

Form L-35 - Downgrading of Investments									bharti AXA jeevan suraksha ka naya nazariya	
Name of the Insurer: Bharti AXA Life Insurance Company Limited										
Registration Number: 130										
Statement as on: September 30, 2019									Name of Fund	
Statement of Down Graded Investments									Life Fund	
Periodicity of Submission: Quarterly										
Rs Lakhs										
No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks	
A.	During the Quarter ¹									
	9.50% YES BK DB 23-12-2116	OAPB	7,518	3-Jan-17	ICRA	CARE AA	ICRA BBB+	24-Jul-19		
	9.02% TATA MOTORS DB 10-12-2021	OLDB	1,000	15-Dec-14	ICRA	CARE AA+	ICRA AA-	5-Aug-19		
	9.4648% INDIABULLS HOUSING FINANCE L	HTDN	3,000	4-Oct-18	ICRA	ICRA AAA	ICRA AA+	10-Sep-19		
	8.85% RELIANCE CAP DB 02-11-2026	OLDB	2,003	2-Dec-16	CARE	CARE AAA	CARE D	20-Sep-19		
	9.00% RELIANCE CAP DB 09-09-2026	OLDB	500	9-Sep-16	CARE	CARE AAA	CARE D	20-Sep-19		
	9.00% RELIANCE CAP DB 09-09-2026	OLDB	1,004	9-Sep-16	CARE	CARE AAA	CARE D	20-Sep-19		
	8.85% INDIABULLS HOUSING FINANCE L	HTDN	2,396	30-Sep-16	CARE	CARE AAA	CARE AA+	24-Sep-19		
	9.00% INDIABULLS HOUSING FINANCE L	HTDN	1,500	12-Apr-16	CARE	CARE AAA	CARE AA+	24-Sep-19		
	9.00% INDIABULLS HOUSING FINANCE L	HTDN	1,000	3-Jan-17	CARE	CARE AAA	CARE AA+	24-Sep-19		
	9.00% INDIABULLS HOUSING FINANCE L	HTDN	1,000	18-Oct-16	CARE	CARE AAA	CARE AA+	24-Sep-19		
	9.00% INDIABULLS HOUSING FINANCE L	HTDN	1,500	29-Apr-16	CARE	CARE AAA	CARE AA+	24-Sep-19		
B.	As on Date ²									
	9.50% YES BK DB 23-12-2116	OAPB	7,518	3-Jan-17	ICRA	CARE AA	ICRA BBB+	24-Jul-19		
	9.02% TATA MOTORS DB 10-12-2021	OLDB	1,000	15-Dec-14	ICRA	CARE AA+	ICRA AA-	5-Aug-19		
	9.4648% INDIABULLS HOUSING FINANCE L	HTDN	3,000	4-Oct-18	ICRA	ICRA AAA	ICRA AA+	10-Sep-19		
	8.85% RELIANCE CAP DB 02-11-2026	OLDB	2,003	2-Dec-16	CARE	CARE AAA	CARE D	20-Sep-19		
	9.00% RELIANCE CAP DB 09-09-2026	OLDB	500	9-Sep-16	CARE	CARE AAA	CARE D	20-Sep-19		
	9.00% RELIANCE CAP DB 09-09-2026	OLDB	1,004	9-Sep-16	CARE	CARE AAA	CARE D	20-Sep-19		
	9.55% HINDALCO DB 27-06-2022	ECOS	30	4-Oct-12	CRISIL	CRISIL AA+	CRISIL AA	26-Jun-14		
	8.15% TATA STEEL DB 01-10-2026	ECOS	2,523	21-Nov-16	CARE	CARE AA+	CARE AA	18-Jan-17		
	9.50% UNION BK DB 15-09-2116	OAPS	1,048	2-Dec-16	CARE	CARE AA	CARE AA-	9-Oct-17		
	9.30% DEWANHOUS PI 30-10-2025	OLDB	100	30-Oct-15	CARE	CARE AAA	CARE D	5-Jun-19		
	9.30% DEWANHOUS PI 30-10-2025	OLDB	400	30-Oct-15	CARE	CARE AAA	CARE D	5-Jun-19		
	9.30% DEWANHOUS PI 16-08-2026	OLDB	2,004	23-Aug-16	CARE	CARE AAA	CARE D	5-Jun-19		
	9.36% IDFC BANK LTD PI 21-08-2024	ILBI	500	25-Aug-14	ICRA	FITCH AAA	ICRA AA	21-May-19		
	9.38% IDFC BANK LTD PI 12-09-2024	ILBI	517	15-Jan-16	ICRA	FITCH AAA	ICRA AA	21-May-19		
	10.00% EDELWEISS HOUSING FINANCE L	OLDB	2,007	20-Jul-16	ICRA	ICRA AA	ICRA AA-	25-Jun-19		
	8.85% INDIABULLS HOUSING FINANCE L	HTDN	2,396	30-Sep-16	CARE	CARE AAA	CARE AA+	24-Sep-19		
	9.00% INDIABULLS HOUSING FINANCE L	HTDN	1,500	12-Apr-16	CARE	CARE AAA	CARE AA+	24-Sep-19		
	9.00% INDIABULLS HOUSING FINANCE L	HTDN	1,000	3-Jan-17	CARE	CARE AAA	CARE AA+	24-Sep-19		
	9.00% INDIABULLS HOUSING FINANCE L	HTDN	1,000	18-Oct-16	CARE	CARE AAA	CARE AA+	24-Sep-19		
	9.00% INDIABULLS HOUSING FINANCE L	HTDN	1,500	29-Apr-16	CARE	CARE AAA	CARE AA+	24-Sep-19		

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 30-Sep-19

Signature _____
Mr. Nilesh Kothari
Chief of Finance

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investment (COI) shall be as per INV/GLN/001/2003-04

Form L-35 - Downgrading of Investments
 Name of the Insurer: Bharti AXA Life Insurance Company Limited
 Registration Number: 130
 Statement as on: September 30, 2019
 Statement of Down Graded Investments
 Periodicity of Submission: Quarterly



Name of Fund Pension - General Annuity Fund

Rs Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u> ¹								
	8.85% INDIABULLS HOUSING FINANCE LTD. DE	HTDN	2671	29-Sep-16	CARE	CARE AAA	CARE AA+	24-Sep-19	
	9.00% INDIABULLS HOUSING FINANCE LTD. DE	HTDN	1000	18-Oct-16	CARE	CARE AAA	CARE AA+	24-Sep-19	
B.	<u>As on Date</u> ²								
	8.85% INDIABULLS HOUSING FINANCE LTD. DE	HTDN	2671	29-Sep-16	CARE	CARE AAA	CARE AA+	24-Sep-19	
	9.00% INDIABULLS HOUSING FINANCE LTD. DE	HTDN	1000	18-Oct-16	CARE	CARE AAA	CARE AA+	24-Sep-19	

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Registration Number: 130
Statement as on: September 30, 2019
Statement of Down Graded Investments
Periodicity of Submission: Quarterly

bharti

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naya nazariya

Name of Fund _____ Linked Fund _____

									Rs Lakhs
No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter ¹</u>								
	NIL								
B.	<u>As on Date ²</u>								
	<u>9.55% HINDALCO DB 27-06-2022</u>	ECOS	605.96	04-Oct-12	CRISIL	AA+	AA	26-Jun-14	
	<u>7.59% PNB HOUSING DB 27-07-2022</u>	HTDN	312.19	27-Jul-17	FITCH	AAA	AA+	10-Jan-19	

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Date: 30-Sep-19

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Mr. Nilesh Kothari
Chief of Finance

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