Form 'L-26 - INVESTMENT ASSETS (LIFE INSURERS) - 3A

Company Name & Code: Bharti Axa Life Insurance Co. Ltd. (0130) **PART - A**

Statement as on : September 30, 2017

Statement of Investment Assets (Life Insurers) (Business within India)

Periodicity of Submission : Quarterly

No	PARTICULARS	SCH	Amount
1	Investments (Sharehoders)	8	25,555
	Investments (Policyholders)	8A	260,438
	Investments (Linked Liabilities)	8B	122,718
2	Loans	9	269
3	Fixed Assets	10	1,297
4	Current Assets		
	a. Cash & Bank Balance	11	2,335
	b. Advances & Other Assets	12	29,692
5	Current Liabilities		
	a. Current Liabilities	13	26,803
	b. Provisions	14	1,302
	c. Misc. Exp not Written Off	15	0
	d. Debit Balance of P&L A/c		241,412
	Application of Funds as per Balance Sheet (A)		**172,787**

	Less: Other Assets	**SCH**	**Amount**
1	Loans (if any)	9	269
2	Fixed Assets (if any)	10	1,297
3	Cash & Bank Balance (if any)	11	2,335
4	Advances & Other Assets (if any)	12	29,692
5	Current Liabilities	13	26,803
6	Provisions	14	1,302
7	Misc. Exp not Written Off	15	0
8	Investments held outside India		
9	Debit Balance of P&L A/c		241412
		TOTAL (B)	**-235,924**
	Investment Assets	**(A-B)**	**408,711**

Reconciliation of Investment Assets

Total Investment Assets (as per Balance Sheet)	**408,711**
Balance Sheet Value of:	
A. Life Fund	250,890
B. Pension & Gen Annuity Fund	35,103
C. Unit Linked Funds	122,718
	408,711

Section II

NON - LINKED BUSINESS *Rs.Lakhs*

A. LIFE FUND			% as per Reg	SH Balance (a)	SH FRSM+ (b)	PH UL-Non Unit Res (c)	PH PAR (d)	PH NON PAR (e)	Book Value (SH+PH) F=[b+c+d+e]	Actual %	FVC Amount	Total Fund	Market Value
1	Central Govt. Sec		Not Less than 25%	-	2,924	2,188	60,389	11,185	76,686	31	-	76,686	79,760
2	Central Govt Sec, State Govt Sec or Other Approved Securities (incl (i) above)		Not Less than 50%	-	12,147	2,922	90,759	21,370	127,197	51	-	127,197	131,740
3	**Investment subject to Exposure Norms**				-	-	-	-			-		-
	a.	Housing & Infrastructure	Not Less than 15%		-	-	-	-			-		-
		1. Approved Investments		-	5,028	29	27,439	7,473	39,968	16	29	39,997	42,892
		2. Other Investments		-	-	-	-	-	-	-	-	-	-
	b.	i) Approved Investments	Not exceeding 35%	-	6,802	379	52,867	14,557	74,604	30	1,470	76,074	75,275
		ii) "Other Investments" not to exceed 15%		-	1,329	22	4,461	1,617	7,429	3	192	7,621	10,251
		TOTAL LIFE FUND	**100%**	**-**	**25,306**	**3,351**	**175,526**	**45,015**	**249,199**	**100**	**1,691**	**250,890**	**260,158**

Rs.Lakhs

B. PENSION AND GENERAL ANNUITY FUND*		% as per Reg	PH PAR	PH NON PAR	Book Value	Actual %	FVC Amount	Total Fund	Market Value
1	Central Govt. Sec	Not Less than 20%	319	6,720	7,038	20	-	7,038	7,257
2	Central Govt Sec, State Govt Sec or Other Approved Securities (incl (i) above)	Not Less than 40%	367	14,363	14,730	42	-	14,730	15,248
3	Balance in Approved investment	Not Exceeding 60%	145	20,056	20,201	58	172	20,373	21,343
	TOTAL PENSION, GENERAL ANNUITY FUND	**100%**	**512**	**34,419**	**34,931**	**100**	**172**	**35,103**	**36,591**

LINKED BUSINESS *Rs.Lakhs*

C. LINKED FUNDS		% as per Reg	PH PAR	PH NON PAR	Total Fund	Actual %	Market Value
1	Approved Investment	Not Less than 75%	-	112,864	112,864	92	112,864
2	Other Investments	Not More than 25%	-	9,854	9,854	8	9,854
	TOTAL LINKED INSURANCE FUND	**100%**	**-**	**122,718**	**122,718**	**100**	**122,718**

CERTIFICATION:

Certified that the information given here in are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: **30-Sep-17**

Signature:

Mr. Rajeev Kumar

Principal Officer & Chief of Finance

Note: (+) FRSM refers to 'Funds representing Solvency Margin'

Funds beyond Solvency Margin shall have a separate Custody Account.

Other Investments' are as permitted under Secction 27A(2) of Insurance Act, 1938

Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.

Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate Custody Account

*Group Term Life has been classified under PGA from 1st April 2013