

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	-	-	-	-	-	-	1,015	7	0.70	0.70
2	Central Government Bonds	CGSB	62,407	1,686	2.70	2.70	53,395	4,978	9.32	9.32	34,395	2,902	8.44	8.44
3	Central Government Guaranteed Loans / Bonds	CGSL	1,509	37	2.45	2.45	1,509	148	9.80	9.80	1,783	201	11.25	11.25
4	Treasury Bills	CTRB	-	-	-	-	627	6	0.96	0.96	888	47	5.30	5.30
5	State Government Securities	SGBB	34,962	852	2.44	2.44	29,323	2,612	8.91	8.91	18,687	1,612	8.62	8.62
6	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	-	-	-	-	-	-	-	-	-	-	-
7	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	-	-	-	-
8	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	14,245	330	2.32	2.32	12,312	1,166	9.47	9.47	5,991	578	9.65	9.65
9	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	-	-	-	-	-	1,384	9	0.64	0.64
10	Infrastructure - PSU - Debentures / Bonds	IPFD	-	-	-	-	-	-	-	-	-	-	-	-
11	Infrastructure - Other Corporate Securities - CPs	ICCP	-	-	-	-	-	-	-	-	-	-	-	-
12	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	14,668	310	2.12	2.12	10,957	975	8.90	8.90	4,148	373	8.99	8.99
13	Infrastructure - Debentures / Bonds / CPs / loans	IODS	-	-	-	-	500	44	8.82	8.82	500	54	10.77	10.77
14	Infrastructure - Equity (including unlisted)	IOEQ	-	-	-	-	118	68	58.02	58.02	124	0	0.34	0.34
15	Infrastructure - Equity (Promoter Group)	IOPE	-	-	-	-	53	1	1.96	1.96	59	2	4.04	4.04
16	Infrastructure - Equity and Equity Related Instruments (Promoter Group)	IEPG	-	-	-	-	86	29	34.34	34.34	35	1	2.09	2.09
17	Infrastructure - PSU - Debentures / Bonds	IPTD	5,662	136	2.40	2.40	7,077	684	9.66	9.66	6,081	572	9.41	9.41
18	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	48	4	9.26	9.26	430	667	154.98	154.98	650	8	1.30	1.30
19	Infrastructure - PSU - Equity shares - Quoted	ITPE	431	26	5.96	5.96	387	76	19.63	19.63	94	11	12.16	12.16
20	Infrastructure - PSU - CPs	IPCP	-	-	-	-	-	-	-	-	-	-	-	-
21	Infrastructure- LongTerm Bank Bonds Approved Investment	ILBI	2,023	44	2.19	2.19	2,024	180	8.88	8.88	1,361	122	8.93	8.93
22	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	6,193	168	2.72	2.72	10,200	1,554	15.24	15.24	11,063	993	8.98	8.98
23	PSU - Equity shares - Quoted	EAEQ	782	77	9.83	9.83	1,161	179	15.45	15.45	1,274	10	0.76	0.76
24	Application Money	ECAM	-	-	-	-	-	-	-	-	-	-	-	-
25	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	-	-	-	-
26	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	2,594	86	3.31	3.31	2,475	325	13.11	13.11	2,514	307	12.21	12.21
27	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-
28	Corporate Securities - Debentures	ECOS	25,797	568	2.20	2.20	17,745	1,640	9.24	9.24	10,195	998	9.79	9.79
29	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	1,880	2	0.09	0.09	2,698	8	0.29	0.29
30	Corporate Securities - Bonds - (Taxable)	EPBT	2,300	51	2.24	2.24	2,146	194	9.06	9.06	510	51	10.03	10.03
31	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	3,218	54	1.67	1.67	2,325	160	6.89	6.89	2,051	158	7.68	7.68
32	Passively Managed Equity ETF (Non Promoter Group)	EETF	548	20	3.64	3.64	614	20	3.25	3.25	3	3	90.76	90.76
33	Mutual Funds - (under Insurer's Promoter Group)	EMPG	1,926	6	0.30	0.30	1,377	23	1.64	1.64	1,966	30	1.54	1.54
34	Perpetual Debt Instruments of Tier I & II Capital issued by PSU Banks	EUPD	-	-	-	-	-	-	-	-	500	55	11.01	11.01
35	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [Psu Banks]	EAPS	1,059	122	11.48	11.48	1,359	138	10.12	10.12	-	-	-	-
36	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [Psu Banks]	OAPS	500	14	2.71	2.71	500	18	3.68	3.68	-	-	-	-
37	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
38	Passively Managed Equity ETF (Non Promoter Group)	OETF	0	-	-	-	319	19	6.09	6.09	633	-	-	-
39	Equity Shares (Incl Co-op Societies)	OESH	828	152	18.34	18.34	1,844	323	17.51	17.51	1,593	449	28.20	28.20
40	Debentures	OLDB	2,436	59	2.41	2.41	2,436	233	9.58	9.58	2,432	155	6.37	6.37
41	Short term Loans (Unsecured Deposits)	OSLU	3,800	92	2.41	2.41	3,148	313	9.93	9.93	2,633	248	9.40	9.40
42	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	-	-	-	-	767	2	0.28	0.28	1,576	5	0.34	0.34
43	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
44	Debt Capital Instruments (DCI Basel III)	ODCI	-	-	-	-	500	37	7.31	7.31	-	-	-	-
45	Preference Shares	OPSH	72	6	8.10	8.10	85	6	6.83	6.83	83	6	7.01	7.01
46	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [Private Banks]	EAPB	7,108	79	1.11	1.11	7,108	79	1.11	1.11	-	-	-	-
47	Alternate Investment Funds (Category II)	OAFB	223	-	-	-	223	-	-	-	-	-	-	-
TOTAL			195,338	4,978	2.55	2.55	177,009	15,369	8.68	8.68	118,920	9,956	8.37	8.37

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 31-Mar-17

Signature

Mr. Rajeev Kumar

Chief of Finance

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

¹ Based on daily simple Average of Investments

² Yield netted for Tax

³ In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown

⁴ FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.

⁵ Group Term Life has been classified under PGA from 1st April 2013

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year)3			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	-	-	-	-	-	-	-	-	-	-
2	Central Government Guaranteed Loans / Bonds	CGSL	-	-	-	-	-	-	-	-	347	37	10.61	10.61
3	Central Government Bonds	CGSB	7,083	143	2.01	2.01	6,216	511	8.23	8.23	4,712	395	8.37	8.37
4	Treasury Bills	CTRB	-	-	-	-	-	-	-	-	951	23	2.45	2.45
5	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	-	-	-	-	-	-	-	-	-	-	-
6	State Government Securities	SGGB	6,209	128	2.06	2.06	5,931	490	8.27	8.27	3,819	319	8.34	8.34
7	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	-	-	-	-
8	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	-	217	6	2.88	2.88	213	2	0.90	0.90
9	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	2,151	48	2.25	2.25	2,304	234	10.14	10.14	2,400	226	9.43	9.43
10	Infrastructure - Other Corporate Securities - Debentures / Bonds	ICTD	7,648	170	2.22	2.22	6,581	585	8.89	8.89	1,679	115	6.87	6.87
11	Infrastructure - PSU - Debentures / Bonds	IPTD	2,721	59	2.16	2.16	2,466	231	9.39	9.39	2,359	226	9.56	9.56
12	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	-	-	-	-	-	-	-	-	-	-	-	-
13	Infrastructure - PSU - Equity shares - Quoted	ITPE	-	-	-	-	-	-	-	-	8	1	6.43	6.43
14	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	82	9	11.15	11.15	180	20	10.91	10.91	431	38	8.88	8.88
15	PSU - Equity shares - Quoted	EAEQ	51	5	9.73	9.73	63	3	4.00	4.00	72	9	12.43	12.43
16	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	480	24	5.06	5.06
17	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	1,086	34	3.16	3.16	1,293	156	12.03	12.03	1,814	191	10.52	10.52
18	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-
19	Corporate Securities - Debentures	ECOS	3,188	73	2.28	2.28	2,706	268	9.89	9.89	2,515	245	9.72	9.72
20	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	100	0	0.10	0.10	164	12	7.31	7.31
21	Corporate Securities - Bonds - (Taxable)	EPBT	450	9	2.09	2.09	810	71	8.80	8.80	491	63	12.82	12.82
22	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	494	8	1.65	1.65	312	20	6.55	6.55	129	9	7.16	7.16
23	Mutual Funds - (under Insurer's Promoter Group)	EMPG	654	0	0.02	0.02	190	1	0.33	0.33	190	1	0.45	0.45
24	Passively Managed Equity ETF (Non Promoter Group)	EETF	0	-	-	-	0	-	-	-	0	-	-	-
25	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
26	Equity Shares (incl. Co-op Societies)	OESH	29	-	-	-	29	-	-	-	-	-	-	-
27	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
28	Mutual Funds - Debt / Income / Serial Plans / Liquid Schemes	OMGS	-	-	-	-	-	-	-	-	-	-	-	-
29	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
30	Debentures	OLDB	-	-	-	-	-	-	-	-	-	0	-	-
31	Infrastructure - Debentures / Bonds / CPs / loans	IODS	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL			31,845	658	2.07	2.07	29,396	2,596	8.83	8.83	22,772	1,916	8.41	8.41

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 31-Mar-17

Signature _____

Mr. Rajeev Kumar

Chief of Finance

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

¹ Based on daily simple Average of Investments

² Yield netted for Tax

³ In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown

⁴ FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.

⁵ Group Term Life has been classified under PGA from 1st April 2013

FORM L-34

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: March 31, 2017

Name of the Fund:- Unit Linked Fund

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly



Rs Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year)3			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
A	GOVERNMENT SECURITIES													
	Central Government Bonds	CGSB	17,085	36	0.21	0.21	20,567	2,287	11.12	11.12	24,086	1,927	8.00	8.00
	Central Government Guaranteed Loans / Bonds	CGSL	-	-	-	-	-	-	-	-	-	-	-	-
	Treasury Bills	CTRB	2,091	32	1.52	1.52	2,443	161	6.58	6.58	2,959	223	7.54	7.54
B	GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES													
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	628	6	0.91	0.91	730	87	11.88	11.88	824	58	7.07	7.07
	State Government Bonds	SGGB	4,804	43	0.89	0.89	4,748	280	5.89	5.89	-	-	-	-
C	HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT													
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	488	10	1.97	1.97	473	40	8.36	8.36	886	52	5.85	5.85
	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	-	-	-	-
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	711	11	1.56	1.56	824	70	8.52	8.52	1,534	123	8.00	8.00
D	INFRASTRUCTURE INVESTMENTS													
	Infrastructure - PSU - Debentures / Bonds	IPTD	665	9	1.40	1.40	716	71	9.93	9.93	1,297	84	6.48	6.48
	Infrastructure/ Social Sector - Other Corporate Securities (approved investments) - Debentures/ Bonds	ICTD	700	8	1.08	1.08	547	55	10.12	10.12	487	43	8.91	8.91
	Infrastructure - Equity (including unlisted)	IOEQ	254	61	23.82	23.82	254	61	23.82	23.82	314	126	40.21	40.21
	Infrastructure - Equity and Equity Related Instruments (Promoter Group)	IEPG	602	77	12.85	12.85	536	7	1.32	1.32	855	196	22.95	22.95
	Infrastructure - Equity (Promoter Group)	IOPE	83	15	17.52	17.52	135	27	19.95	19.95	538	108	20.04	20.04
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	1,162	264	22.69	22.69	1,030	232	22.54	22.54	1,907	277	14.55	14.55
	Infrastructure - PSU - Equity shares - Quoted	ITPE	4,234	499	11.79	11.79	3,726	1,511	40.56	40.56	1,789	525	29.36	29.36
	Infrastructure - Other Corporate Securities - CPs	ICCP	226	4	1.67	1.67	187	15	7.86	7.86	498	4	0.77	0.77
	Infrastructure - PSU - CPs	IPCP	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure- LongTerm Bank Bonds Approved Investment	ILBI	501	7	1.47	1.47	503	19	3.70	3.70	534	37	6.86	6.86
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS													
	PSU - Equity shares - Quoted	EAEQ	7,222	1,183	16.38	16.38	6,796	3,471	51.08	51.08	8,383	596	7.11	7.11
	Application Money	ECAM	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	74,549	9,955	13.35	13.35	77,668	16,411	21.13	21.13	94,427	4,802	5.09	5.09
	Corporate Securities - Bonds - (Taxable)	EPBT	836	9	1.09	1.09	905	103	11.35	11.35	1,337	130	9.69	9.69
	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECD8	111	0	0.42	0.42	1,236	95	7.71	7.71	2,343	220	9.40	9.40
	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	1,442	2	0.13	0.13	325	24	7.30	7.30
	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Debentures	ECOS	629	5	0.86	0.86	598	72	11.96	11.96	580	48	8.23	8.23
	Commercial Papers	ECCP	1,207	23	1.89	1.89	1,279	106	8.31	8.31	1,426	121	8.48	8.48
	Passively Managed Equity ETF (Non Promoter Group)	EETF	3,332	549	16.47	16.47	3,421	203	5.93	5.93	0	-	-	-
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	3,165	52	1.65	1.65	1,983	142	7.18	7.18	2,277	180	7.91	7.91
	CCIL - CBLO	ECBO	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	-	-	-	-	550	1	0.14	0.14	346	0	0.13	0.13
	Net Current Assets (Only in respect of ULIP Business)	ENCA	-	-	-	-	-	-	-	-	820	-	-	-
F	OTHER INVESTMENTS													
	Equity Shares (incl Co-op Societies)	OESH	4,745	1,250	26.34	26.34	6,437	2,915	45.28	45.28	6,811	171	2.52	2.52
	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	500	38	7.68	7.68
	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	40	1	3.50	3.50	1,197	87	7.26	7.26	1,007	80	7.96	7.96
	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
	Preference Shares	OPSH	-	-	-	-	15	5	30.40	30.40	-	-	-	-
	Equity Shares (PSUs & Unlisted)	OEPU	-	-	-	-	-	-	-	-	-	-	-	-
	Passively Managed Equity ETF (Non Promoter Group)	OETF	563	93	16.49	16.49	1,920	703	36.63	36.63	6,558	771	11.76	11.76
	Debentures	OLDB	694	12	1.77	1.77	686	86	12.54	12.54	666	34	5.11	5.11
	TOTAL		131,326	14,063	10.71	10.71	143,556	29,135	20.30	20.30	166,315	3,932	2.36	2.36

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 31-Mar-17

Signature: _____

Mr. Rajeev Kumar
Chief of Finance**Note:** Category of Investment (COI) shall be as per Guidelines, as amended from time to time

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