Form L-35 - Downgrading of Investments

Name of the Insurer: Bharti AXA Life Insurance Company Limited

Registration Number: 130

Statement as on: September 30, 2018 Statement of Down Graded Investments Periodicity of Submission: Quarterly Name of Fund Life Fund



Rs Lakhs

									NS LUKIIS
No	Name of the Security	соі	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	During the Quarter <sup>1</sup>								
				NIL					
			r	<u>NIL</u>	1	1	r	, ,	
В.	As on Date <sup>2</sup>								
	9.55% HINDALCO DB 27-06-2022	ECOS	30	4-Oct-12	CRISIL	AA+	AA	26-Jun-14	
	8.85% RELIANCE CAP DB 02-11-2026	ECOS	1,002	2-Dec-16	CARE	AAA	AA+	24-Mar-17	
	8.85% RELIANCE CAP DB 02-11-2026	ECOS	1,002	6-Dec-16	CARE	AAA	AA+	24-Mar-17	
	9.00% RELIANCE CAP DB 09-09-2026	ECOS	500	9-Sep-16	CARE	AAA	AA+	24-Mar-17	
	8.15% TATA STEEL DB 01-10-2026	ECOS	1,508	21-Nov-16	CARE	AA+	AA	18-Jan-17	
	8.15% TATA STEEL DB 01-10-2026	ECOS	1,013	28-Nov-16	CARE	AA+	AA	18-Jan-17	
	8.15% TATA STEEL DB 01-10-2026	ECOS	507	3-Jan-17	CARE	AA+	AA	18-Jan-17	
	9.50% UNION BK DB 15-09-2116	OAPS	1,053	2-Dec-16	CARE	AA	AA-	9-Oct-17	
	9.36% IDFC BANK LTD PI 21-08-2024	ILBI	500	25-Aug-14	FITCH	AAA	AA+	29-Jun-18	
	9.38% IDFC BANK LTD PI 12-09-2024	ILBI	520	15-Jan-16	FITCH	AAA	AA+	29-Jun-18	

## **CERTIFICATION**

Date: 30-Sep-18

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Signature	
Mr. Nilesh Kothari	

Chief of Finance

### Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04

Form L-35 - Downgrading of Investments

Name of the Insurer: Bharti AXA Life Insurance Company Limited

Registration Number: 130

Statement as on: September 30, 2018 Statement of Down Graded Investments Periodicity of Submission: Quarterly

# Name of Fund Pension - General Annuity Fund



Rs Lakhs

No	Name of the Security	соі	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	During the Quarter <sup>1</sup>								
	<u>NIL</u>								
В.	As on Date <sup>2</sup>								
	9.00% RELIANCE CAP DB 09-09-2026	ECOS	504	12-Jan-17	CARE	AAA	AA+	24-Mar-17	
	9.00% RELIANCE CAP DB 09-09-2026	ECOS	500	16-Sep-16	CARE	AAA	AA+	24-Mar-17	

### **CERTIFICATION**

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Signature

Date: 30-Sep-18 Mr. Nilesh Kothari

Chief of Finance

#### Note:

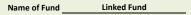
- ${\bf 1} \ \ {\it Provide details of Down Graded Investments during the Quarter}.$
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04

Form L-35 - Downgrading of Investment	orm	L-35 -	Downgrac	ling of	Investment
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Name of the Insurer: Bharti AXA Life Insurance Company Limited

Registration Number: 130

Statement as on: September 30, 2018 Statement of Down Graded Investments Periodicity of Submission: Quarterly





Rs Lakhs

No	Name of the Security	соі	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
Α.	During the Quarter <sup>1</sup>								
				<u>NIL</u>					
В.	As on Date <sup>2</sup>								
	9.55% HINDALCO DB 27-06-2022	ECOS	671	04-Oct-12	CRISIL	AA+	AA	26-Jun-14	

### **CERTIFICATION**

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

	Signature	
Date: 30-Sep-18	Mr. Nilesh Kothari	
	Chief of Finance	

### Note:

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