

FORM L-34														
Company Name & Code:		Bharti AXA Life Insurance Company Ltd. (0130)												
Statement as on: December 31, 2014		Name of the Fund <u>Life Fund</u>												
Statement of Investment and Income on Investment														
Periodicity of Submission: Quarterly		Rs Lakhs												
No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year)3			
			Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	1,018	18	1.80	1.80	1,018	41	4.00	4.00	1,009	56	5.50	5.50
2	Central Government Bonds	CGSB	24,591	530	2.16	2.16	24,562	1,585	6.45	6.45	16,269	1,006	6.18	6.18
3	Central Government Guaranteed Loans / Bonds	CGSL	1,832	46	2.52	2.52	1,828	127	6.96	6.96	-	-	-	-
4	Treasury Bills	CTRB	1,118	23	2.02	2.02	922	40	4.39	4.39	770	44	5.75	5.75
5	State Government Securities	SGGB	8,165	186	2.28	2.28	6,353	444	6.99	6.99	3,219	113	3.50	3.50
6	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	-	-	-	-	-	-	-	1,303	18	1.36	1.36
7	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	3,263	157	4.82	4.82	2,095	151	7.20	7.20
8	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	5,537	136	2.45	2.45	5,317	137	2.58	2.58	-	-	-	-
9	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	-	201	7	3.65	3.65	184	7	3.99	3.99
10	Infrastructure - PSU - Debentures / Bonds	IPFD	-	-	-	-	-	-	-	-	-	-	-	-
11	Infrastructure - Other Corporate Securities - CPs	ICCP	-	-	-	-	500	1	0.15	0.15	-	-	-	-
12	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	50	1	2.58	2.58	50	4	7.72	7.72	377	30	8.05	8.05
13	Infrastructure - Debentures / Bonds / CPs / loans	IODS	500	14	2.71	2.71	500	40	8.10	8.10	478	10	2.18	2.18
14	Infrastructure - Equity (including unlisted)	IOEQ	20	-	-	-	20	-	-	-	26	-	-	-
15	Infrastructure - PSU - Debentures / Bonds	IPTD	6,038	140	2.32	2.32	5,716	398	6.96	6.96	3,956	275	6.96	6.96
16	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	16	1	4.30	4.30	14	2	14.81	14.81	2	0	1.44	1.44
17	Infrastructure - PSU - Equity shares - Quoted	ITPE	16	-	-	-	23	4	17.63	17.63	101	10	9.48	9.48
18	Infrastructure - PSU - CPs	IPCP	-	-	-	-	552	2	0.43	0.43	-	-	-	-
19	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	5,699	62	1.10	1.10	4,465	382	8.55	8.55	1,424	168	11.79	11.79
20	PSU - Equity shares - Quoted	EAEQ	889	14	1.53	1.53	827	56	6.72	6.72	270	8	2.88	2.88
21	Commercial Papers	ECCP	-	-	-	-	500	1	0.16	0.16	-	-	-	-
22	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	2,867	84	2.92	2.92	3,431	293	8.53	8.53	3,508	296	8.44	8.44
23	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	1,308	1	0.06	0.06
24	Corporate Securities - Debentures	ECOS	7,905	198	2.50	2.50	5,069	375	7.39	7.39	1,395	106	7.61	7.61
25	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	717	1	0.09	0.09	200	0	0.09	0.09
26	Corporate Securities - Bonds - (Taxable)	EPBT	300	7	2.42	2.42	300	22	7.24	7.24	620	43	6.99	6.99
27	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	1,039	21	2.06	2.06	1,140	76	6.66	6.66	1,275	90	7.05	7.05
28	Passively Managed Equity ETF (Non Promoter Group)	EETF	1,437	-	-	-	1,379	19	1.35	1.35	-	-	-	-
29	Mutual Funds - (under Insurer's Promoter Group)	EMPG	695	1	0.10	0.10	697	5	0.78	0.78	637	7	1.10	1.10
30	Perpetual Debt Instruments of Tier I & II Capital issued by PSU Banks	EUPD	500	14	2.77	2.77	500	24	4.82	4.82	-	-	-	-
31	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
32	Passively Managed Equity ETF (Non Promoter Group)	OETF	1,748	89	5.12	5.12	1,619	110	6.77	6.77	-	-	-	-
33	Equity Shares (incl Co-op Societies)	OESH	1,244	6	0.48	0.48	991	154	15.57	15.57	929	13	1.37	1.37
34	Debentures	OLDB	-	-	-	-	340	15	4.49	4.49	340	30	8.82	8.82
35	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
36	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	267	1	0.21	0.21	992	37	3.73	3.73	835	28	3.29	3.29
37	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	800	1	0.11	0.11
38	Preference Shares	OPSH	81	-	-	-	77	-	-	-	-	-	-	-
TOTAL			73,571	1,578			73,882	4,551			43,330	2,510		

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 31-Dec-14

Signature _____

Mr. Alok Roontga

Chief of Finance

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

¹ Based on daily simple Average of Investments

² Yield netted for Tax

³ In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown

⁴ FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.

⁵ Group Term Life has been classified under PGA from 1st April 2013

FORM L-34

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: December 31, 2014

Name of the Fund Pension / General Annuity Fund

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Rs Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	-	-	-	-	-	-	-	-	-	-
2	Central Government Guaranteed Loans / Bonds	CGSL	181	5	2.52	2.52	181	13	6.97	6.97	-	-	-	-
2	Central Government Bonds	CGSB	3,601	73	2.02	2.02	3,524	215	6.09	6.09	2,481	151	6.08	6.08
3	Treasury Bills	CTRB	757	16	2.07	2.07	681	29	4.22	4.22	-	-	-	-
4	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	-	-	-	-	-	-	-	167	2	1.27	1.27
5	State Government Securities	SGGB	1,020	22	2.20	2.20	594	40	6.76	6.76	302	8	2.74	2.74
6	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	964	48	5.01	5.01	477	34	7.21	7.21
7	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	1,664	40	2.43	2.43	1,641	41	2.48	2.48	-	-	-	-
8	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	-	-	-	-	-	-	-	-	50	3	5.89	5.89
9	Infrastructure - PSU - Debentures / Bonds	IPTD	1,237	28	2.30	2.30	1,237.47	85.83	6.94	6.94	583	40	6.79	6.79
10	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	1	1	46.70	46.70	1	1	43.16	43.16	1	0	1.36	1.36
11	Infrastructure - PSU - Equity shares - Quoted	ITPE	12	-	-	-	12	0	2.91	2.91	10	0	1.41	1.41
12	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	216	7	3.08	3.08	136	7	5.42	5.42	39	5	12.34	12.34
13	PSU - Equity shares - Quoted	EAEQ	37	0	0.14	0.14	30	1	3.99	3.99	21	1	5.57	5.57
14	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	-	-	-	-
15	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECCB	1,745	46	2.61	2.61	1,557	121	7.80	7.80	494	41	8.29	8.29
16	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-
17	Corporate Securities - Debentures	ECOS	1,743	43	2.46	2.46	1,156	87	7.51	7.51	414	32	7.71	7.71
18	Deposits - CDs with Scheduled Banks	EDCD	97	2	2.42	2.42	95	7	7.04	7.04	-	-	-	-
19	Corporate Securities - Bonds - (Taxable)	EPBT	452	11	2.42	2.42	452	33	7.26	7.26	224	15	6.90	6.90
20	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	43	1	2.06	2.06	37	1.75	4.79	4.79	185	1	0.36	0.36
21	Mutual Funds - (under Insurer's Promoter Group)	EMPG	80	0	0.09	0.09	33	0	0.25	0.25	180	0	0.18	0.18
22	Passively Managed Equity ETF (Non Promoter Group)	EETF	215	1	0.42	0.42	209	17	8.36	8.36	-	-	-	-
23	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
24	Equity Shares (incl Co-op Societies)	OESH	-	-	-	-	-	-	-	-	-	-	-	-
25	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
26	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	-	-	-	-	-	-	-	-	-	-	-	-
27	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
28	Infrastructure - Debentures / Bonds / CPs / loans	IODS	-	-	-	-	-	-	-	-	50	0	0.91	0.91
	TOTAL		13,101	294			12,540	746			5,679	334		

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 31-Dec-14

Signature _____

Mr. Alok Roontga

Chief of Finance

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time¹ Based on daily simple Average of Investments² Yield netted for Tax³ In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown⁴ FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.⁵ Group Term Life has been classified under PGA from 1st April 2013

FORM L-34

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: December 31, 2014

Name of the Fund:- Unit Linked Fund

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Rs Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
A	GOVERNMENT SECURITIES													
	Central Government Bonds	CGSB	20,698	1,228	5.93	5.93	21,087	2,522	11.96	11.96	16,537	335	2.02	2.02
	Central Government Guaranteed Loans / Bonds	CGSL	-	-	-	-	517	35	6.77	6.77	-	-	-	-
	Treasury Bills	CTRB	2,708	56	2.08	2.08	1,816	115	6.35	6.35	1,447	109	7.56	7.56
B	GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES													
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	1,015	81	7.98	7.98	1,119	162	14.46	14.46	1,318	8	0.59	0.59
C	HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT													
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	830	8	0.94	0.94	442	18	4.03	4.03	277	12	4.24	4.24
	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	1,845	100	5.42	5.42	1,612	84	5.19	5.19
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	2,079	76	3.65	3.65	2,079	76	3.65	3.65	499	19	3.89	3.89
D	INFRASTRUCTURE INVESTMENTS													
	Infrastructure - PSU - Debentures / Bonds	IPTD	3,570	144	4.02	4.02	3,450	342	9.90	9.90	4,870	190	3.91	3.91
	Infrastructure/ Social Sector - Other Corporate Securities (approved investments) - Debentures/ Bonds	ICTD	483	25	5.16	5.16	474	58	12.15	12.15	477	13	2.68	2.68
	Infrastructure - Equity (including unlisted)	IOEQ	930	62	6.62	6.62	926	402	43.39	43.39	1,361	407	29.94	29.94
	Infrastructure - Equity (Promoter Group)	IOPE	1,921	255	13.27	13.27	2,069	269	12.98	12.98	2,743	333	12.15	12.15
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	2,256	331	14.66	14.66	2,298	1,016	44.21	44.21	248	47	19.02	19.02
	Infrastructure - PSU - Equity shares - Quoted	ITPE	3,697	823	22.25	22.25	3,270	1,058	32.36	32.36	2,765	575	20.81	20.81
	Infrastructure - Other Corporate Securities - CPs	ICCP	491	11	2.24	2.24	492	27	5.53	5.53	470	8	1.80	1.80
	Infrastructure - PSU - CPs	IPCP	-	-	-	-	489	22	4.47	4.47	464	6	1.27	1.27
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS													
	PSU - Equity shares - Quoted	EAEQ	14,343	1,528	10.65	10.65	14,228	4,874	34.26	34.26	7,179	1,316	18.33	18.33
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	119,659	7,740	6.47	6.47	119,192	33,769	28.33	28.33	116,463	19,084	16.39	16.39
	Corporate Securities - Bonds - (Taxable)	EPBT	1,351	61	4.54	4.54	1,335	144	10.83	10.83	1,281	70	5.50	5.50
	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	2,334	56	2.40	2.40	1,701	123	7.21	7.21	1,390	102	7.37	7.37
	Deposits - CDs with Scheduled Banks	EDCD	1,432	33	2.34	2.34	1,464	102	6.94	6.94	732	30	4.06	4.06
	Deposits - Repo / Reverse Repo	ECMR	1,063	3	0.28	0.28	1,063	3	0.28	0.28	1,017	1	0.12	0.12
	Corporate Securities - Debentures	ECOS	1,015	51	5.07	5.07	1,039	109	10.52	10.52	873	50	5.70	5.70
	Commercial Papers	ECCP	480	11	2.26	2.26	731	51	7.02	7.02	-	-	-	-
	Passively Managed Equity ETF (Non Promoter Group)	EETF	6,037	1,105	18.30	18.30	4,861	1,446	29.74	29.74	-	-	-	-
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	1,184	26	2.19	2.19	1,231	81	6.60	6.60	2,251	164	7.28	7.28
	CCIL - CBLO	ECBO	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	200	0	0.10	0.10	340	1	0.22	0.22	49	2	3.16	3.16
	Net Current Assets (Only in respect of ULIP Business)	ENCA	739	-	-	-	739	-	-	-	1,623	-	-	-
F	OTHER INVESTMENTS													
	Equity Shares (incl Co-op Societies)	OESH	5,494	1,120	20.38	20.38	5,144	2,796	54.35	54.35	7,534	395	5.25	5.25
	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	1,165	25	2.17	2.17	907	59	6.50	6.50	682	48	7.09	7.09
	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
	Preference Shares	OPSH	-	-	-	-	64	3	5.33	5.33	44	46	103.94	103.94
	Equity Shares (PSUs & Unlisted)	OEPU	-	-	-	-	-	-	-	-	-	-	-	-
	Passively Managed Equity ETF (Non Promoter Group)	OETF	2,063	104	5.06	5.06	2,063	104	5.06	5.06	-	-	-	-
	TOTAL		199,238	14,454			198,475	49,887			176,203	18,197		

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 31-Dec-14

Signature: _____

Mr. Alok Rontga

Chief of Finance

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