

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
1	Deposit under Section 7 of Insurance Act, 1938	CDS5	-	-	-	-	-	-	-	-	-	-	-	-
2	Central Government Bonds	CGSB	2,30,507	5,682	2.47	2.47	2,30,507	5,682	2.47	2.47	1,62,163	5,024	3.10	3.10
3	Central Government Guaranteed Loans / Bonds	CGSL	37,977	688	1.81	1.81	37,977	688	1.81	1.81	1,570	31	1.95	1.95
4	Treasury Bills	CTRB	1,251	23	1.85	1.85	1,251	23	1.85	1.85	1,821	8	0.46	0.46
5	State Government Securities	SGGB	45,157	1,041	2.31	2.31	45,157	1,041	2.31	2.31	53,121	1,022	1.92	1.92
6	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	-	-	-	-	-	-	-	-	-	-	-
7	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	-	-	-	-
8	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	32,530	714	2.20	2.20	32,530	714	2.20	2.20	24,573	557	2.27	2.27
9	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	-	-	-	-	-	-	-	-	-
10	Infrastructure - PSU - Debentures / Bonds	IPFD	-	-	-	-	-	-	-	-	-	-	-	-
11	Infrastructure - Other Corporate Securities - CPs	ICCP	-	-	-	-	-	-	-	-	-	-	-	-
12	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	21,573	461	2.14	2.14	21,573	461	2.14	2.14	33,334	711	2.13	2.13
13	Infrastructure - Debentures / Bonds / CPs / loans	IDDS	-	-	-	-	-	-	-	-	-	-	-	-
14	Infrastructure - Equity (including unlisted)	IOEQ	-	-	-	-	-	-	-	-	-	-	-	-
15	Infrastructure - Equity (Promoter Group)	IOPE	-	-	-	-	-	-	-	-	-	-	-	-
16	Infrastructure - Equity and Equity Related Instruments (Promoter Group)	IEPG	3,581	79	2.19	2.19	3,581	79	2.19	2.19	140	8	5.74	5.74
17	Infrastructure - PSU - Debentures / Bonds	IPTD	42,996	825	1.92	1.92	42,996	825	1.92	1.92	17,339	363	2.09	2.09
18	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	61	-	-	-	61	-	-	-	108	4	3.71	3.71
19	Infrastructure - PSU - Equity shares - Quoted	ITPE	310	2	0.64	0.64	310	2	0.64	0.64	131	1	0.40	0.40
20	Infrastructure - PSU - CPs	IPCP	-	-	-	-	-	-	-	-	10	0	0.03	0.03
21	Infrastructure- LongTerm Bank Bonds Approved Investment	ILBI	2,011	44	2.19	2.19	2,011	44	2.19	2.19	2,014	44	2.19	2.19
22	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	11,145	252	2.26	2.26	11,145	252	2.26	2.26	11,651	348	2.99	2.99
23	PSU - Equity shares - Quoted	EAEQ	620	0	0.00	0.00	620	0	0.00	0.00	628	-	-	-
24	Application Money	ECAM	-	-	-	-	-	-	-	-	-	-	-	-
25	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	-	-	-	-
26	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECCB	2,382	107	4.48	4.48	2,382	107	4.48	4.48	2,619	107	4.08	4.08
27	Deposits - Repo / Reverse Repo	ECWR	6,456	46	0.71	0.71	6,456	46	0.71	0.71	1,098	15	1.41	1.41
28	Corporate Securities - Debentures	ECOS	69,065	1,386	2.01	2.01	69,065	1,386	2.01	2.01	44,579	791	1.78	1.78
29	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	-	-	-	-	-	-	-	-
30	Corporate Securities - Debentures / Bonds/ CPs / Loan - (Promoter Group)	EDPG	-	-	-	-	-	-	-	-	3,481	46	1.32	1.32
31	Corporate Securities - Bonds - (Taxable)	EPBT	2,600	57	2.20	2.20	2,600	57	2.20	2.20	4,733	109	2.31	2.31
32	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	-	-	-	-	-	-	-	-	-	-	-	-
33	Passively Managed Equity ETF (Non Promoter Group)	EETF	-	-	-	-	-	-	-	-	-	-	-	-
34	Mutual Funds - (under Insurer's Promoter Group)	EMPG	-	-	-	-	-	-	-	-	-	-	-	-
35	Perpetual Debt Instruments of Tier I & II Capital issued by PSU Banks	EUPD	-	-	-	-	-	-	-	-	-	-	-	-
36	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - (Psu Banks)	EAPS	-	-	-	-	-	-	-	-	-	-	-	-
37	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - (Psu Banks)	OAPS	1,044	23	2.17	2.17	1,044	23	2.17	2.17	3,859	84	2.18	2.18
38	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - (Private Banks)	OAPB	-	-	-	-	-	-	-	-	7,520	114	1.51	1.51
39	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
40	Passively Managed Equity ETF (Non Promoter Group)	OETF	126	-	-	-	126	-	-	-	1,404	12	0.87	0.87
41	Equity Shares (incl Co-op Societies)	OESH	1,687	9	0.56	0.56	1,687	9	0.56	0.56	1,942	62	3.22	3.22
42	Debentures	OLDB	9,504	172	1.81	1.81	9,504	172	1.81	1.81	11,141	96	0.86	0.86
43	Short term Loans (Unsecured Deposits)	OSLU	4,300	126	2.93	2.93	4,300	126	2.93	2.93	3,300	97	2.95	2.95
44	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemses	OMGS	-	-	-	-	-	-	-	-	-	-	-	-
45	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
46	Debt Capital Instruments (DCI Basel III)	ODCI	-	-	-	-	-	-	-	-	-	-	-	-
47	Preference Shares	OPSH	32	-	-	-	32	-	-	-	51	-	-	-
48	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - (Private Banks)	EAPB	38,822	871	2.24	2.24	38,822	871	2.24	2.24	33,287	754	2.26	2.26
49	Alternate Investment Funds (Category II)	OAFB	1,609	45	2.77	2.77	1,609	45	2.77	2.77	890	20	2.23	2.23
50	(d) Investment Property - Immovable	ENIP	7,896	139	1.76	1.76	7,896	139	1.76	1.76	7,896	171	2.17	2.17
51	Equity Shares (PSUs & Unlisted)	OEPU	71	-	-	-	71	-	-	-	446	55	12.41	12.41
52	Bonds - PSU - Taxable	OBPT	-	-	-	-	-	-	-	-	-	-	-	-
53	CCIL - CBLO	ECBO	-	-	-	-	-	-	-	-	-	-	-	-
54	Debentures / Bonds / CPs / Loans etc. - (Promoter Group)	ODPG	3,502	91	2.59	2.59	3,502	91	2.59	2.59	-	-	-	-
55	Infrastructure - Other Approved Securities	ISAS	-	-	-	-	-	-	-	-	-	-	-	-
56	Reclassified Approved Investments - Debt (Point 6 under Note for Regulation 4 to 9)	HORD	3,579	1,087	30.37	30.37	3,579	1,087	30.37	30.37	-	-	-	-
TOTAL			5,82,392	11,796	2.03	2.03	5,82,392	11,796	2.03	2.03	4,36,852	10,653	2.44	2.44

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 30-Jun-20

Signature _____

Mr. Nilesh Kothari

Chief of Finance

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

¹ Based on daily simple Average of Investments

² Yield netted for Tax

³ In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown

⁴ FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.

⁵ Group Term Life has been classified under PGA from 1st April 2013

Rs Lakhs

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FORM L-34

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: June 30, 2020

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Name of the Fund:- Unit Linked Fund

bharti

AXA

jeevan suraksha ka

naya nazariya

Rs Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
A	GOVERNMENT SECURITIES													
	Central Government Bonds	CGSB	8,314	338	4.07	4.07	8,314	338	4.07	4.07	7,474	278	3.73	3.73
	Central Government Guaranteed Loans / Bonds	CGSL	1,117	64	5.77	5.77	1,117	64	5.77	5.77	-	-	-	-
	Treasury Bills	CTRB	4,007	49	1.22	1.22	4,007	49	1.22	1.22	2,637	43	1.61	1.61
B	GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES													
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	680	26	3.80	3.80	680	26	3.80	3.80	632	19	2.95	2.95
	State Government Bonds	SGGB	3,089	107	3.47	3.47	3,089	107	3.47	3.47	3,109	77	2.48	2.48
C	HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT													
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	-	-	-	-	-	346	7	1.97	1.97
	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	-	-	-	-
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	592	28	4.79	4.79	592	28	4.79	4.79	141	5	3.89	3.89
D	INFRASTRUCTURE INVESTMENTS													
	Infrastructure - PSU - Debentures / Bonds	IPTD	2,856	121	4.22	4.22	2,856	121	4.22	4.22	971	40	4.13	4.13
	Infrastructure/ Social Sector - Other Corporate Securities (approved investments) - Debentures/ Bonds	ICTD	964	38	3.96	3.96	964	38	3.96	3.96	846	23	2.69	2.69
	Infrastructure - Equity (including unlisted)	IOEQ	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Equity and Equity Related Instruments (Promoter Group)	IEPG	3,146	742	23.59	23.59	3,146	742	23.59	23.59	643	71	11.07	11.07
	Infrastructure - Equity (Promoter Group)	IOPE	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	245	69	27.96	27.96	245	69	27.96	27.96	591	60	10.10	10.10
	Infrastructure - PSU - Equity shares - Quoted	ITPE	1,297	346	26.66	26.66	1,297	346	26.66	26.66	787	20	2.58	2.58
	Infrastructure - Other Corporate Securities - CPs	ICCP	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - PSU - CPs	IPCP	-	-	-	-	-	-	-	-	324	6	1.87	1.87
	Infrastructure- LongTerm Bank Bonds Approved Investment	ILBI	667	28	4.27	4.27	667	28	4.27	4.27	495	8	1.66	1.66
	Infrastructure - Other Approved Securities	ISAS	-	-	-	-	-	-	-	-	-	-	-	-
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS													
	PSU - Equity shares - Quoted	EAEQ	1,523	227	14.88	14.88	1,523	227	14.88	14.88	2,019	25	1.21	1.21
	Application Money	ECAM	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	53,164	9,882	18.59	18.59	53,164	9,882	18.59	18.59	63,680	1,063	1.67	1.67
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [Private Banks]	EAPB	177	8	4.29	4.29	177	8	4.29	4.29	164	6	3.97	3.97
	Corporate Securities - Bonds - (Taxable)	EPBT	1,017	61	6.00	6.00	1,017	61	6.00	6.00	1,304	21	1.63	1.63
	Deposits - Deposit with Scheduled Banks, Fls (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	-	-	-	-	-	-	-	-	-	-	-	-
	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	-	-	-	-	348	5	1.34	1.34
	Deposits - Repo / Reverse Repo	ECMR	4,474	32	0.71	0.71	4,474	32	0.71	0.71	3,745	54	1.44	1.44
	Corporate Securities - Debentures	ECOS	1,263	46	3.61	3.61	1,263	46	3.61	3.61	696	14	2.04	2.04
	Commercial Papers	ECCP	248	3	1.30	1.30	248	3	1.30	1.30	542	9	1.65	1.65
	Passively Managed Equity ETF (Non Promoter Group)	EETF	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	330	7	2.11	2.11	330	7	2.11	2.11	0	-	-	-
	CCIL - CBLO	ECBO	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	-	-	-	-	-	-	-	-	-	-	-	-
	Net Current Assets (Only in respect of ULIP Business)	ENCA	-	-	-	-	-	-	-	-	-	-	-	-
F	OTHER INVESTMENTS													
	Equity Shares (incl Co-op Societies)	OESH	3,604	552	15.31	15.31	3,604	552	15.31	15.31	4,297	44	1.02	1.02
	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
	Preference Shares	OPSH	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (PSUs & Unlisted)	OEPU	325	62	18.94	18.94	325	62	18.94	18.94	761	89	11.73	11.73
	Passively Managed Equity ETF (Non Promoter Group)	OETF	2,269	186	8.18	8.18	2,269	186	8.18	8.18	7,082	181	2.56	2.56
	Debentures	OLDB	-	-	-	-	-	-	-	-	-	-	-	-
	TOTAL		95,369	12,897	13.52	13.52	95,369	12,897	13.52	13.52	1,03,632	2,040	1.97	1.97

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