

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ²	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	-	-	-	-	-	-	-	-	-	-
2	Central Government Bonds	CCSB	2,43,639	5,538	2.27	2.27	2,37,109	11,220	4.73	4.73	1,715	92	5.38	5.38
3	Central Government Guaranteed Loans / Bonds	CCSL	44,642	823	1.84	1.84	41,328	1,511	3.66	3.66	16	1	3.97	3.97
4	Treasury Bills	CTRB	367	3	0.74	0.74	807	26	3.20	3.20	18	0	0.46	0.46
5	State Government Securities	SGBB	42,923	810	1.89	1.89	44,034	1,852	4.21	4.21	547	24	4.47	4.47
6	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	-	-	-	-	-	-	-	-	-	-	-
7	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	-	-	-	-
8	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	40,495	844	2.08	2.08	36,534	1,558	4.27	4.27	264	12	4.54	4.54
9	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	-	-	-	-	-	-	-	-	-
10	Infrastructure - PSU - Debentures / Bonds	IPFD	-	-	-	-	-	-	-	-	-	-	-	-
11	Infrastructure - Other Corporate Securities - CPs	ICCP	-	-	-	-	-	-	-	-	-	-	-	-
12	Infrastructure - Other Corporate Securities - Debentures / Bonds	ICTD	21,583	469	2.17	2.17	21,578	930	4.31	4.31	344	15	4.29	4.29
13	Infrastructure - Debentures / Bonds / CPs / Loans	IDDS	-	-	-	-	-	-	-	-	-	-	-	-
14	Infrastructure - Equity (Including unlisted)	IOEQ	-	-	-	-	-	-	-	-	-	-	-	-
15	Infrastructure - Equity (Promoter Group)	IOPE	-	-	-	-	-	-	-	-	-	-	-	-
16	Infrastructure - Equity and Equity Related Instruments (Promoter Group)	IPEG	4,565	99	2.17	2.17	4,076	178	4.36	4.36	1	0	7.85	7.85
17	Infrastructure - PSU - Debentures / Bonds	IPTD	47,317	1,093	2.31	2.31	45,168	1,919	4.25	4.25	187	9	5.08	5.08
18	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	91	-	-	-	76	-	-	-	1	0	3.71	3.71
19	Infrastructure - PSU - Equity shares - Quoted	ITPE	305	5	1.70	1.70	307	3	1.05	1.05	2	0	3.05	3.05
20	Infrastructure - PSU - CPs	IPCP	-	-	-	-	-	-	-	-	0	0	0.03	0.03
21	Infrastructure- LongTerm Bank Bonds Approved Investment	ILBI	2,011	45	2.24	2.24	2,011	89	4.43	4.43	20	1	4.43	4.43
22	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	20,341	2,024	9.95	9.95	15,768	2,276	14.44	14.44	113	2	1.95	1.95
23	PSU - Equity shares - Quoted	EAEQ	704	1	0.18	0.18	662	1	0.19	0.19	6	1	8.25	8.25
24	Application Money	ECAM	-	-	-	-	-	-	-	-	-	-	-	-
25	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	-	-	-	-
26	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECCB	2,345	100	5.59	5.59	2,363	196	10.94	10.94	26	2	8.27	8.27
27	Deposits - Repo / Reverse Repo	ECMR	5,395	43	0.79	0.79	5,917	88	1.49	1.49	19	1	-	-
28	Corporate Securities - Debentures	ECOS	69,399	1,527	2.20	2.20	69,233	2,913	4.21	4.21	461	19	4.16	4.16
29	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	-	-	-	-	-	-	-	-
30	Corporate Securities - Debentures / Bonds/ CPs / Loan - (Promoter Group)	EDPG	-	-	-	-	-	-	-	-	35	1	1.95	1.95
31	Corporate Securities - Bonds - (Taxable)	EPBT	3,312	70	2.12	2.12	2,958	127	4.31	4.31	47	2	4.65	4.65
32	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	0	-	-	-	0	-	-	-	0	-	-	-
33	Passively Managed Equity ETF (Non Promoter Group)	EETF	-	-	-	-	-	-	-	-	-	-	-	-
34	Mutual Funds - (under Insurer's Promoter Group)	EMPG	-	-	-	-	-	-	-	-	-	-	-	-
35	Perpetual Debt Instruments of Tier I & II Capital issued by PSU Banks	EUPD	-	-	-	-	-	-	-	-	-	-	-	-
36	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [Psu Banks]	EAPS	-	-	-	-	-	-	-	-	-	-	-	-
37	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [Psu Banks]	OAPS	1,043	23	2.21	2.21	1,044	46	4.38	4.38	24	1	4.38	4.38
38	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [Private Banks]	OAPB	-	-	-	-	-	0	-	-	75	3	3.88	3.88
39	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
40	Passively Managed Equity ETF (Non Promoter Group)	OETF	141	-	-	-	134	-	-	-	13	0	2.90	2.90
41	Equity Shares (incl Co-op Societies)	OESH	4,112	109	2.66	2.66	2,907	119	4.08	4.08	15	1	4.45	4.45
42	Debentures	OLDB	9,506	175	1.84	1.84	9,505	347	3.65	3.65	107	3	3.04	3.04
43	Short term Loans (Unsecured Deposits)	OSLU	3,887	121	3.12	3.12	4,092	247	6.04	6.04	35	2	5.87	5.87
44	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	-	-	-	-	-	-	-	-	-	-	-	-
45	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
46	Debt Capital Instruments (DCI Basel III)	ODCI	-	-	-	-	-	-	-	-	-	-	-	-
47	Preference Shares	OPSH	34	-	-	-	33	-	-	-	1	-	-	-
48	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [Private Banks]	EAPB	39,394	906	2.30	2.30	39,110	1,778	4.54	4.54	343	16	4.56	4.56
49	Alternate Investment Funds (Category II)	OAFB	1,616	46	2.87	2.87	1,612	91	5.64	5.64	11	0	4.46	4.46
50	(d) Investment Property - Immovable	ENIP	7,896	131	1.66	1.66	7,896	270	3.42	3.42	79	3	4.34	4.34
51	Equity Shares (PSUs & Unlisted)	OEPU	118	3	2.60	2.60	94	3	3.24	3.24	4	1	16.80	16.80
52	Bonds - PSU - Taxable	OBPT	-	-	-	-	-	-	-	-	25	-	-	-
53	CCIL - CBLO	ECBO	-	-	-	-	-	-	-	-	-	-	-	-
54	Debentures / Bonds / CPs / Loans etc. - (Promoter Group)	ODPG	3,500	91	2.60	2.60	3,501	182	5.19	5.19	35	1	-	-
55	Infrastructure - Other Approved Securities	ISAS	-	-	-	-	-	-	-	-	-	-	-	-
56	Reclassified Approved Investments - Debt (Point 6 under Note for Regulation 4 to 9)	HORD	787	122	15.49	15.49	2,175	1,209	55.57	55.57	-	-	-	-
TOTAL			6,21,469	14,966	2.41	2.41	6,02,032	26,753	4.44	4.44	4,589	213	4.64	4.64

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 30-Sep-20

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

¹ Based on daily simple Average of Investments

² Yield netted for Tax

³ In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown

⁴ FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.

⁵ Group Term Life has been classified under PGA from 1st April 2013

Signature

Mr. Nilesh Kothari

Chief of Finance

FORM L-34

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: September 30, 2020

Name of the Fund Pension / General Annuity Fund

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Rs Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	-	-	-	-	-	-	-	-	-	-
2	Central Government Guaranteed Loans / Bonds	CGSL	2,307	44	1.90	1.90	2,307	87	3.76	3.76	-	-	-	-
3	Central Government Bonds	CGSB	12,101	221	1.82	1.82	12,417	511	4.12	4.12	12,582	762	6.06	6.06
4	Treasury Bills	CTRB	-	-	-	-	-	-	-	-	-	-	-	-
5	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	-	-	-	-	-	-	-	-	-	-	-
6	State Government Securities	SGGB	6,157	121	1.96	1.96	6,158	241	3.92	3.92	7,697	412	5.36	5.36
7	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	-	-	-	-
8	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	-	-	-	-	-	-	-	-	-
9	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	7,050	159	2.26	2.26	7,018	312	4.45	4.45	5,289	271	5.13	5.13
10	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	7,608	167	2.19	2.19	7,607	333	4.38	4.38	10,120	438	4.33	4.33
11	Infrastructure - PSU - Debentures / Bonds	IPTD	5,319	110	2.08	2.08	5,307	218	4.10	4.10	3,132	136	4.34	4.34
12	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	-	-	-	-	-	-	-	-	-	-	-	-
13	Infrastructure - PSU - Equity shares - Quoted	ITPE	-	-	-	-	-	-	-	-	-	-	-	-
14	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	201	21	10.70	10.70	208	25	12.00	12.00	140	13	9.05	9.05
15	PSU - Equity shares - Quoted	EAEQ	16	-	-	-	16	-	-	-	7	-	-	-
16	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	-	-	-	-
17	Deposits - Deposit with Scheduled Banks, Fls (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	300	17	5.66	5.66	319	33	10.41	10.41	486	43	8.88	8.88
18	Deposits - Repo / Reverse Repo	ECMR	371	3	0.77	0.77	318	5	1.50	1.50	-	-	-	-
19	Corporate Securities - Debentures	ECOS	2,968	67	2.25	2.25	3,194	116	3.63	3.63	2,449	117	4.76	4.76
20	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	-	-	-	-	-	-	-	-
21	Corporate Securities - Bonds - (Taxable)	EPBT	495	10	2.10	2.10	472	20	4.21	4.21	1,609	75	4.68	4.68
22	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	-	-	-	-	-	-	-	-	-	-	-	-
23	Mutual Funds - (under Insurer's Promoter Group)	EMPG	-	-	-	-	-	-	-	-	-	-	-	-
24	Passively Managed Equity ETF (Non Promoter Group)	EETF	0	-	-	-	0	-	-	-	0	-	-	-
25	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
26	Equity Shares (incl Co-op Societies)	OESH	-	-	-	-	-	-	-	-	-	-	-	-
27	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
28	Mutual Funds - Debt / Income / Serial Plans / Liquid Schemes	OMGS	-	-	-	-	-	-	-	-	-	-	-	-
29	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
30	Debentures	OLDB	-	-	-	-	-	-	-	-	-	-	-	-
31	Infrastructure - Debentures / Bonds / CPs / loans	IODS	-	-	-	-	-	-	-	-	-	-	-	-
32	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [Private Banks]	EAPB	3,652	83	2.29	2.29	3,652	166	4.53	4.53	5,350	247	4.61	4.61
33	CCIL - CBLO	ECBO	-	-	-	-	-	-	-	-	243	7	-	-
34	Infrastructure - Equity (Promoter Group)	IEPG	203	5	2.46	2.46	212	8	3.75	3.75	5	1	12.14	12.14
35	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [Psu Banks]	OAPS	-	-	-	-	-	-	-	-	-	-	-	-
36	Application Money	ECAM	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL			48,746	1,028	2.11	2.11	49,205	2,075	4.22	4.22	49,110	2,522	5.14	5.14

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 30-Sep-20

Signature _____

Mr. Nilesh Kothari

Chief of Finance

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time¹ Based on daily simple Average of Investments² Yield netted for Tax³ In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown⁴ FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.⁵ Group Term Life has been classified under PGA from 1st April 2013

FORM L-34

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: September 30, 2020

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Name of the Fund:- Unit Linked Fund



No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
A	GOVERNMENT SECURITIES													
	Central Government Bonds	CGSB	9,722	17	0.17	0.17	9,022	355	3.93	3.93	7,397	461	6.23	6.23
	Central Government Guaranteed Loans / Bonds	CGSL	1,338	6	0.47	0.47	1,228	71	5.76	5.76	-	-	-	-
	Treasury Bills	CTRB	4,743	45	0.95	0.95	4,377	94	2.15	2.15	2,786	88	3.17	3.17
B	GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES													
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	682	4	0.53	0.53	681	30	4.33	4.33	643	44	6.87	6.87
	State Government Bonds	SGGB	3,087	31	1.01	1.01	3,088	138	4.48	4.48	3,130	169	5.40	5.40
C	HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT													
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	-	-	-	-	-	451	17	3.85	3.85
	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	-	-	-	-
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	809	13	1.66	1.66	701	42	5.96	5.96	144	11	7.82	7.82
D	INFRASTRUCTURE INVESTMENTS													
	Infrastructure - PSU - Debentures / Bonds	IPTD	2,540	24	0.95	0.95	2,697	145	5.37	5.37	1,455	89	6.12	6.12
	Infrastructure/ Social Sector - Other Corporate Securities (approved investments) - Debentures/ Bonds	ICTD	971	13	1.30	1.30	968	51	5.26	5.26	852	45	5.32	5.32
	Infrastructure - Equity (including unlisted)	IOEQ	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Equity and Equity Related Instruments (Promoter Group)	IEPG	2,922	750	25.68	25.68	3,033	8	0.27	0.27	719	114	15.86	15.86
	Infrastructure - Equity (Promoter Group)	IOPE	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	374	9	2.45	2.45	310	59	19.19	19.19	592	66	11.07	11.07
	Infrastructure - PSU - Equity shares - Quoted	ITPE	1,421	63	4.46	4.46	1,359	282	20.77	20.77	774	48	6.25	6.25
	Infrastructure - Other Corporate Securities - CPs	ICCP	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - PSU - CPs	IPCP	-	-	-	-	-	-	-	-	276	10	3.66	3.66
	Infrastructure- LongTerm Bank Bonds Approved Investment	ILBI	677	8	1.22	1.22	672	37	5.47	5.47	499	27	5.45	5.45
	Infrastructure - Other Approved Securities	ISAS	-	-	-	-	-	-	-	-	-	-	-	-
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS													
	PSU - Equity shares - Quoted	EAEQ	1,692	139	8.23	8.23	1,608	87	5.43	5.43	1,749	22	1.25	1.25
	Application Money	ECAM	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	66,272	8,797	13.27	13.27	59,754	18,679	31.26	31.26	61,648	1,741	2.82	2.82
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - (Private Banks)	EAPB	180	4	2.17	2.17	179	12	6.45	6.45	168	13	7.89	7.89
	Corporate Securities - Bonds - (Taxable)	EPBT	1,175	24	2.07	2.07	1,096	85	7.78	7.78	1,314	71	5.37	5.37
	Deposits - Deposit with Scheduled Banks, Fls (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	-	-	-	-	-	-	-	-	-	-	-	-
	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	-	-	-	-	348	5	1.34	1.34
	Deposits - Repo / Reverse Repo	ECMR	4,117	32	0.79	0.79	4,293	64	1.50	1.50	4,353	121	2.79	2.79
	Corporate Securities - Debentures	ECOS	1,383	18	1.30	1.30	1,323	64	4.81	4.81	785	50	6.39	6.39
	Commercial Papers	ECCP	-	-	-	-	248	3	1.30	1.30	542	9	1.65	1.65
	Passively Managed Equity ETF (Non Promoter Group)	EETF	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	0	-	-	-	164	7	4.25	4.25	0	-	-	-
	CCIL - CBLO	ECBO	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	-	-	-	-	-	-	-	-	-	-	-	-
	Net Current Assets (Only in respect of ULIP Business)	ENCA	-	-	-	-	-	-	-	-	-	-	-	-
F	OTHER INVESTMENTS													
	Equity Shares (incl Co-op Societies)	OESH	3,782	278	7.36	7.36	3,694	830	22.47	22.47	4,122	311	7.56	7.56
	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	0	-	-	-	0	-	-	-	0	-	-	-
	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
	Preference Shares	OPSH	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (PSUs & Unlisted)	OEPU	300	29	9.74	9.74	313	32	10.35	10.35	650	55	8.43	8.43
	Passively Managed Equity ETF (Non Promoter Group)	OETF	2,451	79	3.23	3.23	2,361	107	4.51	4.51	7,060	484	6.86	6.86
	Debentures	OLDB	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL			1,10,640	8,303	7.50	7.50	1,03,169	21,201	20.55	20.55	1,02,456	2,898	2.83	2.83

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 30-Sep-20

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2 Yield netted for Tax

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4 FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.

Signature: _____

Mr. Nilesh Kothari
Chief of Finance