

FORM L-34

Company Name & Code:

Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: September 30, 2014

Name of the Fund Life Fund

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Rs Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	1,019	4	0.41	0.41	1,019	22	2.21	2.21	1,009	37	3.67	3.67
2	Central Government Bonds	CGSB	24,663	532	2.16	2.16	24,547	1,055	4.30	4.30	15,541	638	4.11	4.11
3	Central Government Guaranteed Loans / Bonds	CGSL	1,832	45	2.46	2.46	1,827	81	4.44	4.44	-	-	-	-
4	Treasury Bills	CTRB	811	16	1.92	1.92	752	18	2.37	2.37	663	20	3.08	3.08
5	State Government Securities	SGGB	5,470	131	2.39	2.39	5,443	258	4.74	4.74	2,235	25	1.12	1.12
6	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	-	-	-	-	-	-	-	-	-	-	-
7	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	3,391	83	2.44	2.44	3,263	157	4.82	4.82	1,948	93	4.80	4.80
8	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	1,260	2	0.14	0.14	1,260	2	0.14	0.14	-	-	-	-
9	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	215	2	1.05	1.05	201	7	3.65	3.65	182	3	1.49	1.49
10	Infrastructure - PSU - Debentures / Bonds	IPFD	-	-	-	-	-	-	-	-	-	-	-	-
11	Infrastructure - Other Corporate Securities - CPs	ICCP	500	1	0.15	0.15	500	1	0.15	0.15	-	-	-	-
12	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	50	1	2.58	2.58	50	3	5.14	5.14	500	27	5.36	5.36
13	Infrastructure - Debentures / Bonds / CPs / loans	IODS	500	14	2.71	2.71	500	27	5.39	5.39	-	-	-	-
14	Infrastructure - Equity (including unlisted)	IOEQ	-	-	-	-	-	-	-	-	-	-	-	-
15	Infrastructure - PSU - Debentures / Bonds	IPTD	5,899	138	2.34	2.34	5,555	258	4.64	4.64	3,671	170	4.64	4.64
16	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	24	3	11.48	11.48	13	3	20.72	20.72	2	0	1.34	1.34
17	Infrastructure - PSU - Equity shares - Quoted	ITPE	15	0	0.59	0.59	27	4	15.25	15.25	100	7	6.72	6.72
18	Infrastructure - PSU - CPs	IPCP	571	2	0.34	0.34	552	2	0.43	0.43	-	-	-	-
19	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	4,238	257	6.07	6.07	3,845	319	8.30	8.30	1,298	139	10.67	10.67
20	PSU - Equity shares - Quoted	EAEQ	906	34	3.76	3.76	796	42	5.28	5.28	280	9	3.24	3.24
21	Commercial Papers	ECCP	500	1	0.16	0.16	500	1	0.16	0.16	-	-	-	-
22	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	3,481	99	2.85	2.85	3,714	209	5.63	5.63	3,563	198	5.56	5.56
23	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	1,308	1	0.06	0.06
24	Corporate Securities - Debentures	ECOS	4,888	120	2.45	2.45	3,643	177	4.86	4.86	1,253	65	5.17	5.17
25	Deposits - CDs with Scheduled Banks	EDCD	700	0	0.05	0.05	717	1	0.09	0.09	200	0	0.09	0.09
26	Corporate Securities - Bonds - (Taxable)	EPBT	300	7	2.43	2.43	300	14	4.82	4.82	759	35	4.61	4.61
27	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	1,226	27	2.16	2.16	1,170	54	4.66	4.66	1,205	57	4.69	4.69
28	Passively Managed Equity ETF (Non Promoter Group)	EETF	1,442	-	-	-	1,350	19	1.37	1.37	-	-	-	-
29	Mutual Funds - (under Insurer's Promoter Group)	EMPG	689	2	0.27	0.27	375	5	1.26	1.26	629	4	0.68	0.68
30	Perpetual Debt Instruments of Tier I & II Capital issued by PSU Banks	EUPD	500	10	2.05	2.05	500	10	2.05	2.05	-	-	-	-
31	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
32	Passively Managed Equity ETF (Non Promoter Group)	OETF	1,684	1	0.03	0.03	1,554	20	1.30	1.30	-	-	-	-
33	Equity Shares (incl. Co-op Societies)	OESH	770	90	11.74	11.74	864	160	18.55	18.55	965	2	0.24	0.24
34	Debentures	OLDB	340	5	1.57	1.57	340	15	4.49	4.49	340	20	5.87	5.87
35	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
36	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	1,034	19	1.80	1.80	1,037	36	3.51	3.51	778	13	1.73	1.73
37	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	800	1	0.11	0.11
38	Preference Shares	OPSH	76	-	-	-	75	-	-	-	-	-	-	-
	TOTAL		68,994	1,645			66,285	2,974			39,229	1,565		

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date:

Signature

Mr. Alok Roontga

Chief of Finance

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time¹ Based on daily simple Average of Investments² Yield netted for Tax³ In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown⁴ FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.⁵ Group Term Life has been classified under PGA from 1st April 2013

FORM L-34

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: September 30, 2014

Name of the Fund Pension / General Annuity Fund



Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Rs Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	-	-	-	-	-	-	-	-	-	-
2	Central Government Guaranteed Loans / Bonds	CGSL	181	4	2.46	2.46	181	8	4.45	4.45	-	-	-	-
2	Central Government Bonds	CGSB	3,490	71	2.03	2.03	3,485	142	4.07	4.07	2,335	95	4.05	4.05
3	Treasury Bills	CTRB	632	13	2.02	2.02	610	13	2.14	2.14	-	-	-	-
4	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	-	-	-	-	-	-	-	-	-	-	-
5	State Government Securities	SGGB	415	10	2.33	2.33	379	18	4.65	4.65	302	1	0.38	0.38
6	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	1,296	33	2.56	2.56	964	48	5.01	5.01	426	20	4.73	4.73
7	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	600	0	0.05	0.05	600	0	0.05	0.05	-	-	-	-
8	Infrastructure - Other Corporate Securities - Debentures / Bonds	ICTD	-	-	-	-	-	-	-	-	50	3	5.39	5.39
9	Infrastructure - PSU - Debentures / Bonds	IPTD	1,237	29	2.36	2.36	1,237.52	57.41	4.64	4.64	456	21	4.51	4.51
10	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	1	0	1.32	1.32	1	0	1.33	1.33	1	0	1.34	1.34
11	Infrastructure - PSU - Equity shares - Quoted	ITPE	11	0	0.98	0.98	12	0	2.83	2.83	9	0	1.53	1.53
12	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	126	1	1.15	1.15	95	1	0.72	0.72	41	5	11.48	11.48
13	PSU - Equity shares - Quoted	EAEQ	27	0	0.82	0.82	26	1	4.33	4.33	23	1	5.03	5.03
14	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	-	-	-	-
15	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	1,699	44	2.58	2.58	1,462	76	5.18	5.18	518	28	5.47	5.47
16	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-
17	Corporate Securities - Debentures	ECOS	991	25	2.54	2.54	860	44	5.11	5.11	337	17	4.90	4.90
18	Deposits - CDs with Scheduled Banks	EDCD	95	2	2.36	2.36	94	4	4.62	4.62	-	-	-	-
19	Corporate Securities - Bonds - (Taxable)	EPBT	452	11	2.46	2.46	452	22	4.84	4.84	252	11	4.56	4.56
20	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	35	1	1.85	1.85	32	0.86	2.74	2.74	187	1	0.31	0.31
21	Mutual Funds - (under Insurer's Promoter Group)	EMPG	8	0	0.08	0.08	1	0	0.79	0.79	180	0	0.18	0.18
22	Passively Managed Equity ETF (Non Promoter Group)	EETF	216	0	0.11	0.11	206	17	8.04	8.04	-	-	-	-
23	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
24	Equity Shares (incl Co-op Societies)	OESH	-	-	-	-	-	-	-	-	-	-	-	-
25	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
26	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	-	-	-	-	-	-	-	-	-	-	-	-
27	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
28	Infrastructure - Debentures / Bonds / CPs / loans	IODS	-	-	-	-	-	-	-	-	-	-	-	-
	TOTAL		11,514	246			10,701	452			5,119	202		

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date:

Signature _____

Mr. Alok Roontga

Chief of Finance

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time¹ Based on daily simple Average of Investments² Yield netted for Tax³ In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown⁴ FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.⁵ Group Term Life has been classified under PGA from 1st April 2013

FORM L-34

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: September 30, 2014

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Name of the Fund:- Unit Linked Fund



Rs Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
A	GOVERNMENT SECURITIES													
	Central Government Bonds	CGSB	22,042	532	2.42	2.42	21,282	1,294	6.08	6.08	15,577	720	4.62	4.62
	Central Government Guaranteed Loans / Bonds	CGSL	516	13	2.52	2.52	517	35	6.77	6.77	-	-	-	-
	Treasury Bills	CTRB	1,332	29	2.14	2.14	1,368	59	4.31	4.31	1,279	64	4.97	4.97
B	GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES													
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	1,153	33	2.88	2.88	1,172	81	6.90	6.90	1,356	50	3.71	3.71
C	HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT													
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	342	3	0.90	0.90	307	10	3.28	3.28	273	4	1.63	1.63
	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	1,934	47	2.45	2.45	1,845	100	5.42	5.42	1,555	38	2.44	2.44
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	-	-	-	-	-	-	-	-	499	19	3.89	3.89
D	INFRASTRUCTURE INVESTMENTS													
	Infrastructure - PSU - Debentures / Bonds	IPTD	3,313	85	2.57	2.57	3,390	198	5.84	5.84	5,076	55	1.09	1.09
	Infrastructure/ Social Sector - Other Corporate Securities (approved investments) - Debentures/ Bonds	ICTD	471	12	2.45	2.45	470	33	6.96	6.96	483	1	0.11	0.11
	Infrastructure - Equity (including unlisted)	IOEQ	968	272	28.14	28.14	924	340	36.82	36.82	1,170	406	34.69	34.69
	Infrastructure - Equity (Promoter Group)	IOPE	2,054	400	19.45	19.45	2,143	523	24.43	24.43	2,602	251	9.66	9.66
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	2,635	132	5.00	5.00	2,319	685	29.54	29.54	5	1	11.64	11.64
	Infrastructure - PSU - Equity shares - Quoted	ITPE	3,301	914	27.69	27.69	3,056	236	7.71	7.71	3,390	741	21.86	21.86
	Infrastructure - Other Corporate Securities - CPs	ICCP	496	5	1.05	1.05	492	16	3.29	3.29	-	-	-	-
	Infrastructure - PSU - CPs	IPCP	495	11	2.16	2.16	489	22	4.47	4.47	-	-	-	-
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS													
	PSU - Equity shares - Quoted	EAEQ	15,313	791	5.16	5.16	14,170	3,346	23.61	23.61	8,287	1,752	21.15	21.15
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	119,756	8,000	6.68	6.68	118,958	26,029	21.88	21.88	115,304	6,670	5.78	5.78
	Corporate Securities - Bonds - (Taxable)	EPBT	1,328	32	2.39	2.39	1,326	83	6.27	6.27	1,341	39	2.93	2.93
	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	1,583	38	2.41	2.41	1,383	67	4.82	4.82	1,492	74	4.96	4.96
	Deposits - CDs with Scheduled Banks	EDCD	1,815	41	2.27	2.27	1,480	68	4.60	4.60	732	30	4.06	4.06
	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	1,017	1	0.12	0.12
	Corporate Securities - Debentures	ECOS	992	25	2.54	2.54	1,051	58	5.50	5.50	676	1	0.12	0.12
	Commercial Papers	ECCP	604	14	2.30	2.30	857	40	4.72	4.72	-	-	-	-
	Passively Managed Equity ETF (Non Promoter Group)	EETF	4,705	194	4.13	4.13	4,231	341	8.05	8.05	-	-	-	-
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	1,181	25	2.14	2.14	1,255	55	4.41	4.41	2,543	124	4.86	4.86
	CCIL - CBLO	ECBO	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	210	-	-	-	410	1	0.13	0.13	25	2	6.16	6.16
	Net Current Assets (Only in respect of ULIP Business)	ENCA	-	-	-	-	-	-	-	-	-	-	-	-
F	OTHER INVESTMENTS													
	Equity Shares (incl Co-op Societies)	OESH	5,001	274	5.49	5.49	4,968	1,676	33.74	33.74	8,303	1,341	16.15	16.15
	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	841	18	2.13	2.13	776	34	4.34	4.34	656	31	4.71	4.71
	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
	Preference Shares	OPSH	-	-	-	-	64	3	5.33	5.33	44	45	102.16	102.16
	Equity Shares (PSUs & Unlisted)	OEPU	-	-	-	-	-	-	-	-	-	-	-	-
	TOTAL		194,382	8,143			190,702	35,433			173,685	3,247		

CERTIFICATION

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Date:

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Signature:

Mr. Alok Roontga

Chief of Finance