

## Form L-35 - Downgrading of Investments

Name of the Insurer: Bharti AXA Life Insurance Company Limited

Registration Number: 130

Statement as on: June 30 2021

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Name of Fund \_\_\_\_\_ Life Fund \_\_\_\_\_



#DoTheSmartThing

Rs Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u> <sup>1</sup>								
NIL									
B.	<u>As on Date</u> <sup>2</sup>								
	7.59% PNB HOUSING DB 27-07-2022	HTDN	188	14-Jan-19	FITCH	AA+	AA	06-Mar-20	
	9.02% TATA MOTORS DB 10-12-2021	OLDB	1000	15-Dec-14	ICRA	CARE AA+	ICRA AA-	05-Aug-19	
	8.85% RELIANCE CAP DB 02-11-2026	OLDB	2000	02-Dec-16	CARE	CARE AAA	CARE D	20-Sep-19	
	9.00% RELIANCE CAP DB 09-09-2026	OLDB	1500	09-Sep-16	CARE	CARE AAA	CARE D	20-Sep-19	
	9.55% HINDALCO DB 27-06-2022	ECOS	30	04-Oct-12	CRISIL	CRISIL AA+	CRISIL AA	26-Jun-14	
	8.15% TATA STEEL DB 01-10-2026	ECOS	3000	21-Nov-16	CARE	CARE AA+	CARE AA	18-Jan-17	
	9.50% UNION BK DB 15-09-2116	OAPS	1038	02-Dec-16	CARE	CARE AA	CARE AA-	09-Oct-17	
	9.36% IDFC BANK LTD PI 21-08-2024	ILBI	500	25-Aug-14	ICRA	FITCH AAA	ICRA AA	21-May-19	
	9.38% IDFC BANK LTD PI 12-09-2024	ILBI	512	15-Jan-16	ICRA	FITCH AAA	ICRA AA	21-May-19	
	8.85% INDIABULLS HOUSING FINANCE LTD. DB 26-09-2026	HTDN	2386	30-Sep-16	CARE	CARE AA+	CARE AA	15-Feb-20	
	9.30% INDIABULLS HOUSING FINANCE LTD. DB 11-04-2026	HTDN	1500	12-Apr-16	CARE	CARE AA+	CARE AA	15-Feb-20	
	9.30% INDIABULLS HOUSING FINANCE LTD. DB 20-11-2025	HTDN	1000	03-Jan-17	CARE	CARE AA+	CARE AA	15-Feb-20	
	9.00% INDIABULLS HOUSING FINANCE LTD. DB 26-09-2026	HTDN	1000	18-Oct-16	CARE	CARE AA+	CARE AA	15-Feb-20	
	9.30% INDIABULLS HOUSING FINANCE LTD. DB 29-04-2026	HTDN	1500	29-Apr-16	CARE	CARE AA+	CARE AA	15-Feb-20	

**CERTIFICATION**

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 30-Jun-21

Signature \_\_\_\_\_

Mr. Nilesh Kothari

Chief of Finance

**Note:**

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investment (COI) shall be as per INV/GLN/001/2003-04

## Form L-35 - Downgrading of Investments

Name of the Insurer: Bharti AXA Life Insurance Company Limited

Registration Number: 130

Statement as on: June 30 2021

Statement of Down Graded Investments

Periodicity of Submission: Quarterly



#DoTheSmartThing

Name of Fund Pension - General Annuity Fund

Rs Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u> <sup>1</sup>								
NIL									
B.	<u>As on Date</u> <sup>2</sup>								
	8.85% INDIABULLS HOUSING FINANCE LTD. DB 26-09-2026	HTDN	2665	29-Sep-16	CARE	CARE AA+	CARE AA	15-Feb-20	
	9.00% INDIABULLS HOUSING FINANCE LTD. DB 26-09-2026	HTDN	1000	18-Oct-16	CARE	CARE AA+	CARE AA	15-Feb-20	

**CERTIFICATION**

*Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.*

Date: 30-Jun-21

Signature \_\_\_\_\_

Mr. Nilesh Kothari

Chief of Finance

**Note:**

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04

**Form L-35 - Downgrading of Investments**

Name of the Insurer: Bharti AXA Life Insurance Company Limited

Registration Number: 130

Statement as on: June 30 2021

Statement of Down Graded Investments

Periodicity of Submission: Quarterly



Name of Fund \_\_\_\_\_ Linked Fund \_\_\_\_\_

Rs Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u> <sup>1</sup>								
<b>NIL</b>									
B.	<u>As on Date</u> <sup>2</sup>								
	9.55% HINDALCO DB 27-06-2022	ECOS	585	04-Oct-12	CRISIL	AA+	AA	26-Jun-14	
	7.59% PNB HOUSING DB 27-07-2022	HTDN	319	27-Jul-17	FITCH	AAA	AA	06-Mar-20	

**CERTIFICATION**

*Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.*

Signature \_\_\_\_\_

Mr. Nilesh Kothari

Chief of Finance

Date: 30-Jun-21

**Note:**

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04