

Form L-35 - Downgrading of Investments

Name of the Insurer: Bharti AXA Life Insurance Company Limited

Registration Number: 130

Statement as on: September 30, 2017

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Name of Fund Life Fund

bharti AXA

jeevan suraksha ka
naya nazariya

Rs Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter ¹</u>								
	<u>NIL</u>								
B.	<u>As on Date ²</u>								
	<u>9.55% HINDALCO DB 27-06-2022</u>	ECOS	30	4-Oct-12	CRISIL	AA+	AA	26-Jun-14	
	<u>11.00% BOI DB 08-08-2114</u>	EUPD	500	8-Aug-14	CRISIL	AAA	AA+	14-Feb-16	
	<u>11.00% BOI DB 08-08-2114</u>	ODCI	500	8-Aug-14	CRISIL	AA+	AA-	1-Apr-16	
	<u>8.85% RELIANCE CAP DB 02-11-2026</u>	ECOS	1,002	2-Dec-16	CARE	AAA	AA+	24-Mar-17	
	<u>8.85% RELIANCE CAP DB 02-11-2026</u>	ECOS	1,002	6-Dec-16	CARE	AAA	AA+	24-Mar-17	
	<u>9.00% RELIANCE CAP DB 09-09-2026</u>	ECOS	500	9-Sep-16	CARE	AAA	AA+	24-Mar-17	
	<u>8.15% TATA STEEL DB 01-10-2026</u>	ECOS	1,509	21-Nov-16	CARE	AA+	AA	18-Jan-17	
	<u>8.15% TATA STEEL DB 01-10-2026</u>	ECOS	1,015	28-Nov-16	CARE	AA+	AA	18-Jan-17	
	<u>8.15% TATA STEEL DB 01-10-2026</u>	ECOS	507	3-Jan-17	CARE	AA+	AA	18-Jan-17	

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Signature _____

Mr. Rajeev Kumar

Principal Officer & Chief of Finance

Date: 30-Sep-17

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04

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Periodicity of Submission: Quarterly

Name of Fund Pension - General Annuity Fund

Rs Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u> ¹								
B.	<u>As on Date</u> ²								
	9.00% RELIANCE CAP DB 09-09-2026	ECOS	504	12-Jan-17	CARE	AAA	AA+	24-Mar-17	
	9.00% RELIANCE CAP DB 09-09-2026	ECOS	500	16-Sep-16	CARE	AAA	AA+	24-Mar-17	

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Mr. Rajeev Kumar

Principal Officer & Chief of Finance

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Statement as on: September 30, 2017

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Name of Fund _____ Linked Fund _____



Rs Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u> ¹								
	NIL								
B.	<u>As on Date</u> ²								
	9.55% HINDALCO DB 27-06-2022	ECOS	712	04-Oct-12	CRISIL	AA+	AA	26-Jun-14	

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