

Form L-27 - Unit Linked Business - 3A (Linked Life)

Unit Linked Insurance Business

Company Name & Code: Bharti AXA Life Insurance Co. Ltd. (130)

Periodicity of Submission : Quarterly

Statement as on : September 30, 2017

Link to Item 'C' of FORM 3A (Part A)

PART - B



Unit Linked Life

Rs.Lakhs

PARTICULARS	NAME OF THE BUSINESS:												
	GROW MONEY FUND	SAVE N GROW MONEY FUND	STEADY MONEY FUND	GROWTH OPPORTUNITIES	BUILD N PROTECT FUND SERIES 1	SAFE MONEY FUND	GROW MONEY PLUS	GROWTH OPPORTUNITIES PLUS	BUILD INDIA FUND	BUILD N PROTECT FUND SERIES 2	TRUE WEALTH FUND	DISCONTINUANCE LIFE FUND	TOTAL FUNDS
SFIN	ULIF00221/08/2006EGROWMO NEY130	ULIF00121/08/2006BSAVENG ROW130	ULIF00321/08/2006DSTDY MOENY130	ULIF00708/12/2008EGROW THOPRI130	ULIF00919/05/2009BBUILD NPS1130	ULIF01007/07/2009LSAFEM ONEY130	ULIF01214/12/2009EGR OMONYP130	ULIF01614/12/2009EGRW THOPPL130	ULIF01909/02/2010EBUI LDINDA130	ULIF02022/06/2009BBUI LDNPS2130	ULIF02104/10/2010BTR UEWLTHG130	ULIF02219/01/2011DDIS CONTLF130	
Opening Balance (Market Value)	36,777	6,721	4,467	4,606	346	2,544	13,222	20,370	3,043		10,263	7,155	109,515
Add : Inflow during The Quarter	570	351	459	164	7	484	593	1,111	65		20	224	4,849
Increase/(Decrease) Value of Inv (Net)	2,248	324	70	310	5	34	839	1,369	175	-	316	70	5,760
Less: Outflow during the Quarter	3,158	673	635	453	27	641	1,248	2,508	275		910	1,523	12,050
TOTAL INVESTIBLE FUNDS (MKT VALUE)	36,437	6,722	4,361	4,628	331	2,420	13,406	20,342	3,009	-	9,691	5,926	107,274

INVESTMENT OF UNIT FUND	GROW MONEY FUND		SAVE N GROW MONEY FUND		STEADY MONEY FUND		GROWTH OPPORTUNITIES		BUILD N PROTECT FUND SERIES 1		SAFE MONEY FUND		GROW MONEY PLUS		GROWTH OPPORTUNITIES PLUS		BUILD INDIA FUND		BUILD N PROTECT FUND SERIES 2		TRUE WEALTH FUND		DISCONTINUANCE LIFE FUND		TOTAL FUNDS	
	ULIF00221/08/2006EGROW/MO NEY130		ULIF00121/08/2006BSAVENG ROW130		ULIF00321/08/2006DSTOY MOENY130		ULIF00708/12/2008EGROW THOPRI130		ULIF00919/05/2008BGBUILD NPS1130		ULIF01007/07/2009LSAFEM ONEY130		ULIF01214/12/2009EGR OMONYPL130		ULIF01614/12/2009EGRW THOPPL130		ULIF01909/02/2010EBUI LDINDA130		ULIF02022/06/2009BBUI LDNPS2130		ULIF02104/10/2010BTR UEWLTHG130		ULIF02219/01/2011DDIS CONTLF130			
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)																										
Central Govt Securities	-	-	1,663	24.7	1,524	34.9	-	-	-	-	-	-	-	-	-	-	-	-	-	3,450	35.6	5,396	91.1	12,034	11.2	
State Government Securities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	3,198	33.0	-	-	3,198	3.0	
Other Approved Securities	-	-	21	0.3	174	4.0	-	-	323	97.3	-	-	-	-	-	-	-	-	-	5	0.0	114	1.9	637	0.6	
Corporate Bonds	-	-	678	10.1	970	22.2	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1,648	1.5	
Infrastructure Bonds	-	-	698	10.4	901	20.7	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1,599	1.5	
Equity	32,585	89.4	2,844	42.3	-	-	4,195	90.7	-	-	-	-	12,081	90.1	18,255	89.7	2,739	91.0	-	-	2,579	26.6	-	-	75,277	70.2
Money Market	-	-	-	-	-	-	-	-	-	-	2,297	94.9	-	-	-	-	-	-	-	-	-	-	353	6.0	2,651	2.5
Mutual funds	0	0.0	374	5.6	560	12.8	-	-	7	2.2	104	4.3	-	-	-	-	-	-	-	0	0.0	77	1.3	1,122	1.0	
Deposit with Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sub Total (A)	32,585	89.43	6,279	93.40	4,129	94.7	4,195	90.65	330	99.51	2,401	99.2	12,081	90.1	18,255	89.7	2,739	91.0	-	-	9,232	95.3	5,940	100.2	98,165	91.5
Current Assets:																										
Accrued Interest	(0)	(0.0)	71	1.0	108	2.5	(0)	(0.0)	4	1.2	0	0.0	0	0.0	(0)	(0.0)	0	0.0	-	-	136	1.4	102	1.7	420	0.4
Dividend Income	20	0.1	1	0.0	-	-	3	0.1	-	-	-	-	7	0.1	14	0.1	2	0.1	-	-	6	0.1	-	-	52	0.0
Bank Balance	8	0.0	0	0.0	103	2.4	10	0.2	0	0.0	0	0.0	13	0.1	32	0.2	5	0.2	-	-	7	0.1	0	0.0	179	0.2
Receivable for Sale of Investments	310	0.9	-	-	517	11.9	3	0.1	-	-	-	-	80	0.6	15	0.1	7	0.2	-	-	22	0.2	-	-	955	0.9
Other Current Assets (for Investments)	-	-	10	0.2	25	0.6	-	-	-	-	21	0.9	-	-	39	0.2	-	-	-	-	-	-	-	-	95	0.1
Unit Collection A/c	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Appropriation/Expropriation	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Less: Current Liabilities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Payable for Investments	77	0.2	0	0.0	517	11.8	2	0.1	-	-	-	-	7	0.1	6	0.0	0	0.0	-	-	-	-	-	-	609	0.6
Fund Mgmt Charges Payable	54	0.1	8	0.1	4	0.1	8	0.2	0	0.1	2.3	0.1	18	0.1	27	0.1	4	0.1	-	-	16	0.2	3	0.1	145	0.1
Other Current Liabilities (for Investments)	176	0.5	-	-	-	-	3	0.1	2	0.6	-	-	44	0.3	-	-	7	0.2	-	-	16	0.2	113	1.9	361	0.3
Sub Total (B)	31	0.09	74	1.10	232	5.3	4	0.08	2	0.49	19	0.8	32	0.2	66	0.3	2	0.1	-	-	138	1.4	(14)	(0.2)	587	0.5
Other Investments (<=25%)																										
Corporate Bonds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Infrastructure Bonds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Equity	852	2.3	291	4.3	-	-	265	5.7	-	-	-	-	359	2.7	1,238	6.1	168	5.6	-	-	313	3.2	-	-	3,487	3.3
Money Market	2,969	8.1	79	1.2	-	-	164	3.5	-	-	-	-	934	7.0	783	3.8	100	3.3	-	-	8	0.1	-	-	5,035	4.7
Mutual Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Deposit with Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sub Total (C)	3,821	10.49	370	5.50	-	-	429	9.27	-	-	-	-	1,293	9.6	2,021	9.9	268	8.9	-	-	321	3.3	-	-	8,522	7.9
Total (A + B + C)	36,437	100.0	6,722	100.0	4,361	100.0	4,628	100.0	331	100.0	2,420	100.0	13,406	100.0	20,342	100.0	3,009	100.0	-	-	9,691	100.0	5,926	100.0	107,274	100.0

Date : 30-Sep-17

Note:

- The aggregate of all the above Segregated Unit-Fund should tally with Item C of FORM 3A (Part A), for both Par & Non Par Business
- Details of item 12 of FORM LB 2 of IRDA (Actuarial Report) Regulation, 2000 shall be reconciled with FORM 3A (Part B)
- Other Investments' are as permitted under Sec 27A(2) and 27B(3)

Signature: _____

Rajeev Kumar

Principal Officer & Chief of Finance

Form L-27 - Unit Linked Business - 3A(Linked Pension)

Unit Linked Insurance Business

Link to Item 'C' of FORM 3A (Part A)

Company Name & Code: Bharti AXA Life Insurance Co. Ltd.

Periodicity of Submission : Quarterly

Statement as on : September 30, 2017

PART - B



Unit Linked Pension

Rs.Lakhs

PARTICULARS											
	GROW MONEY PENSION FUND	SAVE N GROW MONEY PENSION FUND	STEADY MONEY PENSION FUND	GROWTH OPPORTUNITIES PENSION FUND	SAFE MONEY PENSION FUND	GROW MONEY PENSION PLUS	INVEST N GROW MONEY PENSION FUND	PROTECT N GROW MONEY PENSION	BUILD INDIA PENSION FUND	GROWTH OPPORTUNITIES PENSION PLUS	Total of All Funds
SFIN	ULIF00526/12/2007EGROWMONYP130	ULIF00426/12/2007BSNGROWPEN130	ULIF00626/12/2007DSTDMONYP130	ULIF00814/12/2008EGRWTHOPRP130	ULIF01107/12/2009LSAFEMONYP130	ULIF01501/01/2010EGRMONYPLP130	ULIF01307/12/2009BINVNGROWP130	ULIF01407/12/2009BPRCTNGRP130	ULIF01704/01/2010EBUILDINDP130	ULIF01801/01/2010EGRWTHOPLP130	
Opening Balance (Market Value)	5,570	895	713	648	275	2,505	0	(0)	1,226	3,774	15,606
Add : Inflow during The Quarter	95	37	45	51	87	53	-	-	24	103	494
Increase/(Decrease) Value of Inv (Net)	334	38	10	45	4	161	(0)	0	73	265	930
Less: Outflow during the Quarter	347	57	84	66	74	321	-	-	147	490	1,585
TOTAL INVESTIBLE FUNDS (MKT VALUE)	5,652	912	684	678	292	2,398	-	-	1,176	3,652	15,444

INVESTMENT OF UNIT FUND	GROW MONEY PENSION FUND		SAVE N GROW MONEY PENSION FUND		STEADY MONEY PENSION FUND		GROWTH OPPORTUNITIES PENSION FUND		SAFE MONEY PENSION FUND		GROW MONEY PENSION PLUS		INVEST N GROW MONEY PENSION FUND		PROTECT N GROW MONEY PENSION		BUILD INDIA PENSION FUND		GROWTH OPPORTUNITIES PENSION PLUS		TOTAL FUND	
	ULIF00526/12/2007EGROWMONYP130		ULIF00426/12/2007BSNGROWPEN130		ULIF00626/12/2007DSTDMONYP130		ULIF00814/12/2008EGRWTHOPRP130		ULIF01107/12/2009LSAFEMONYP130		ULIF01501/01/2010EGRMONYPLP130		ULIF01307/12/2009BINVNGROWP130		ULIF01407/12/2009BPRCTNGRP130		ULIF01704/01/2010EBUILDINDP130		ULIF01801/01/2010EGRWTHOPLP130			
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)																						
Central Govt Securities	-	-	149	16.3	371	54.3	-	-	-	-	-	-	-	-	-	-	-	-	-	-	520	3.4
State Government Securities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other Approved Securities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Corporate Bonds	-	-	110	12.0	102	14.8	-	-	-	-	-	-	-	-	-	-	-	-	-	-	211	1.4
Infrastructure Bonds	-	-	148	16.3	129	18.8	-	-	-	-	-	-	-	-	-	-	-	-	-	-	277	1.8
Equity	5,107	90.4	414	45.4	-	-	616	90.8	-	-	2,123	88.5	-	-	-	-	1,070	91.0	3,283	89.9	12,613	81.7
Money Market	-	-	-	-	-	-	-	-	249	85.2	-	-	-	-	-	-	-	-	-	-	249	1.6
Mutual funds	-	-	69	7.6	68	9.9	-	-	37	12.7	-	-	-	-	-	-	-	-	-	-	174	1.1
Deposit with Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sub Total (A)	5,107	90.4	890	97.5	669	97.8	616	90.8	286	97.9	2,123	88.5	-	-	-	-	1,070	91.0	3,283	89.9	14,044	90.9
Current Assets:																						
Accrued Interest	(0)	(0.0)	10	1.1	15	2.2	0	0.0	(0)	(0.0)	(0)	(0.0)	-	-	-	-	(0)	(0.0)	(0)	(0.0)	25	0.2
Dividend Income	3	0.1	0	0.0	-	-	0	0.1	-	-	1	0.1	-	-	-	-	1	0.1	3	0.1	9	0.1
Bank Balance	6	0.1	0	0.0	0	0.0	2	0.4	7	2.4	2	0.1	-	-	-	-	2	0.2	53	1.4	73	0.5
Receivable for Sale of Investments	40	0.7	-	-	0	0.0	5	0.7	-	-	19	0.8	-	-	-	-	6	0.5	17	0.5	86	0.6
Other Current Assets (for Investment)	-	-	0	0.0	1	0.1	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1	0.0
Unit Collection A/c	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Appropriation/Expropriation	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Less: Current Liabilities																						
Payable for Investments	7	0.1	0	0.0	0	0.0	1	0.1	-	-	5	0.2	-	-	-	-	1	0.1	29	0.8	42	0.3
Fund Mgmt Charges Payable	8	0.1	1	0.1	1	0.1	1	0.2	0.27	0.1	3	0.1	-	-	-	-	2	0.1	5	0.1	21	0.1
Other Current Liabilities (for Investment)	14	0.3	-	-	-	-	6	0.9	0	0.2	0	0.0	-	-	-	-	4	0.4	36	1.0	62	0.4
Sub Total (B)	19	0.3	9	1.0	15	2.2	(1)	(0.1)	6	2	14.2	1	-	-	-	-	2	0.2	3	0.1	68	0.4
Other Investments (<=25%)																						
Corporate Bonds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Infrastructure Bonds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Equity	133	2.4	6	0.7	-	-	50	7.4	-	-	62	2.6	-	-	-	-	62	5.3	226	6.2	539	3.5
Money Market	393	6.9	7	0.8	-	-	13	1.9	0	0.0	199	8.3	-	-	-	-	41	3.5	140	3.8	793	5.1
Mutual Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Deposit with Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sub Total (C)	526	9.3	13	1.4	-	-	63	9.3	0	0.0	261	10.9	-	-	-	-	103	8.8	366	10.0	1,332	8.6
Total (A + B + C)	5,652	100.0	912	100.0	684	100.0	678	100.0	292	100.0	2,398	100.0	-	-	-	-	1,176	100.0	3,652	100.0	15,444	100.0

Date: 30-Sep-17

Note:

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- Other Investments' are as permitted under Sec 27A(2) and 27B(3)

Signature: _____

Rajeev Kumar

Principal Officer & Chief of Finance