

Form L-27 - Unit Linked Business - 3A (Linked Life)

Unit Linked Insurance Business

Link to Item 'C' of FORM 3A (Part A)

Company Name & Code: Bharti AXA Life Insurance Co. Ltd. (130)

Periodicity of Submission : Quarterly

Statement as on : September 30, 2019

PART - B

Unit Linked Life



Rs. Lakhs

PARTICULARS	NAME OF THE BUSINESS:													
	GROW MONEY FUND	SAVE N GROW MONEY FUND	STEADY MONEY FUND	GROWTH OPPORTUNITIES	BUILD N PROTECT FUND SERIES 1	SAFE MONEY FUND	GROW MONEY PLUS	GROWTH OPPORTUNITIES PLUS	BUILD INDIA FUND	BUILD N PROTECT FUND SERIES 2	TRUE WEALTH FUND	DISCONTINUANCE LIFE FUND	STABILITY PLUS MONEY FUND	TOTAL FUNDS
SFIN	ULIF00221/08/2006EGROWMO NEY130	ULIF00121/08/2006BSAVENG ROW130	ULIF00321/08/2006DSTDY MOENY130	ULIF00708/12/2008EGROW THOPR130	ULIF00919/05/2009BGBUILD NPS1130	ULIF01007/07/2009LSAFEM ONEY130	ULIF01214/12/2009EGR OMONYPL130	ULIF01614/12/2009EGRW THOPPL130	ULIF01909/02/2010EBUI LDINDA130	ULIF02022/06/2009BBUI LDNPS2130	ULIF02104/10/2010BTR UEWLTHG130	ULIF02219/01/2011DDIS CONTLF130	ULIF02322/02/17STAPL UMONF130	
Opening Balance (Market Value)	26,447	5,508	3,976	3,738	202	3,872	13,040	25,837	2,565	-	6,154	938	1,102	93,379
Add : Inflow during The Quarter	363	217	732	97	5	850	1,724	4,582	105	-	0	152	3,495	12,323
Increase/(Decrease) Value of Inv (Net)	(24)	69	111	6	7	52	(29)	47	(19)	-	83	13	54	371
Less: Outflow during the Quarter	1,402	435	307	403	11	851	580	4,822	74	-	162	53	2,745	11,844
TOTAL INVESTIBLE FUNDS (MKT VALUE)	25,385	5,359	4,511	3,438	203	3,924	14,155	25,644	2,578	-	6,076	1,050	1,906	94,229

INVESTMENT OF UNIT FUND	GROW MONEY FUND		SAVE N GROW MONEY FUND		STEADY MONEY FUND		GROWTH OPPORTUNITIES		BUILD N PROTECT FUND SERIES 1		SAFE MONEY FUND		GROW MONEY PLUS		GROWTH OPPORTUNITIES PLUS		BUILD INDIA FUND		BUILD N PROTECT FUND SERIES 2		TRUE WEALTH FUND		DISCONTINUANCE LIFE FUND		STABILITY PLUS MONEY FUND		TOTAL FUNDS	
	ULIF00221/08/2006EGROWMO NEY130		ULIF00121/08/2006BSAVENG ROW130		ULIF00321/08/2006DSTDY MOENY130		ULIF00708/12/2008EGROW THOPR130		ULIF00919/05/2009BBUILD NPS1130		ULIF01007/07/2009LSAFEM ONEY130		ULIF01214/12/2009EGR OMONYPL130		ULIF01614/12/2009EGRW THOPPL130		ULIF01909/02/2010EBUI LDINDA130		ULIF02022/06/2009BBUI LDNPS2130		ULIF02104/10/2010BTR UEWLTHG130		ULIF02219/01/2011DDIS CONTLF130		ULIF02322/02/17STAPL UMONF130			
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)																												
Central Govt Securities	-	-	1,673	31.2	1,942	43.0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	2,302	37.9	-	-	32.6	1.7	5,950	6.3
State Government Securities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	3,075	50.6	-	-	76.3	4.0	3,151	3.3
Other Approved Securities	-	-	43	0.8	200	4.4	-	-	197	96.8	-	-	-	-	-	-	-	-	-	-	-	-	-	-	14.8	0.8	454	0.5
Corporate Bonds	1	0.0	744	13.9	965	21.4	0	0.0	-	-	-	-	1	0.0	1	0.0	0	0.0	-	-	-	-	-	-	354.9	18.6	2,068	2.2
Infrastructure Bonds	-	-	444	8.3	728	16.1	-	-	-	-	643	16.4	-	-	-	-	-	-	-	-	-	-	-	-	944.6	49.6	2,760	2.9
Equity	20,800	81.9	2,066	38.5	-	-	2,964	86.2	-	-	-	-	10,551	74.5	21,244	82.8	2,094	81.2	-	-	465	7.7	-	-	-	-	60,183	63.9
Money Market	35	0.1	57	1.1	539	11.9	-	-	4	1.9	3,190	81.3	1,169	8.3	410	1.6	72	2.8	-	-	-	-	1,049	99.9	200.6	10.5	6,725	7.1
Mutual funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Deposit with Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sub Total (A)	20,836	82.1	5,026	93.8	4,374	97.0	2,964	86.2	200	98.7	3,833	97.7	11,721	82.8	21,655	84.4	2,167	84.0	-	-	5,842	96.2	1,049	99.9	1,624	85.2	81,291	86.3
Current Assets:																												
Accrued Interest	0	0.0	64	1.2	91	2.0	0	0.0	2	1.1	33	0.9	0	0.0	0	0.0	0	0.0	-	-	103	1.7	(0)	(0.0)	47.6	2.5	340	0.4
Dividend Income	1	0.0	0	0.0	-	-	1	0.0	-	-	-	-	0	0.0	5	0.0	0	0.0	-	-	-	-	-	-	-	-	8	0.0
Bank Balance	11	0.0	0	0.0	0	0.0	3	0.1	0	0.0	0	0.0	25	0.2	18	0.1	50	1.9	-	-	3	0.1	0	0.0	0.1	0.0	111	0.1
Receivable for Sale of Investments	180	0.7	20	0.4	-	-	16	0.5	-	-	-	-	53	0.4	119	0.5	23	0.9	-	-	-	-	-	-	-	-	411	0.4
Other Current Assets (for Investments)	0	0.0	0	0.0	47	1.0	0	0.0	0	0.2	58	1.5	138	1.0	142	0.6	5	0.2	-	-	-	-	1	0.1	234.9	12.3	625	0.7
Unit Collection A/c	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Appropriation/Expropriation	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Less: Current Liabilities																												
Payable for Investments	146	0.6	32	0.6	-	-	10	0.3	-	-	-	-	75	0.5	80	0.3	42	1.6	-	-	-	-	-	-	-	-	385	0.4
Fund Mgmt Charges Payable	4	0.0	1	0.0	0	0.0	1	0.0	0	0.0	0	0.0	2	0.0	3	0.0	0	0.0	-	-	1	0.0	0	0.0	0.1	0.0	12	0.0
Other Current Liabilities (for Investment)	31	0.1	0	0.0	-	-	29	0.9	-	-	-	-	0	0.0	0	0.0	0	0.0	-	-	0	0.0	-	-	-	-	62	0.1
Sub Total (B)	11	0.0	52	1.0	137	3.0	(20,562)	(0.6)	3	1.3	91	2.3	139	1.0	200	0.8	36	1.4	-	-	105	1.7	1	0.1	282	14.8	1,036	1.1
Other Investments (<=25%)																												
Corporate Bonds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Infrastructure Bonds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Equity	1,432	5.6	113	2.1	-	-	262	7.6	-	-	-	-	752	5.3	1,880	7.3	118	4.6	-	-	119	2.0	-	-	-	-	4,677	5.0
Money Market	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Mutual Funds	3,106	12.2	168	3.1	-	-	233	6.8	-	-	-	-	1,543	10.9	1,908	7.4	258	10.0	-	-	9	0.2	-	-	-	-	7,225	7.7
Deposit with Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sub Total (C)	4,538	17.9	281	5.2	-	-	495	14.4	-	-	-	-	2,294	16.2	3,789	14.8	376	14.6	-	-	129	2.1	-	-	-	-	11,902	12.6
Total (A + B + C)	25,385	100.0	5,359	100.0	4,511	100.0	3,438	100.0	203	100.0	3,924	100.0	14,155	100.0	25,644	100.0	2,578	100.0	-	-	6,076	100.0	1,050	100.0	1,906	100.0	94,229	100.0

Date : 30-Sep-19

Note:

1. The aggregate of all the above Segregated Unit-Fundsshould tally with Item C of FORM 3A (Part A), for both Par & Non Par Business

2. Details of Item 12 of FORM LB 2 of IRDA (Actuarial Report) Regulation, 2000 shall be reconciled with FORM 3A (Part B)

3. Other Investments' are as permitted under Sec 27A(2) and 27B(3)

Signature:

Nilesh Kothari

Chief of Finance

Form L-27 - Unit Linked Business - 3A(Linked Pension)

Unit Linked Insurance Business

Link to Item 'C' of FORM 3A (Part A)

Company Name & Code: Bharti AXA Life Insurance Co. Ltd.

Periodicity of Submission : Quarterly

Statement as on : September 30, 2019

PART - B

Unit Linked Pension



Rs.Lakhs

PARTICULARS											
	GROW MONEY PENSION FUND	SAVE N GROW MONEY PENSION FUND	STEADY MONEY PENSION FUND	GROWTH OPPORTUNITIES PENSION FUND	SAFE MONEY PENSION FUND	GROW MONEY PENSION PLUS	INVEST N GROW MONEY PENSION FUND	PROTECT N GROW MONEY PENSION	BUILD INDIA PENSION FUND	GROWTH OPPORTUNITIES PENSION PLUS	Total of All Funds
SFIN	ULIF00526/12/2007EGROWMONYP130	ULIF00426/12/2007BSNGROWPEN130	ULIF00626/12/2007DSTDYMONYP130	ULIF00814/12/2008EGRWTHOPPRP130	ULIF01107/12/2009LSAFEMONYLP130	ULIF01501/01/2010EGRMONYPLP130	ULIF01307/12/2009BIVNNGROWP130	ULIF01407/12/2009BPRCTNGRP130	ULIF01704/01/2010EBUILDINDP130	ULIF01801/01/2010EGRWTHOPLP130	
Opening Balance (Market Value)	3,104	637	503	479	219	1,674	-	-	855	2,835	10,305
Add : Inflow during The Quarter	43	30	16	34	40	24	-	-	13	41	241
Increase/(Decrease) Value of Inv (Net)	4	6	13	(1)	3	3	-	-	(6)	17	39
Less: Outflow during the Quarter	318	46	80	93	75	79	-	-	54	159	905
TOTAL INVESTIBLE FUNDS (MKT VALUE)	2,833	627	453	419	186	1,621	-	-	808	2,733	9,680

INVESTMENT OF UNIT FUND	GROW MONEY PENSION FUND		SAVE N GROW MONEY PENSION FUND		STEADY MONEY PENSION FUND		GROWTH OPPORTUNITIES PENSION FUND		SAFE MONEY PENSION FUND		GROW MONEY PENSION PLUS		INVEST N GROW MONEY PENSION FUND		PROTECT N GROW MONEY PENSION		BUILD INDIA PENSION FUND		GROWTH OPPORTUNITIES PENSION PLUS		TOTAL FUND	
	ULIF00526/12/2007EGROWMONYP130		ULIF00426/12/2007BSNGROWPEN130		ULIF00626/12/2007DSTDYMONYP130		ULIF00814/12/2008EGRWTHOPPRP130		ULIF01107/12/2009LSAFEMONYLP130		ULIF01501/01/2010EGRMONYPLP130		ULIF01307/12/2009BIVNNGROWP130		ULIF01407/12/2009BPRCTNGRP130		ULIF01704/01/2010EBUILDINDP130		ULIF01801/01/2010EGRWTHOPLP130			
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)																						
Central Govt Securities	-	-	187	29.8	197	43.5	-	-	-	-	-	-	-	-	-	-	-	-	-	-	384	4.0
State Government Securities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other Approved Securities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Corporate Bonds	0	0.0	53	8.5	96	21.2	0	0.0	-	-	0	0.0	-	-	-	-	0	0.0	0	0.0	150	1.5
Infrastructure Bonds	-	-	104	16.6	134	29.6	-	-	20	10.8	-	-	-	-	-	-	-	-	-	-	258	2.7
Equity	2,360	83.3	233	37.3	-	-	354	84.4	-	-	1,323	81.6	-	-	-	-	681	84.3	2,322	84.9	7,274	75.1
Money Market	-	-	21	3.4	14	3.1	-	-	165	88.8	-	-	-	-	-	-	-	-	-	-	200	2.1
Mutual Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Deposit with Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sub Total	2,360	83.3	598	95.5	441	97.4	354	84.4	186	99.6	1,323	81.6	-	-	-	-	681	84.3	2,322	85.0	8,265	85.4
Current Assets:																						
Accrued Interest	0	0.0	7	1.1	12	2.8	0	0.0	1	0.5	0	0.0	-	-	-	-	0	0.0	0	0.0	20	0.2
Dividend Income	0	0.0	0	0.0	-	-	0	0.0	-	-	0	0.0	-	-	-	-	0	0.0	1	0.0	1	0.0
Bank Balance	10	0.3	0	0.0	0	0.0	0	0.1	0	0.0	0	0.6	-	-	-	-	14	1.8	2	0.1	36	0.4
Receivable for Sale of Investment	35	1.2	1	0.2	-	-	2	0.5	-	-	10	0.6	-	-	-	-	7	0.9	12	0.5	68	0.7
Other Current Assets (for Investment)	0	0.0	1	0.2	-	-	0	0.0	-	-	1	0.0	-	-	-	-	0	0.1	0	0.0	3	0.0
Unit Collection A/c	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Appropriation/Expropriation	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Less: Current Liabilities																						
Payable for Investments	17	0.6	3	0.5	-	-	1	0.3	-	-	9	0.6	-	-	-	-	11	1.4	8	0.3	50	0.5
Fund Mgmt Charges Payable	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	-	-	-	-	0	0.0	0	0.0	1	0.0
Other Current Liabilities (for Investment)	41	1.5	0	0.0	1	0.2	0	0.0	0	0.2	0	0.0	-	-	-	-	0	0.0	3	0.1	46	0.5
Sub Total (B)	(13)	-0.5	6	1.0	12	2.6	1.2	0.3	1	0.4	10.7	0.7	-	-	-	-	11	1.3	3	0.1	31	0.3
Other Investments (<=25%)																						
Corporate Bonds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Infrastructure Bonds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Equity	158	5.6	9	1.4	-	-	37	8.7	-	-	91	5.6	-	-	-	-	30	3.8	220	8.0	544	5.6
Money Market	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Mutual Funds	328	11.6	13	2.1	-	-	28	6.6	-	-	196	12.1	-	-	-	-	86	10.6	189	6.9	839	8.7
Deposit with Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sub Total (C)	486	17.1	22	3.6	-	-	64	15.3	-	-	288	17.7	-	-	-	-	116	14.3	408	14.9	1,384	14.3
Total (A + B + C)	2,833	100.0	627	100.0	453	100.0	419	100.0	186	100.0	1,621	100.0	-	-	-	-	808	100.0	2,733	100.0	9,680	100.0

Date:

30-Sep-19

Note:

1. The aggregate of all the above Segregated Unit-Fund should tally with item C of FORM 3A (Part A), for both Par & Non Par Business
2. Details of item 12 of FORM LB 2 of IRDA (Actuarial Report) Regulation, 2000 shall be reconciled with FORM 3A (Part B)
3. Other Investments' are as permitted under Sec 27A(2) and 27B(3)

Signature: _____

Nilesh Kothari

Chief of Finance

Unit Linked Insurance Business Link to Item 'C' of FORM 3A (Part A)
Company Name & Code: Bharti AXA Life Insurance Co. Ltd.
Periodicity of Submission : Quarterly
Statement as on : September 30, 2019

Rs.Lakhs

Rs. Lakhs

PARTICULARS					
	GROUP EQUITY FUND	GROUP DEBT FUND	GROUP BALANCE FUND	GROUP LIQUID FUND	Total of All Funds
SFIN	ULGF00103/08/17GROUPEQUI F130	ULGF00303/08/17GROUPE BTFF130	ULGF00203/08/17GROUPE ALDF130	ULGF00403/08/17GROUPE QDF130	
Opening Balance (Market Value)	-	1,405	1	-	1,406
Add : Inflow during The Quarter	-	151	(0)	-	151
Increase/(Decrease) Value of Inv (Net)	-	39	0	-	39
Less : Outflow during the Quarter	-	79	-	-	79
TOTAL INVESTIBLE FUNDS (MKT VALUE)	-	1,516	1	-	1,517

INVESTMENT OF UNIT FUND	GROUP EQUITY FUND		GROUP DEBT FUND		GROUP BALANCE FUND		GROUP LIQUID FUND		TOTAL FUND	
	ULGF00103/08/17GROUPEQUI F130		ULGF00303/08/17GROUPEDE BT F130		ULGF00203/08/17GROUBP ALDF130		ULGF00403/08/17GROUPLI QDF130			
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)										
Central Govt Securities	-	-	701	46.3	-	-	-	-	701	46.2
State Government Securities	-	-	-	-	-	-	-	-	-	-
Other Approved Securities	-	-	201	13.3	-	-	-	-	201	13.3
Corporate Bonds	-	-	126	8.3	-	-	-	-	126	8.3
Infrastructure Bonds	-	-	384	25.3	-	-	-	-	384	25.3
Equity	-	-	-	-	-	-	-	-	-	-
Money Market	-	-	74	4.9	1	95.6	-	-	75	4.9
Mutual funds	-	-	-	-	-	-	-	-	-	-
Deposit with Banks	-	-	-	-	-	-	-	-	-	-
Sub Total	-	-	1,486	98.1	1	95.6	-	-	1,487	98.1
Current Assets:										
Accrued Interest	-	-	29	1.9	-	-	-	-	29	1.9
Dividend Income	-	-	-	-	-	-	-	-	-	-
Bank Balance	-	-	0	0.0	0	4.4	-	-	0	0.0
Receivable for Sale of Investment	-	-	-	-	-	-	-	-	-	-
Other Current Assets (for Investment)	-	-	-	-	(0)	0.0	-	-	(0)	0.0
Unit Collection A/c	-	-	-	-	-	-	-	-	-	-
Appropriation/Expropriation	-	-	-	-	-	-	-	-	-	-
Less: Current Liabilities										
Payable for Investments	-	-	-	-	-	-	-	-	-	-
Fund Mgmt Charges Payable	-	-	0	0.0	0	0.0	-	-	0	0.0
Other Current Liabilities (for Investment)	-	-	0	0.0	-	-	-	-	0	0.0
Sub Total (B)	-	-	29	1.9	0	4.4	-	-	29	1.9
Other Investments (<=25%)										
Corporate Bonds	-	-	-	-	-	-	-	-	-	-
Infrastructure Bonds	-	-	-	-	-	-	-	-	-	-
Equity	-	-	-	-	-	-	-	-	-	-
Money Market	-	-	-	-	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-	-	-	-	-
Deposit with Banks	-	-	-	-	-	-	-	-	-	-
Sub Total (C)	-	-	-	-	-	-	-	-	-	-
Total (A + B + C)	-	-	1,516	100.0	1	100.0	-	-	1,517	100.0

Signature: _____
Nilesh Kothari
Chief of Finance

Note:

1. The aggregate of all the above Segregated Unit-Fundsshould tally with item C of FORM 3A (Part A), for both Par & Non Par Business
2. Details of item 12 of FORM LB 2 of IRDA (Actuarial Report) Regulation, 2000 shall be reconciled with FORM 3A (Part B)
3. Other Investments' are as permitted under Sec 27A(2) and 27B(3)