Form 'L-26 - INVESTMENT ASSETS (LIFE INSURERS) - 3A											
Company Name & Code:	Bharti Axa Life In:	surance Co. Ltd.	(0130)					PART - A		Ы	harti AA
Statement as on :	September 30, 20		()							#DoThe	SmartThing
Statement of Investment Assets (Life Insurers) (Business withi	n India)										
Periodicity of Submission : Quarterly	· ·										
				Reconciliation of Investment Assets							10,25,
No PARTICULARS	SCH	Amount		Total Investment Assets (as per Balanc	e Sheet)						Investment Ass
1 ** 'Investments (Sharehoders)	8	62,139		Balance Sheet Value of:							
** 'Investments (Policyholders)	8A	7,95,666		A. Life Fund							8,05,
Investments (Linked Liabilities)	8B	1,67,770		B. Pension & Gen Annuity Fund							53
2 Loans	9	2,882		C. Unit Linked Funds							1,67
3 Fixed Assets	10	2,377									10,27
4 Current Assets											
a. Cash & Bank Balance b. Advances & Other Assets	11 12	5,241									
5 Current Liabilities	12	50,695									
a. Current Liabilities	13	34.745									
b. Provisions	13	34,745 5,793									
c. Misc. Exp not Written Off	14	5,793									
d. Debit Balance of P&L A/c	15	3,03,883									
Application of Funds as per Balance Sheet (A)		7,42,348									
Application of runds as per balance sheet (A)		7,42,540									
Less: Other Assets	SCH	Amount									
1 Loans (if any)	9	2,882									
2 Fixed Assets (if any)	10	2,377									
3 Cash & Bank Balance (if any)	11	5.241									
4 Advances & Other Assets (if any)	12	50,695									
5 Current Liabilities	13	34,745									
6 Provisions	14	5,793									
7 Misc. Exp not Written Off	15	0									
8 Investments held outside India											
9 Debit Balance of P&L A/c		3,03,883									
	TOTAL (B)	-2,83,226									
Investment Assets	s (A-B)	10,25,574									
Section II											
											Rs.Lakhs
- LINKED BUSINESS			SH	PH	PAR		Book Value (SH+PH)				
- LINKED BUSINESS	% as per Reg	Balance (a)	SH FRSM* (b)	PH UL-Non Unit Res (c)	PAR (d)	NON PAR (e)	Book Value (SH+PH) F=[b+c+d+e]	Actual %	FVC Amount	Total Fund **	
LINKED BUSINESS	% as per Reg Not Less than 25%	Balance	FRSM*	UL-Non Unit Res			(SH+PH)	Actual % 39	FVC Amount		Market Val
- LINKED BUSINESS	Not Less than 25%	Balance (a)	FRSM⁺ (b)	UL-Non Unit Res (c)	(d)	(e)	(SH+PH) F=[b+c+d+e]			Total Fund * *	Market Val
LINKED BUSINESS Central Govt. Sec Central Govt. Sec Central Govt. Sec, State Govt. Sec or Other Approved Securities (incl () a bove) Investment subject to Exposure Norms	Not Less than 25% Not Less than	Balance (a)	FRSM* (b) 21,454	UL-Non Unit Res (c) 1,344	(d) 2,03,728	(e) 87,631	(SH+PH) F=[b+c+d+e] 3,14,158	39		Total Fund ** 3,14,158	Market Val
LINKED BUSINESS Central Goxt. Sec Central Goxt. Sec Central Goxt. Sec, State Goxt Sec or Other Approved Securities (incl (i) above) Investment subject to Exposure Norms a. Wrastructure/ Social/ Housing Sector	Not Less than 25% Not Less than 50%	Balance (a)	FRSM* (b) 21,454 33,732	UL-Non Unit Res (c) 1.344 1,897	(d) 2,03,728 2,61,863	(e) 87,631 1,18,483	(SH+PH) F=[b+c+d+e] 3,14,158 4,15,975	39 52	- - -	Total Fund ** 3,14,158 4,15,975	Market Val 3,11 4,2
LINKED BUSINESS Central Govt. Sec Central Govt. Sec Central Govt. Sec, State Govt. Sec or Other Approved Securities (incl (i) above) Investment subject to Exposure Norms a. Infrastructure/ Social/ Housing Sector 1. Approved Investments 2. Other Investments	Not Less than 25% Not Less than 50%	Balance (a)	FRSM* (b) 21,454 33,732 13,279	UL-Non Unit Res (c) 1.344 1,897	(d) 2,03,728 2,61,863 91,586	(e) 87,631 1,18,483 49,136	(SH+PH) F=[b+c+d+e] 3,14,158 4,15,975 - - - - - -	39 52 <u>19</u> 0		Total Fund ** 3,14,158 4,15,975 1.54,526	Market Valu 3,16 4,21
LINKED BUSINESS FE FUND Central Govt. Sec Central Govt. Sec Central Govt. Sec, State Govt. Sec or Other Approved Securities (incl (i) above) Investment subject to Exposure Norms A: horteved Investments 2. Other Investments b. (i) Approved Investments	Not Less than 25% Not Less than 50% Not Less than 15% Not exceeding	Balance (a)	FRSM* (b) 21,454 33,732 13,279 13,279	UL-Non Unit Res (c) 1,344 1,897 	(d) 2,03,728 2,61,863 91,586 - 1,26,268	(e) 87,631 1,18,483 - - - 57,616	(SH+PH) F=[b+c+d+e] 3,14,158 4,15,975 - 1,54,191 - 1,96,089	39 52 19	- - - - - 335 - - 3,721	Total Fund ** 3,14,158 4,15,975 1,54,526 1,99,810	Market Valu 3,16 4,21 1,64 2,09
LINKED BUSINESS Central Govt. Sec Central Govt. Sec Central Govt. Sec Central Govt. Sec or Other Approved Securities (incl () above) Investment subject to Exposure Norms a. Infrastructure/Social Housing Sector 1. Approved Investments 2. Other Investments b. (i) Approved Investments b. (ii) Approved Investments b. (ii) Approved Investments b. (iii) Approved Investments	Not Less than 25% Not Less than 50% Not Less than 15% Not exceeding 35%	Balance (a)	FRSM* (b) 21,454 33,732 13,279 11,770 3,411	UL-Non Unit Ros (c) 1.344 1,897 	(d) 2,03,728 2,61,863 91,586 - 1,26,268 22,892	(e) 87,631 1,18,483 49,136 57,616 7,837	(SH+PH) F=[b+c+d+e] 3,14,158 4,15,975 1,54,191 1,56,089 34,145	39 52 19 0 24 4	- - - - - - - - - - - - - - - - - - -	Total Fund ** 3,14,158 4,15,975 1,54,526 1,99,810 35,254	Market Vale 3,16 4,2' 1,6- 2,05 34
LINKED BUSINESS Central Govt. Sec Central Govt. Sec Central Govt. Sec, State Govt. Sec or Other Approved Securities (incl (i) above) Investment subject to Exposure Norms a. Wrinstructure/Social Housing Sector 1. Approved Investments 2. Other Investments b. (i) Approved Investments	Not Less than 25% Not Less than 50% Not Less than 15% Not exceeding	Balance (a) - - -	FRSM* (b) 21,454 33,732 13,279 13,279	UL-Non Unit Res (c) 1.344 1,897 	(d) 2,03,728 2,61,863 91,586 - 1,26,268	(e) 87,631 1,18,483 - - - 57,616	(SH+PH) F=[b+c+d+e] 3,14,158 4,15,975 - 1,54,191 - 1,96,089	39 52 <u>19</u> 0	- - - - - 335 - - 3,721	Total Fund ** 3.14,158 4,15,975 1,54,526 1,99,810 35,254 8,05,566	Market Vale 3,16 4,2' 1,6- 2,05 34
LINKED BUSINESS Central Gox. Sec Central Gox. Sec Central Gox. Sec, State Gox Sec or Other Approved Securities (incl (i) above Investment subject to Exposure Norms a. Wrinstructure/Social Housing Sector 1. Approved Investments b. () Approved Investments b. () Approved Investments ii) "Other Investments" not to exceed 15%, TOTAL LIFE FUND	Not Less than 25% Not Less than 50% Not Less than 15% Not exceeding 35%	Balance (a)	FRSM* (b) 21,454 33,732 13,279 11,770 3,411	UL-Non Unit Res (c) 1,344 1,897 	(d) 2,03,728 2,61,863 91,586 - 1,26,268 22,892 5,02,610	(e) 87,631 1,18,483 - - 57,616 7,837 2,33,073	(SH+PH) F=[b+c+d+e] 3,14,158 4,15,975 1,54,191 1,56,089 34,145	39 52 19 0 24 4	- - - - - - - - - - - - - - - - - - -	Total Fund ** 3.14,158 4,15,975 1,54,526 1,99,810 35,254 8,05,566	Market Val 3,11 4,2 1,6 2,00 3 8,2 Rs.Lakhs
LINKED BUSINESS Central Gox. Sec Central Gox. Sec Central Gox. Sec, State Gox Sec, or Other Approved Securities (incl (i) above) Investment subject to Exposure Norms a. Wrinstructure/Social/Housing Sector 1. Approved Investments b. () Approved Investments b. () Approved Investments ii) "Other Investments" not to exceed 15%. TOTAL LIFE FUND NOTAL LIFE FUND	Not Less than 25% Not Less than 50% Not Less than 15% Not exceeding 35%	Balance (a)	FRSM* (b) 21,454 33,732 13,279 11,770 3,411 62,191 % as per Reg	UL-Non Unit Res (c) 1,344 1,897 - - - - - - - - - - - - - - - - - - -	(d) 2,03,728 2,61,863 91,586 - 1,26,268 22,892 5,02,610 PAR	(e) 87,631 1,18,483 49,136 - 57,616 7,837 2,33,073 PH NON PAR	(SH+PH) F=[b+c+d+e] 3,14,158 4,15,975 	39 52 19 0 24 4 100.00 Actual %		Total Fund ** 3,14,158 4,15,975 1,54,526 1,99,810 35,254 8,05,566 Total Fund **	Market Valu 3,10 4,2° 1,6¢ 2,00 34 8,20 <i>Rs.Lakhs</i> Market Valu
LINKED BUSINESS FE FUND Central Goxt. Sec Central Goxt. Sec Central Goxt. Sec, State Goxt Sec or Other Approved Securities (incl (i) above) Investment subject to Exposure Norms a. Wrfastructure/ Social Housing Sector 1. Approved Investments 2. Other Investments b. (i) Approved Investments ii) "Other Investments" not to exceed 15% TOTAL LIFE FUND ENSION AND GENERAL ANNUITY FUND* Central Goxt. Sec	Not Less than 25% Not Less than 50% Not Less than 15% Not exceeding 35% 100%	Balance (a)	FRSM* (b) 21,454 33,732 13,279 11,770 3,411 62,191 % as per Reg Not Less than 20	UL-Non Unit Res (c) 1,344 1,897 	(d) 2,03,728 2,61,863 91,586 - 1,26,268 22,892 5,02,610 PAR 358	(e) 87,631 1,18,483 49,136 - 57,616 7,837 2,33,073 PH NON PAR 14,807	(SH+PH) F=[b+c+d+e] 3,14,158 4,15,975 1,54,191 1,54,191 1,96,089 34,145 8,00,400 Book Value 15,165	39 52 19 0 24 4 100.00 Actual % 28		Total Fund ** 3,14,158 4,15,975 1,54,526 1,54,526 1,59,810 38,254 8,05,566 Total Fund ** 15,165	Market Vali 3,14 4,21 2,00 3,4 8,2 8,2 8,2 8,2 8,2 8,2 8,2 8,2 8,2 8,2
LINKED BUSINESS FE FUND Central Goxt. Sec Locold For the second Processor Porms Prestructure/ Social Housing Sector Locold Housing Sector	Not Less than 25% Not Less than 50% Not Less than 15% Not exceeding 35% 100%	Balance (a)	FRSM* (b) 21,454 33,732 13,279 11,770 3,411 62,191 % as per Reg	UL-Non Unit Res (c) 1,344 1,897 	(d) 2,03,728 2,61,863 91,586 - 1,26,268 22,892 5,02,610 PAR	(e) 87,631 1,18,483 49,136 - 57,616 7,837 2,33,073 PH NON PAR	(SH+PH) F=[b+c+d+e] 3,14,158 4,15,975 	39 52 19 0 24 4 100.00 Actual %		Total Fund ** 3,14,158 4,15,975 1,54,526 1,99,810 35,254 8,05,566 Total Fund **	Market Valt 3,16 4,21 2,06 2,06 3,4 8,22 Rs.Lakhs Market Valt 15
LINKED BUSINESS FE FUND Central Goxt. Sec Central Goxt. Sec Central Goxt. Sec Central Goxt Sec, State Goxt Sec or Other Approved Securities (incl (i) above) Investment subject to Exposure Norms A. Verastructure/Social/Housing Sector J. Approved Investments D. (i) Approved Investments J. (i) Other Investments' not to exceed 15% TOTAL LIFE FUND ENSION AND GENERAL ANNUITY FUND' Central Goxt. Sec. State Goxt Sec or Other Approved Securities (incl Central Goxt. Sec. State Goxt Sec or Other Approved Securities (incl Central Goxt. Sec. State Goxt Sec or Other Approved Securities (incl	Not Less than 25% Not Less than 50% Not Less than 15% Not exceeding 35% 100%	Balance (a)	FRSM* (b) 21,454 33,732 13,279 11,770 3,411 62,191 % as per Reg Not Less than 20	UL-Non Unit Res (c) 1,344 1,897 	(d) 2,03,728 2,61,863 91,586 - 1,26,268 22,892 5,02,610 PAR 358	(e) 87,631 1,18,483 49,136 - 57,616 7,837 2,33,073 PH NON PAR 14,807	(SH+PH) F=[b+c+d+e] 3,14,158 4,15,975 1,54,191 1,54,191 1,96,089 34,145 8,00,400 Book Value 15,165	39 52 19 0 24 4 100.00 Actual % 28		Total Fund ** 3,14,158 4,15,975 1,54,526 1,54,526 1,59,810 38,254 8,05,566 Total Fund ** 15,165	Market Valu 3,16 4,21 1,64 2,00 33 8,25

LINKED BUSINESS							Rs.Lakhs
C. LINKED FUNDS	% as per Reg		PH		Actual %	Market Value	
			PAR	NON PAR	Total Fund	A data in	market value
1	Approved Investment	Not Less than 75%		1,45,544	1,45,544	87	1,45,544
2	Other Investments	Not More than 25%		22,226	22,226	13	22,226
	TOTAL LINKED INSURANCE FUND	100%	-	1,67,770	1,67,770	100	1,67,770

CERTIFICATION: Certified that the information given here in are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 30-Sep-21

Note: (+) FRSM refers to 'Funds representing Solvency Margin' Funds beyond Solvency Margin shall have a separate Custody Account. Other Investments' are as permitted under Secction 27A(2) of Insurance Act, 1938 Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds. Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate Custody Account * Group Term Life has been classified under PGA from 1st April 2013

Signature: Mr. Nilesh Kothari Chief of Finance