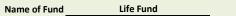
Form L-35 - Downgrading of Investments

Name of the Insurer: Bharti AXA Life Insurance Company Limited

Registration Number: 130
Statement as on: June 30, 2017
Statement of Down Graded Investments
Periodicity of Submission: Quarterly





Rs Lakhs

No	Name of the Security	соі	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks	
A.	During the Quarter ¹									
	<u>NIL</u>									
В.	As on Date ²									
	9.55% HINDALCO DB 25-04-2022	OLDB	1,195	10-Dec-14	CRISIL	AA	AA-	3-Aug-15		
	9.55% HINDALCO DB 27-06-2022	OLDB	30	4-Oct-12	CRISIL	AA+	AA	26-Jun-14		
	9.55% HINDALCO DB 27-06-2022	OLDB	30	4-Oct-12	CRISIL	AA	AA-	3-Aug-15		
	9.60% HINDALCO DB 02-08-2022	OLDB	397	11-Jul-14	CRISIL	AA	AA-	3-Aug-15		
	11.00% BOI DB 08-08-2114	EUPD	500	8-Aug-14	CRISIL	AAA	AA+	14-Feb-16		
	11.00% BOI DB 08-08-2114	ODCI	500	8-Aug-14	CRISIL	AA+	AA-	1-Apr-16		
	8.85% RELIANCE CAP DB 02-11-2026	ECOS	1,001	2-Dec-16	CARE	AAA	AA+	24-Mar-17		
	8.85% RELIANCE CAP DB 02-11-2026	ECOS	1,001	6-Dec-16	CARE	AAA	AA+	24-Mar-17		
	9.00% RELIANCE CAP DB 09-09-2026	ECOS	500	9-Sep-16	CARE	AAA	AA+	24-Mar-17		
	8.15% TATA STEEL DB 01-10-2026	ECOS	1,508	21-Nov-16	CARE	AA+	AA	18-Jan-17		
	8.15% TATA STEEL DB 01-10-2026	ECOS	1,014	28-Nov-16	CARE	AA+	AA	18-Jan-17		
	8.15% TATA STEEL DB 01-10-2026	ECOS	507	3-Jan-17	CARE	AA+	AA	18-Jan-17		

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Signature			

Date: 30-Jun-17 Mr. Rajeev Kumar

Principal Officer & Chief of Finance

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04

Form L-35 - Downgrading of Investments

Name of the Insurer: Bharti AXA Life Insurance Company Limited

Registration Number: 130
Statement as on: June 30, 2017

Statement of Down Graded Investments
Periodicity of Submission: Quarterly

Name of Fund Pension - General Annuity Fund



Rs Lakhs

No	Name of the Security	соі	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	During the Quarter ¹								
В.	As on Date ²								
	9.00% RELIANCE CAP DB 09-09-2026	ECOS	504	12-Jan-17	CARE	AAA	AA+	24-Mar-17	
	9.00% RELIANCE CAP DB 09-09-2026	ECOS	500	16-Sep-16	CARE	AAA	AA+	24-Mar-17	

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

		Signature
Date:	30-Jun-17	Mr. Rajeev Kumar
		Principal Officer & Chief of Finance

Note:

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Form L-35 - Downgrading of Investments

Name of the Insurer: Bharti AXA Life Insurance Company Limited

Registration Number: 130

Statement as on: June 30, 2017

Statement of Down Graded Investments Periodicity of Submission: Quarterly



Rs Lakhs

No	Name of the Security	СОІ	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	During the Quarter ¹								
	NIL								
В.	As on Date ²								
	9.55% HINDALCO DB 27-06-2022	OLDB	695	04-Oct-12	CRISIL	AA+	AA	26-Jun-14	
	9.55% HINDALCO DB 27-06-2022	OLDB	695	04-Oct-12	CRISIL	AA	AA-	03-Aug-15	_

Name of Fund

Linked Fund

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

	Signature	
Date: 30-Jun-17	Mr. Rajeev Kumar	
	Principal Officer & Chief of Finance	

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