

Form L-27 - Unit Linked Business - 3A (Linked Life)

Unit Linked Insurance Business

Link to Item 'C' of FORM 3A (Part A)

Company Name & Code: Bharti AXA Life Insurance Co. Ltd. (130)

Periodicity of Submission : Quarterly

Statement as on : September 30, 2020

PART - B

Unit Linked Life



Rs. Lakhs

PARTICULARS	NAME OF THE BUSINESS:														
	GROW MONEY FUND	SAVE N GROW MONEY FUND	STEADY MONEY FUND	GROWTH OPPORTUNITIES	BUILD N PROTECT FUND SERIES 1	SAFE MONEY FUND	GROW MONEY PLUS	GROWTH OPPORTUNITIES PLUS	BUILD INDIA FUND	BUILD N PROTECT FUND SERIES 2	TRUE WEALTH FUND	DISCONTINUANCE LIFE FUND	STABILITY PLUS MONEY FUND	TOTAL FUNDS	
SFIN	ULIF00221/08/2006EGROWMO NEY130	ULIF00121/08/2006BSAVENG ROW130	ULIF00321/08/2006DSTDY MOENY130	ULIF00708/12/2008EGROW THOPR130	ULIF00919/05/2009BGBUILD NPS1130	ULIF01007/07/2009LSAFEM ONEY130	ULIF01214/12/2009EGR OMONYPL130	ULIF01614/12/2009EGRW THOPPL130	ULIF01909/02/2010EBUI LDINDA130	ULIF02022/06/2009BBUI LDNPS2130	ULIF02104/10/2010BTR UEWLTHG130	ULIF02219/01/2011DDIS CONTLF130	ULIF02322/02/17STAPL UMONF130		
Opening Balance (Market Value)	22,497	5,245	6,215	2,866	209	4,250	13,174	24,867	2,243	-	5,664	1,208	6,001	94,440	
Add : Inflow during The Quarter	345	645	1,565	101	3	1,903	3,158	4,102	129	-	-	708	1,513	14,174	
Increase/(Decrease) Value of Inv (Net)	2,390	198	19	304	0	30	1,404	2,560	206	-	25	11	23	7,171	
Less: Outflow during the Quarter	855	466	548	184	6	1,072	1,517	2,470	269	-	158	336	449	8,331	
TOTAL INVESTIBLE FUNDS (MKT VALUE)	24,377	5,623	7,251	3,088	207	5,111	16,218	29,059	2,309	-	5,531	1,591	7,088	1,07,453	

INVESTMENT OF UNIT FUND	GROW MONEY FUND		SAVE N GROW MONEY FUND		STEADY MONEY FUND		GROWTH OPPORTUNITIES		BUILD N PROTECT FUND SERIES 1		SAFE MONEY FUND		GROW MONEY PLUS		GROWTH OPPORTUNITIES PLUS		BUILD INDIA FUND		BUILD N PROTECT FUND SERIES 2		TRUE WEALTH FUND		DISCONTINUANCE LIFE FUND		STABILITY PLUS MONEY FUND		TOTAL FUNDS			
	ULIF00221/08/2006EGROWMO NEY130		ULIF00121/08/2006BSAVENG ROW130		ULIF00321/08/2006DSTDY MOENY130		ULIF00708/12/2008EGROW THOPR130		ULIF00919/05/2009BGBUILD NPS1130		ULIF01007/07/2009LSAFEM ONEY130		ULIF01214/12/2009EGR OMONYPL130		ULIF01614/12/2009EGRW THOPPL130		ULIF01908/02/2010EBUI LDINDA130		ULIF02022/06/2009BBUI LDNPS2130		ULIF02104/10/2010BTR UEWLTHG130		ULIF02219/01/2011DDIS CONTLF130		ULIF02322/02/17STAPL UMONF130					
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)																														
Central Govt Securities	-	-	1,642	29	3,584	49	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1,270	23	-	-	2,848	40	9,344	9		
State Government Securities	-	-	63	1	711	10	-	-	-	-	-	-	-	-	-	-	-	-	-	-	2,884	52	-	-	552	8	4,209	4		
Other Approved Securities	-	-	54	1	208	3	-	-	-	192	93	-	-	-	-	-	-	-	-	-	-	-	-	-	15	0	469	0		
Corporate Bonds	2	0	590	10	958	13	0	0	-	-	320	6	1	0	1	0	0	0	0	-	-	-	70	4	739	10	2,681	2		
Infrastructure Bonds	-	-	473	8	1,218	17	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	2,297	32	3,988	4		
Equity	22,010	90	2,539	45	-	-	2,810	91	-	-	-	-	13,632	84	26,348	91	2,057	89	-	-	-	-	-	-	-	-	69,396	65		
Money Market	-	-	83	1	404	6	-	-	12	6	4,670	91	577	4	453	2	-	-	-	-	1,246	23	1,515	95	301	4	9,261	9		
Mutual funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Deposit with Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Sub Total (A)	22,011	90	5,443	97	7,082	98	2,810	91	204	99	4,990	98	14,210	88	26,802	92	2,057	89	-	-	5,400	98	1,585	100	6,753	95	99,349	92		
Current Assets:																														
Accrued Interest	0	0	57	1	187	3	0	0	2	1	28	1	0	0	0	0	0	0	-	-	74	1	6	0	156	2	512	0		
Dividend Income	5	0	1	0	-	-	1	0	-	-	-	-	3	0	11	0	1	0	-	-	-	-	-	-	-	-	23	0		
Bank Balance	40	0	0	0	0	0	21	1	0	0	0	0	200	1	258	1	6	0	-	-	50	1	0	0	0	0	574	1		
Receivable for Sale of Investments	-	-	-	-	338	5	36	1	-	-	-	-	(0)	(0)	-	-	25	1	-	-	-	-	-	-	63	1	462	0		
Other Current Assets (for Investments)	0	0	30	1	22	0	0	0	1	0	93	2	187	1	179	1	0	0	-	-	-	-	-	-	180	3	691	1		
Unit Collection A/c	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Appropriation/Expropriation	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Less: Current Liabilities																														
Payable for Investments	0	0	8	0	377	5	44	1	-	-	-	-	0.00	0	417	1	3	0	-	-	-	-	-	63	1	914	1			
Fund Mgmt Charges Payable	1	0	0	0	0	0	0	0	0	0	0	0	0.70	0	1	0	0	0	-	-	0	0	0	0	0	0	5	0		
Other Current Liabilities (for Investment)	1	0	0	0	-	-	0	0	-	-	-	-	0.00	0	0	0	9	0	0	-	-	-	-	0	0	-	10	0		
Sub Total (B)	43	0	80	1	170	2	14	0	3	1	121	2	389	2	29	0	20	1	-	-	124	2	6	0	336	5	1,334	1		
Other Investments (<=25%)																														
Corporate Bonds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Infrastructure Bonds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Equity	1,371	6	100	2	-	-	167	5	-	-	-	-	778	5	1,498	5	74	3	-	-	-	-	-	-	-	-	3,989	4		
Money Market	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Mutual Funds	952	4	-	-	-	-	97	3	-	-	-	-	840.39	5	728	3	158	7	-	-	7	0	-	-	-	-	2,782	3		
Deposit with Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Sub Total (C)	2,323	10	100	2	-	-	264	9	-	-	-	-	1,619	10	2,227	8	232	10	-	-	7	0	-	-	-	-	6,771	6		
Total (A + B + C)	24,377	100	5,623	100	7,251	100	3,088	100	207	100	5,111	100	16,218	100	29,059	100	2,309	100	-	-	5,531	100	1,591	100	7,088	100	1,07,453	100		

Date : 30-Sep-20

Note:

- The aggregate of all the above Segregated Unit-Fundsshould tally with Item C of FORM 3A (Part A), for both Par & Non Par Business
- Details of Item 12 of FORM LB 2 of IRDA (Actuarial Report) Regulation, 2000 shall be reconciled with FORM 3A (Part B)
- Other Investments' are as permitted under Sec 27A(2) and 27B(3)

Signature: _____
 Nilesh Kothari
 Chief of Finance

Form L-27 - Unit Linked Business - 3A(Linked Pension)

Unit Linked Insurance Business

Link to Item 'C' of FORM 3A (Part A)

Company Name & Code: Bharti AXA Life Insurance Co. Ltd.

Periodicity of Submission : Quarterly

Statement as on : September 30, 2020

PART - B



Unit Linked Pension

Rs.Lakhs

PARTICULARS											
	GROW MONEY PENSION FUND	SAVE N GROW MONEY PENSION FUND	STEADY MONEY PENSION FUND	GROWTH OPPORTUNITIES PENSION FUND	SAFE MONEY PENSION FUND	GROW MONEY PENSION PLUS	INVEST N GROW MONEY PENSION FUND	PROTECT N GROW MONEY PENSION	BUILD INDIA PENSION FUND	GROWTH OPPORTUNITIES PENSION PLUS	Total of All Funds
SFIN	ULIF00526/12/2007EGROWMO NYP130	ULIF00426/12/2007BSNG ROWPEN130	ULIF00626/12/2007DSTDY MONYP130	ULIF00814/12/2008EGROWT HOPRP130	ULIF01107/12/2009LSA FEMONYP130	ULIF01501/01/2010EGR MONYPLP130	ULIF01307/12/2009BBI NVNGROWP130	ULIF01407/12/2009B PRCTCTNGRP130	ULIF01704/01/2010EBUI LDINDP130	ULIF01801/01/2010EGRW THOPLP130	
Opening Balance (Market Value)	2,360	578	352	353	154	1,155	-	-	530	2,012	7,495
Add : Inflow during The Quarter	33	30	16	12	65	19	-	-	9	44	228
Increase/(Decrease) Value of Inv (Net)	251	19	2	35	1	124	-	-	47	206	686
Less: Outflow during the Quarter	111	228	88	25	35	212	-	-	65	382	1,146
TOTAL INVESTIBLE FUNDS (MKT VALUE)	2,534	399	282	375	185	1,087	-	-	522	1,880	7,263

INVESTMENT OF UNIT FUND	GROW MONEY PENSION FUND		SAVE N GROW MONEY PENSION FUND		STEADY MONEY PENSION FUND		GROWTH OPPORTUNITIES PENSION FUND		SAFE MONEY PENSION FUND		GROW MONEY PENSION PLUS		INVEST N GROW MONEY PENSION FUND		PROTECT N GROW MONEY PENSION		BUILD INDIA PENSION FUND		GROWTH OPPORTUNITIES PENSION PLUS		TOTAL FUND	
	ULIF00526/12/2007EGROWMO NYP130		ULIF00426/12/2007BSNG ROWPEN130		ULIF00626/12/2007DSTDY MONYP130		ULIF00814/12/2008EGROWT HOPRP130		ULIF01107/12/2009LSA FEMONYP130		ULIF01501/01/2010EGR MONYPLP130		ULIF01307/12/2009BBI NVNGROWP130		ULIF01407/12/2009B PRCTCTNGRP130		ULIF01704/01/2010EBUI LDINDP130		ULIF01801/01/2010EGRW THOPLP130			
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)																						
Central Govt Securities	-	-	101	25.3	166	58.9	-	-	-	-	-	-	-	-	-	-	-	-	-	-	267	3.7
State Government Securities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other Approved Securities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Corporate Bonds	0	0.0	32	8.1	33	11.8	0	0.0	10	5.4	0	0	-	-	-	-	0	0.0	0	0.0	76	1.0
Infrastructure Bonds	-	-	64	16.1	65	23.1	-	-	-	-	-	-	-	-	-	-	-	-	-	-	129	1.8
Equity	2,284	90	171	42.7	-	-	340	90.7	-	-	979	90	-	-	-	-	450	86.3	1,700	90.5	5,924	81.6
Money Market	-	-	5	1.2	10	3.6	-	-	174	94.1	-	-	-	-	-	-	-	-	-	-	189	2.6
Mutual funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Deposit with Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sub Total	2,284	90.1	373	93.3	274	97.5	340	90.7	184	99.5	979	90	-	-	-	-	451	86.3	1,701	90.5	6,585	90.7
Current Assets:																						
Accrued Interest	0	0	4	1.0	7	2.5	0	0.0	1	0.5	0	0	-	-	-	-	0	0.0	0	0.0	12	0.2
Dividend income	1	0	0	0.0	-	-	0	0.0	-	-	0	0	-	-	-	-	0	0.1	1	0.0	2	0.0
Bank Balance	7	0	0	0.0	0	0.0	4	1.1	0	0.0	0	0	-	-	-	-	3	0.6	9	0.5	23	0.3
Receivable for Sale of Investment	-	-	-	-	1	0.4	4	1.1	-	-	-	-	-	-	-	-	-	-	31	1.7	36	0.5
Other Current Assets (for Investm	4	0	16	4.0	-	-	0	0.0	-	-	1	0	-	-	-	-	0	0.0	0	0.0	21	0.3
Unit Collection A/c	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Appropriation/Expropriation	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Less: Current Liabilities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Payable for Investments	0	0	-	-	1	0.4	3	0.8	-	-	0	0	-	-	-	-	0	0.0	27	1.4	32	0.4
Fund Mgmt Charges Payable	0	0	0	0.0	0	0.0	0	0.0	0	0.0	0	0	-	-	-	-	0	0.0	0	0.0	0	0.0
Other Current Liabilities (for Inves	0	0	0	0.0	0	0.0	3	0.9	0	0.0	0	0	-	-	-	-	0	0.0	0	0.0	3	0.0
Sub Total (B)	11	0.4	20	5.0	7	2.5	2.0	0.5	1	1	1	0	-	-	-	-	3	0.6	14	0.7	60	0.8
Other Investments (<=25%)																						
Corporate Bonds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Infrastructure Bonds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Equity	143	6	7	1.6	-	-	22	5.8	-	-	61	6	-	-	-	-	17	3.2	120	6.4	368	5.1
Money Market	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Mutual Funds	96	4	-	-	-	-	11.08	3.0	-	-	45	4	-	-	-	-	52	10	46	2.4	249	3.4
Deposit with Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sub Total (C)	239	9	7	1.6	-	-	33	8.7	-	-	106	10	-	-	-	-	68	13.1	165	8.8	618	8.5
Total (A + B + C)	2,534	100.0	399	100.0	282	100.0	375	100.0	185	100.0	1,087	100	-	-	-	-	522	100.0	1,880	100.0	7,263	100.0

Fund Carried Forward (as per LB 2)

Date :	68,57,55,508.18	2,23,17,447	2,46,77,935.34	76,64,634.69	#####	#####
	68,42,02,656.72	2,22,14,486	2,46,61,496.88	74,01,622.65	#####	#####
	15,52,851.46	1,02,961	16,438.46	2,63,012.04	3,67,655.18	1,21,13,795

118365.24

#####

1.18

2.68

Date: 30-Sep-20

Note:

- The aggregate of all the above Segregated Unit-Funds should tally with item C of FORM 3A (Part A), for both Par & Non Par Business
- Details of item 12 of FORM LB 2 of IRDA (Actuarial Report) Regulation, 2000 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2) and 27B(3)

Signature: _____

Nilesh Kothari

Chief of Finance

Form L-27 - Unit Linked Business - 3A(Linked Pension)

Unit Linked Insurance Business Link to Item 'C' of FORM 3A (Part A)

Company Name & Code: Bharti AXA Life Insurance Co. Ltd.

Periodicity of Submission : Quarterly

Statement as on : September 30, 2020

Rs. Lakhs

PARTICULARS					
	GROUP EQUITY FUND	GROUP DEBT FUND	GROUP BALANCE FUND	GROUP LIQUID FUND	Total of All Funds
SFIN	ULGF00103/08/17GROUPEQUIF130	ULGF00303/08/17GROUPDEBTF130	ULGF00203/08/17GROUPBALDF130	ULGF00403/08/17GROUPLIQDF130	
Opening Balance (Market Value)	-	2,079	1	-	2,080
Add : Inflow during The Quarter	-	0	0	-	0
Increase/(Decrease) Value of Inv (Net)	-	10	0	-	10
Less: Outflow during the Quarter	-	26	-	-	26
TOTAL INVESTIBLE FUNDS (MKT VALUE)	-	2,063	1	-	2,064

INVESTMENT OF UNIT FUND	GROUP EQUITY FUND		GROUP DEBT FUND		GROUP BALANCE FUND		GROUP LIQUID FUND		TOTAL FUND	
	ULGF00103/08/17GROUPEQUIF130		ULGF00303/08/17GROUPDEBTF130		ULGF00203/08/17GROUPBALDF130		ULGF00403/08/17GROUPLIQDF130			
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)										
Central Govt Securities	-	-	859	41.6	-	-	-	-	859	41.6
State Government Securities	-	-	179	8.7	-	-	-	-	179	8.7
Other Approved Securities	-	-	208	10.1	-	-	-	-	208	10.1
Corporate Bonds	-	-	131	6.4	-	-	-	-	131	6.4
Infrastructure Bonds	-	-	600	29.1	-	-	-	-	600	29.1
Equity	-	-	-	-	-	-	-	-	-	-
Money Market	-	-	37	1.8	1	95.4	-	-	38	1.8
Mutual funds	-	-	-	-	-	-	-	-	-	-
Deposit with Banks	-	-	-	-	-	-	-	-	-	-
Sub Total	-	-	2,015	97.7	1	95.4	-	-	2,016	97.7
Current Assets:										
Accrued Interest	-	-	49	2.4	-	-	-	-	49	2.4
Dividend Income	-	-	-	-	-	-	-	-	-	-
Bank Balance	-	-	0	0.0	0	4.6	-	-	0	0.0
Receivable for Sale of Investment	-	-	90	4.4	-	-	-	-	90	4.4
Other Current Assets (for Investment)	-	-	-	-	0	0.0	-	-	0	0.0
Unit Collection A/c	-	-	-	-	-	-	-	-	-	-
Appropriation/Expropriation	-	-	-	-	-	-	-	-	-	-
Less: Current Liabilities										
Payable for Investments	-	-	91	4.4	-	-	-	-	91	4.4
Fund Mgmt Charges Payable	-	-	0	0.0	0	0.0	-	-	0	0.0
Other Current Liabilities (for Investment)	-	-	0	0.0	-	-	-	-	0	0.0
Sub Total (B)	-	-	48	2.3	0	4.6	-	-	48	2.3
Other Investments (<=25%)										
Corporate Bonds	-	-	-	-	-	-	-	-	-	-
Infrastructure Bonds	-	-	-	-	-	-	-	-	-	-
Equity	-	-	-	-	-	-	-	-	-	-
Money Market	-	-	-	-	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-	-	-	-	-
Deposit with Banks	-	-	-	-	-	-	-	-	-	-
Sub Total (C)	-	-	-	-	-	-	-	-	-	-
Total (A + B + C)	-	-	2,063	100.0	1	100.0	-	-	2,064	100.0

Date: 30-Sep-20

Note:

- The aggregate of all the above Segregated Unit-Fund should tally with item C of FORM 3A (Part A), for both Par & Non Par Business
- Details of item 12 of FORM LB 2 of IRDA (Actuarial Report) Regulation, 2000 shall be reconciled with FORM 3A (Part B)
- Other Investments' are as permitted under Sec 27A(2) and 27B(3)

 Signature: _____
 Niles Kothari
 Chief of Finance