]	OAPS	-	-	-	-	-	-	-	-	-	-	-	-
36	Application Money	ECAM	-	-	-	-	-	-	-	-	-	-	-	-
	TOTAL		53,142	1,113	2.10	2.10	52,996	2,170	4.10	4.10	49,205	2,075	4.22	4.22

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 30-Sep-21

Signature

Mr. Nilesh Kothari

Chief of Finance

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time¹ Based on daily simple Average of Investments² Yield netted for Tax³ In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown⁴ FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.⁵ Group Term Life has been classified under PGA from 1st April 2013

FORM L-34

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: September 30, 2021

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Name of the Fund: Unit Linked Fund



#DoTheSmartThing

Rs Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year)3			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
A	GOVERNMENT SECURITIES													
	Central Government Bonds	CGSB	11,023	259	2.35	2.35	11,035	405	3.67	3.67	9,022	355	3.93	3.93
	Central Government Guaranteed Loans / Bonds	CGSL	1,312	45	3.46	3.46	1,315	62	4.75	4.75	1,228	71	5.76	5.76
	Treasury Bills	CTRB	6,201	57	0.91	0.91	5,779	102	1.76	1.76	4,377	94	2.15	2.15
B	GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES													
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	681	13	1.91	1.91	681	25	3.64	3.64	681	30	4.33	4.33
	State Government Bonds	SGGB	1,888	25	1.33	1.33	2,252	55	2.46	2.46	3,088	138	4.48	4.48
C	HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT													
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	-	-	-	-	-	-	-	-	-
	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	-	-	-	-
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	1,795	43	2.38	2.38	1,800	70	3.88	3.88	701	42	5.96	5.96
D	INFRASTRUCTURE INVESTMENTS													
	Infrastructure - PSU - Debentures / Bonds	IPTD	3,448	105	3.06	3.06	3,214	129	4.02	4.02	2,697	145	5.37	5.37
	Infrastructure / Social Sector - Other Corporate Securities (approved investments) - Debentures/ Bonds	ICTD	584	10	1.76	1.76	759	21	2.79	2.79	968	51	5.26	5.26
	Infrastructure - Equity (including unlisted)	IOEQ	112	11	10.01	10.01	112	11	10.01	10.01	-	-	-	-
	Infrastructure - Equity and Equity Related Instruments (Promoter Group)	IEPG	2,213	577	26.06	26.06	2,231	619	27.73	27.73	3,033	8	0.27	0.27
	Infrastructure - Equity (Promoter Group)	IOPE	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	1,235	117	9.51	9.51	1,488	153	10.28	10.28	310	59	19.19	19.19
	Infrastructure - PSU - Equity shares - Quoted	ITPE	2,029	113	5.58	5.58	1,953	338	17.29	17.29	1,359	282	20.77	20.77
	Infrastructure - Other Corporate Securities - CPs	ICCP	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - PSU - CPs	IPCP	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - LongTerm Bank Bonds Approved Investment	ILBI	670	13	1.90	1.90	668	26	3.97	3.97	672	37	5.47	5.47
	Infrastructure - Other Approved Securities	ISAS	-	-	-	-	-	-	-	-	-	-	-	-
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS													
	PSU - Equity shares - Quoted	EAEQ	1,469	330	22.46	22.46	1,263	449	35.53	35.53	1,608	87	5.43	5.43
	Application Money	ECAM	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Equity shares (Ordinary) - Quoted	EACE	95,372	11,639	12.20	12.20	89,472	19,444	21.73	21.73	59,754	18,679	31.26	31.26
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [Private Banks]	EAPB	175	3	1.49	1.49	176	5	3.00	3.00	179	12	6.45	6.45
	Corporate Securities - Bonds - (Taxable)	EPBT	1,316	26	1.97	1.97	1,319	48	3.66	3.66	1,096	85	7.78	7.78
	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting investment), CCIL, RBI	ECDB	-	-	-	-	-	-	-	-	-	-	-	-
	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	-	-	-	-	-	-	-	-
	Deposits - Repo / Reverse Repo	ECWR	6,397	51	0.80	0.80	6,375	102	1.59	1.59	4,353	121	2.79	2.79
	Corporate Securities - Debentures	ECOS	1,073	20	1.85	1.85	967	36	3.76	3.76	1,323	64	4.81	4.81
	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	248	3	1.30	1.30
	Passively Managed Equity ETF (Non Promoter Group)	EETF	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Gilt / G Sec / Liquid Schemes	ECGMF	-	-	-	-	-	-	-	164	7	4.25	4.25	
	CCIL - CBLO	ECBO	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	-	-	-	-	-	-	-	-	-	-	-	-
	Net Current Assets (Only in respect of ULIP Business)	ENCA	-	-	-	-	-	-	-	-	-	-	-	-
F	OTHER INVESTMENTS													
	Equity Shares (incl Co-op Societies)	OESH	6,073	505	8.31	8.31	6,267	1,146	18.29	18.29	3,694	830	22.47	22.47
	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Debt / Income / Serial Plans / Liquid Schemes	OMGS	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
	Preference Shares	OPSH	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (PSUs & Unlisted)	OEPU	1,828	164	9.00	9.00	1,899	498	26.23	26.23	-	-	-	-
	Passively Managed Equity ETF (Non Promoter Group)	OETF	11,663	1,015	8.70	8.70	10,476	1,468	14.01	14.01	-	-	-	-
	Debentures	OLDB	-	-	-	-	-	-	-	-	-	-	-	-
	TOTAL		1,58,558	15,119	9.54	9.54	1,51,500	25,190	16.63	16.63	1,00,556	21,184	21.07	21.07

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 30-Sep-21

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

1 Based on daily simple Average of Investments

2 Yield netted for Tax

3 In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown

4 FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.

Signature:
Mr. Nilesh Kothari
Chief of Finance