Form L-27 - Unit Linked Business - 3A (Linked Life)

Unit Linked Insurance Business Link to Item 'C' of FORM 3A (Part A)

Company Name & Code: Bharti AXA Life Insurance Co. Ltd. (130)

Periodicity of Submission : Quarterly

Statement as on : December 31, 2019

PART - B

Unit Linked Life

bharti AXA jeevan suraksha ka naya nazariya

Rs.Lakhs

PARTICULARS	NAME OF THE BUSINESS:													
	GROW MONEY FUND	SAVE N GROW MONEY FUND	STEADY MONEY FUND	GROWTH OPPORTUNITIES	BUILD N PROTECT FUND SERIES 1	SAFE MONEY FUND	GROW MONEY PLUS	GROWTH OPPORTUNITIES PLUS	BUILD INDIA FUND	BUILD N PROTECT FUND SERIES 2	TRUE WEALTH FUND	DISCONTINUANCE LIFE FUND	STABILITY PLUS MONEY FUND	TOTAL FUNDS
SFIN	ULIF00221/08/2006EGROWMONEY130	ULIF00121/08/2006BSAVENGROW130	ULIF00321/08/2006DSTDYMOENY130	ULIF00708/12/2008EGROWTHOPR130	ULIF00919/05/2009BBUILDNPS1130	ULIF01007/07/2009LSAFEMONEY130	ULIF01214/12/2009EGROMONYPL130	ULIF01614/12/2009EGRWTHOPPL130	ULIF01909/02/2010EBUILDINDA130	ULIF02022/06/2009BBUILDNPS2130	ULIF02104/10/2010BTRUEWLTHG130	ULIF02219/01/2011DDISCONTLF130	ULIF02322/02/17STAPLUMONF130	
Opening Balance (Market Value)	25,385	5,359	4,511	3,438	203	3,924	14,155	25,644	2,578	-	6,076	1,050	1,906	94,229
Add : Inflow during The Quarter	421	277	382	95	4	734	820	1,703	75	-	0	84	1,937	6,535
Increase/(Decrease) Value of Inv (Net)	1,153	171	59	168	3	49	619	1,306	123	-	119	14	67	3,850
Less: Outflow during the Quarter	1,677	341	219	473	3	717	1,589	1,171	165	-	301	66	181	6,904
TOTAL INVESTIBLE FUNDS (MKT VALUE)	**25,282**	**5,466**	**4,734**	**3,229**	**207**	**3,989**	**14,005**	**27,483**	**2,611**	**-**	**5,893**	**1,082**	**3,730**	**97,710**

INVESTMENT OF UNIT FUND	GROW MONEY FUND		SAVE N GROW MONEY FUND		STEADY MONEY FUND		GROWTH OPPORTUNITIES		BUILD N PROTECT FUND SERIES 1		SAFE MONEY FUND		GROW MONEY PLUS		GROWTH OPPORTUNITIES PLUS		BUILD INDIA FUND		BUILD N PROTECT FUND SERIES 2		TRUE WEALTH FUND		DISCONTINUANCE LIFE FUND		STABILITY PLUS MONEY FUND		TOTAL FUNDS	
	ULIF00221/08/2006EGROWMONEY130		ULIF00121/08/2006BSAVENGROW130		ULIF00321/08/2006DSTDYMOENY130		ULIF00708/12/2008EGROWTHOPR130		ULIF00919/05/2009BBUILDNPS1130		ULIF01007/07/2009LSAFEMONEY130		ULIF01214/12/2009EGROMONYPL130		ULIF01614/12/2009EGRWTHOPPL130		ULIF01909/02/2010EBUILDINDA130		ULIF02022/06/2009BBUILDNPS2130		ULIF02104/10/2010BTRUEWLTHG130		ULIF02219/01/2011DDISCONTLF130		ULIF02322/02/17STAPLUMONF130			
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)																												
Central Govt Securities	-	-	1,559	28.5	2,062	43.6	-	-	-	-	-	-	-	-	-	-	-	-	-	-	2,292	38.9	-	-	1,172.6	31.4	7,086	7.3
State Governement Securities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	2,862	48.6	-	-	393.2	10.5	3,255	3.3
Other Approved Securities	-	-	43	0.8	200	4.2	-	-	196	95.1	-	-	-	-	-	-	-	-	-	-	-	-	-	-	14.8	0.4	454	0.5
Corporate Bonds	2	0.0	552	10.1	888	18.8	0	0.0	-	-	-	-	1	0.0	1	0.0	0	0.0	-	-	-	-	-	-	317.1	8.5	1,762	1.8
Infrastructure Bonds	-	-	569	10.4	1,378	29.1	-	-	-	-	637	16.0	-	-	-	-	-	-	-	-	-	-	-	-	1,557.0	41.7	4,142	4.2
Equity	20,753	82.1	2,311	42.3	-	-	2,812	87.1	-	-	-	-	11,276	80.5	22,777	82.9	2,240	85.8	-	-	625	10.6	-	-	-	-	62,795	64.3
Money Market	-	-	101	1.9	30	0.6	-	-	8	3.8	3,663	91.8	298	2.1	325	1.2	-	-	-	-	-	-	1,082	100.0	178.4	4.8	5,686	5.8
Mutual funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Deposit with Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sub Total (A)	**20,754**	**82**	**5,136**	**94**	**4,559**	**96**	**2,812**	**87**	**204**	**98.94**	**4,300**	**108**	**11,575**	**83**	**23,104**	**84**	**2,241**	**86**	**-**	**-**	**5,778**	**98.0**	**1,082**	**100.0**	**3,633**	**97.4**	**85,180**	**87.2**
Current Assets:																												
Accrued Interest	0	0.0	90	1.7	131	2.8	0	0.0	2	1.0	14	0.4	0	0.0	0.03	0.0	0	0.0	-	-	53	0.9	(0)	(0.0)	95.3	2.6	386	0.4
Dividend income	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Bank Balance	29	0.1	0	0.0	0	0.0	3	0.1	0	0.0	0	0.0	30	0.2	929.92	3.4	36	1.4	-	-	40	0.7	0	0.0	0.1	0.0	1,069	1.1
Receivable for Sale of Investments	163	0.6	468	8.6	736	15.5	50	1.5	-	-	-	-	37	0.3	67.95	0.2	6	0.2	-	-	-	-	-	-	433.5	11.6	1,962	2.0
Other Current Assets (for Investments)	0	0.0	0	0.0	-	-	0	0.0	-	-	-	-	0	0.0	0.02	0.0	0	0.0	-	-	-	-	-	-	-	-	0	0.0
Unit Collection A/c	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Appropriation/Expropriation	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Less: Current Liabilities							-																-					
Payable for Investments	135	0.5	508	9.3	708	15.0	33	1.0	-	-	335	8.4	121	0.9	257.85	0.9	20	0.8	-	-	-	-	-	-	453.8	12.2	2,572	2.6
Fund Mgmt Charges Payable	38	0.1	0	0.0	0	0.0	6	0.2	0	0.0	0	0.0	1	0.0	1.18	0.0	0	0.0	-	-	0	0.0	0	0.0	0.1	0.0	46	0.0
Other Current Liabilities (for Investment	28	0.1	(47)	(0.9)	(16)	(0.3)	2	0.1	0	0.0	(10)	(0.3)	(38)	(0.3)	(200.12)	(0.7)	(10)	(0.4)	-	-	(0)	(0.0)	0	0.0	(21.7)	(0.6)	(312)	(0.3)
Sub Total (B)	**(8)**	**(0)**	**97**	**2**	**175**	**4**	**13**	**0**	**2**	**1**	**(311)**	**(8)**	**(17)**	**(0)**	**939**	**3**	**33**	**1**	**-**	**-**	**93**	**2**	**(0)**	**(0.02)**	**97**	**3**	**1,111**	**1**
Other Investments (<=25%)																												
Corporate Bonds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Infrastructure Bonds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Equity	1,109	4	63	1	-	-	182	6	-	-	-	-	684	5	1,482	5	68.53	3	-	-	12	0	-	-	-	-	3,600	4
Mutual Funds	3,427	14	170	3	-	-	222	7	-	-	-	-	1,763	13	1,958	7	269	10	-	-	10	0	-	-	-	-	7,819	8
Deposit with Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sub Total (C)	**4,536**	**18**	**233**	**4**	**-**	**-**	**403**	**12**	**-**	**-**	**-**	**-**	**2,447**	**17.5**	**3,440**	**12.5**	**337**	**12.9**	**-**	**-**	**22**	**0.4**	**-**	**-**	**-**	**-**	**11,419**	**11.7**
Total (A + B + C)	**25,282**	**100.0**	**5,466**	**100.0**	**4,734**	**100.0**	**3,229**	**100.0**	**207**	**100.0**	**3,989**	**100.0**	**14,005**	**100.0**	**27,483**	**100.0**	**2,611**	**100.0**	**-**	**-**	**5,893**	**100.0**	**1,082**	**100.0**	**3,730**	**100.0**	**97,710**	**100.0**

Date : 31-Dec-19

Signature: ____________________

Nilesh Kothari

Chief of Finance

Note:

1. The aggregate of all the above Segregated Unit-Fundsshould tally with item C of FORM 3A (Part A), for both Par & Non Par Business

2. Details of item 12 of FORM LB 2 of IRDA (Acturial Report) Regulation, 2000 shall be reconciled with FORM 3A (Part B)

3. Other Investments' are as permitted under Sec 27A(2) and 27B(3)

Form L-27 - Unit Linked Business - 3A(Linked Pension) PART - B

Unit Linked Insurance Business **Link to Item 'C' of FORM 3A (Part A)**

Company Name & Code: Bharti AXA Life Insurance Co. Ltd.

Periodicity of Submission : Quarterly **Unit Linked Pension**

Statement as on : December 31, 2019

Rs.Lakhs

PARTICULARS	GROW MONEY PENSION FUND	SAVE N GROW MONEY PENSION FUND	STEADY MONEY PENSION FUND	GROWTH OPPORTUNITIES PENSION FUND	SAFE MONEY PENSION FUND	GROW MONEY PENSION PLUS	INVEST N GROW MONEY PENSION FUND	PROTECT N GROW MONEY PENSION	BUILD INDIA PENSION FUND	GROWTH OPPORTUNITIES PENSION PLUS	Total of All Funds
SFIN	ULIF00526/12/2007EGROWMONYP130	ULIF00426/12/2007BSNGROWPEN130	ULIF00626/12/2007DSTDYMONYP130	ULIF00814/12/2008EGRWTHOPRP130	ULIF01107/12/2009LSAFEMONYP130	ULIF01501/01/2010EGRMONYPLP130	ULIF01307/12/2009BINVNGROWP130	ULIF01407/12/2009BPRTCTNGRP130	ULIF01704/01/2010EBUILDINDP130	ULIF01801/01/2010EGRWTHOPLP130	
Opening Balance (Market Value)	2,833	627	453	419	186	1,621	-	-	808	2,733	9,680
Add : Inflow during The Quarter	34	36	26	12	33	21	-	-	6	20	187
Increase/(Decrease) Value of Inv (Net)	122	20	7	19	2	75	-	-	39	128	412
Less: Outflow during the Quarter	219	48	45	59	16	122	-	-	48	192	750
TOTAL INVESTIBLE FUNDS (MKT VALUE)	**2,770**	**635**	**440**	**391**	**205**	**1,595**	**-**	**-**	**804**	**2,689**	**9,529**

INVESTMENT OF UNIT FUND	GROW MONEY PENSION FUND		SAVE N GROW MONEY PENSION FUND		STEADY MONEY PENSION FUND		GROWTH OPPORTUNITIES PENSION FUND		SAFE MONEY PENSION FUND		GROW MONEY PENSION PLUS		INVEST N GROW MONEY PENSION FUND		PROTECT N GROW MONEY PENSION		BUILD INDIA PENSION FUND		GROWTH OPPORTUNITIES PENSION PLUS		TOTAL FUND	
	ULIF00526/12/2007EGROWMONYP130		ULIF00426/12/2007BSNGROWPEN130		ULIF00626/12/2007DSTDYMONYP130		ULIF00814/12/2008EGRWTHOPRP130		ULIF01107/12/2009LSAFEMONYP130		ULIF01501/01/2010EGRMONYPLP130		ULIF01307/12/2009BINVNGROWP130		ULIF01407/12/2009BPRTCTNGRP130		ULIF01704/01/2010EBUILDINDP130		ULIF01801/01/2010EGRWTHOPLP130			
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)																						
Central Govt Securities	-	-	192	30.2	172	39.1	-	-	-	-	-	-	-	-	-	-	-	-	-	-	363	3.8
State Governement Securities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other Approved Securities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Corporate Bonds	0	0.0	53	8.4	84	19.2	0	0.0	-	-	0	0.0	-	-	-	-	0	0.0	0	0.0	138	1.5
Infrastructure Bonds	-	-	104	16.4	146	33.3	-	-	30	14.8	-	-	-	-	-	-	-	-	-	-	281	2.9
Equity	2,287	82.6	252	39.7	-	-	337	86.1	-	-	1,307	81.9	-	-	-	-	699	87.0	2,323	86.4	7,204	75.6
Money Market	-	-	3	0.4	25	5.6	-	-	200	97.4	-	-	-	-	-	-	-	-	-	-	227	2.4
Mutual funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Deposit with Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sub Total (A)	**2,287**	**82.6**	**604**	**95.1**	**427**	**97.2**	**337**	**86.1**	**230**	**112.2**	**1,307**	**81.9**	**-**	**-**	**-**	**-**	**699**	**87.0**	**2,323**	**86.4**	**8,214**	**86.2**
Current Assets:																						
Accrued Interest	0	0.0	11	1.7	14	3.2	0	0.0	1	0.2	0	0.0	-	-	-	-	0	0.0	0	0.0	25	0.3
Dividend income	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Bank Balance	14	0.5	0	0.0	0	0.0	1	0.3	0	0.0	0	0.0	-	-	-	-	7	0.9	33	1.2	56	0.6
Receivable for Sale of Investments	14	0.5	58	9.1	-	-	5	1.3	-	-	16	1.0	-	-	-	-	4	0.4	7	0.3	103	1.1
Other Current Assets (for Investme	0	0.0	0	0.0	-	-	0	0.0	-	-	0	0.0	-	-	-	-	0	0.0	0	0.0	0	0.0
Unit Collection A/c	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Appropriation/Expropriation	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Less: Current Liabilities					-		-		-		-						-		-			
Payable for Investments	17	0.6	55	8.7	-	-	4	1.0	20	9.9	12	0.8	-	-	-	-	6	0.8	27	1.0	142	1.5
Fund Mgmt Charges Payable	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	-	-	-	-	0	0.0	0	0.0	0	0.0
Other Current Liabilities (for Invest	4	0.1	(0)	(0.0)	2	0.4	1	0.2	5	2.5	3	0.2	-	-	-	-	0	0.0	6	0.2	20	0.2
Sub Total (B)	**8**	**0.3**	**13**	**2.1**	**12**	**2.8**	**1.1**	**0.3**	**(25)**	**(12)**	**1.7**	**0**	**-**	**-**	**-**	**-**	**5**	**0.6**	**7**	**0.3**	**23**	**0.2**
Other Investments (<=25%)																						
Corporate Bonds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Infrastructure Bonds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Equity	113	4.1	6	1.0	-	-	27	6.9	-	-	70	4.4	-	-	-	-	14	1.7	181	6.7	411	4.3
Mutual Funds	362	13.1	11	1.8	-	-	26	6.7	-	-	217	13.6	-	-	-	-	86	10.7	179	6.6	881	9.2
Deposit with Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sub Total (C)	**475**	**17.2**	**18**	**2.8**	**-**	**-**	**53**	**13.6**	**-**	**-**	**286**	**18.0**	**-**	**-**	**-**	**-**	**100**	**12.4**	**360**	**13.4**	**1,292**	**13.6**
Total (A + B + C)	**2,770**	**100.0**	**635**	**100.0**	**440**	**100.0**	**391**	**100.0**	**205**	**100.0**	**1,595**	**100.0**	**-**	**-**	**-**	**-**	**804**	**100.0**	**2,689**	**100.0**	**9,529**	**100.0**

Date: **31-Dec-19**

Signature: ____________________

Nilesh Kothari

Chief of Finance

Note:

1. The aggregate of all the above Segregated Unit-Fundsshould tally with item C of FORM 3A (Part A), for both Par & Non Par Business

2. Details of item 12 of FORM LB 2 of IRDA (Acturial Report) Regulation, 2000 shall be reconciled with FORM 3A (Part B)

3. Other Investments' are as permitted under Sec 27A(2) and 27B(3)

Form L-27 - Unit Linked Business - 3A(Linked Pension)

Unit Linked Insurance Business **Link to Item 'C' of FORM 3A (Part A)**

Company Name & Code: Bharti AXA Life Insurance Co. Ltd.

Periodicity of Submission : Quarterly

Statement as on : December 31, 2019

Rs.Lakhs

PARTICULARS	GROUP EQUITY FUND	GROUP DEBT FUND	GROUP BALANCE FUND	GROUP LIQUID FUND	Total of All Funds
SFIN	ULGF00103/08/17GROUPEQUIF130	ULGF00303/08/17GROUPDEBTF130	ULGF00203/08/17GROUPBALDF130	ULGF00403/08/17GROUPLIQDF130	
Opening Balance (Market Value)	-	1,516	1	-	1,517
Add : Inflow during The Quarter	-	1	0	-	1
Increase/(Decrease) Value of Inv (Net)	-	27	0	-	27
Less: Outflow during the Quarter	-	41	-	-	41
TOTAL INVESTIBLE FUNDS (MKT VALUE)	**-**	**1,503**	**1**	**-**	**1,504**

INVESTMENT OF UNIT FUND	GROUP EQUITY FUND		GROUP DEBT FUND		GROUP BALANCE FUND		GROUP LIQUID FUND		TOTAL FUND	
	ULGF00103/08/17GROUPEQUIF130		ULGF00303/08/17GROUPDEBTF130		ULGF00203/08/17GROUPBALDF130		ULGF00403/08/17GROUPLIQDF130			
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)										
Central Govt Securities	-	-	686	45.7	-	-	-	-	686	45.6
State Governement Securities	-	-	-	-	-	-	-	-	-	-
Other Approved Securities	-	-	202	13.4	-	-	-	-	202	13.4
Corporate Bonds	-	-	127	8.4	-	-	-	-	127	8.4
Infrastructure Bonds	-	-	436	29.0	-	-	-	-	436	29.0
Equity	-	-	-	-	-	-	-	-	-	-
Money Market	-	-	35	2.3	1	-	-	-	36	2.4
Mutual funds	-	-	-	-	-	-	-	-	-	-
Deposit with Banks	-	-	-	-	-	-	-	-	-	-
Sub Total	**-**	**-**	**1,486**	**98.9**	**1**	**-**	**-**	**-**	**1,487**	**98.9**
Current Assets:										
Accrued Interest	-	-	33	2.2	-	-	-	-	33	2.2
Dividend income	-	-	-	-	-	-	-	-	-	-
Bank Balance	-	-	0	0.0	0	-	-	-	0	0.0
Receivable for Sale of Investment	-	-	330	21.9	-	-	-	-	330	21.9
Other Current Assets (for Investm	-	-	-	-	-	-	-	-	-	-
Unit Collection A/c	-	-	-	-	-	-	-	-	-	-
Appropriation/Expropriation	-	-	-	-	-	-	-	-	-	-
Less: Current Liabilities			-		-					-
Payable for Investments	-	-	345	23.0	-	-	-	-	345	22.9
Fund Mgmt Charges Payable	-	-	0	0.0	0	-	-	-	0	0.0
Other Current Liabilities (for Inves	-	-	0	0.0	(0)	-	-	-	0	0.0
Sub Total (B)	**-**	**-**	**17**	**1.1**	**0**	**-**	**-**	**-**	**17**	**1.1**
Other Investments (<=25%)										
Corporate Bonds	-	-	-	-	-	-	-	-	-	-
Infrastructure Bonds	-	-	-	-	-	-	-	-	-	-
Equity	-	-	-	-	-	-	-	-	-	-
Money Market	-	-	-	-	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-	-	-	-	-
Deposit with Banks	-	-	-	-	-	-	-	-	-	-
Sub Total (C)	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**
Total (A + B + C)	**-**	**-**	**1,503**	**100.0**	**1**	**-**	**-**	**-**	**1,504**	**100.0**

Date: **31-Dec-19**

Signature:

Nilesh Kothari

Chief of Finance

Note:

1. The aggregate of all the above Segregated Unit-Fundsshould tally with item C of FORM 3A (Part A), for both Par & Non Par Business

2. Details of item 12 of FORM LB 2 of IRDA (Acturial Report) Regulation, 2000 shall be reconciled with FORM 3A (Part B)

3. Other Investments' are as permitted under Sec 27A(2) and 27B(3)