

Form L-35 - Downgrading of Investments

Name of the Insurer: Bharti AXA Life Insurance Company Limited

Registration Number: 130

Statement as on: September 30, 2014

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

 Name of Fund Life Fund


Rs Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u> ¹								
B.	<u>As on Date</u> ²								
	10.75% TATA POWER PI 21-08-2072	Infrastructure - Debentures / Bonds / CPs / loans	500.01	21-Aug-12	CRISIL	AA	AA-	18-Oct-13	
	9.55% HINDALCO DB 27-06-2022	CORPORATE SECURITIES - DEBENTURES	272.84	4-Oct-12	CRISIL	AA+	AA	26-Jun-14	

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date:

Signature

Mr. Alok Roongta

Chief of Finance

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04

Form L-35 - Downgrading of Investments
Name of the Insurer: Bharti AXA Life Insurance Company Limited
Registration Number: 130
Statement as on: September 30, 2014
Statement of Down Graded Investments
Periodicity of Submission: Quarterly
Name of Fund Pension - General Annuity Fund

Rs Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u> ¹								
B.	<u>As on Date</u> ²								
	9.55% HINDALCO DB 27-06-2022	CORPORATE SECURITIES - DEBENTURES	70.40	4-Oct-12	CRISIL	AA+	AA	26-Jun-14	
	9.55% HINDALCO DB 25-04-2022	CORPORATE SECURITIES - DEBENTURES	99.35	13-Jul-12	CRISIL	AA+	AA	26-Jun-14	

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date:
Signature
Mr. Alok Roongta
Chief of Finance
Note:

¹ Provide details of Down Graded Investments during the Quarter.

² Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.

³ FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.

⁴ Category of Investmet (COI) shall be as per INV/GLN/001/2003-04

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Registration Number: 130

Statement as on: September 30, 2014

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Name of Fund _____ Linked Fund _____

**Rs Lakhs**

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u> ¹								
B.	<u>As on Date</u> ²								
	9.55% HINDALCO DB 27-06-2022	CORPORATE SECURITIES - DEBENTURES	647.22	4-Oct-12	CRISIL	AA+	AA	26-Jun-14	

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date:

Signature _____

Mr. Alok Roongta

Chief of Finance

Note:

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