

Form L-27 - Unit Linked Business - 3A (Linked Life)

Unit Linked Insurance Business
Company Name & Code: Bharti AXA Life Insurance Co. Ltd. (130)
Periodicity of Submission : Quarterly
Statement as on : September 30, 2014

Link to Item 'C' of FORM 3A (Part A)

PART - B

Unit Linked Life



Rs.Lakhs

PARTICULARS	NAME OF THE BUSINESS:												
	GROW MONEY FUND	SAVE N GROW MONEY FUND	STEADY MONEY FUND	GROWTH OPPORTUNITIES	BUILD N PROTECT FUND SERIES 1	SAFE MONEY FUND	GROW MONEY PLUS	GROWTH OPPORTUNITIES PLUS	BUILD INDIA FUND	BUILD N PROTECT FUND SERIES 2	TRUE WEALTH FUND	DISCONTINUANCE LIFE FUND	TOTAL FUNDS
SFIN	ULIF00221/08/2006EGROWMO NEY130	ULIF00121/08/2006BSAVENG ROW130	ULIF00321/08/2006DSTDY MOENY130	ULIF00708/12/2008EGROW THOPRI130	ULIF00919/05/2009BBUILD NPS1130	ULIF01007/07/2009LSAFEM ONEY130	ULIF01214/12/2009EGR OMONYPL130	ULIF01614/12/2009EGRW THOPPL130	ULIF01909/02/2010EBUI LDINDAI130	ULIF02022/06/2009BBUI LDNPS2130	ULIF02104/10/2010BTR UEWLTHG130	ULIF02219/01/2011DDIS CONTLF130	
Opening Balance (Market Value)	57,467	9,231	5,711	7,737	1,056	2,415	18,139	23,642	5,917		12,379	13,619	157,312
Add : Inflow during The Quarter	1,430	485	497	363	40	865	926	2,010	335		591	1,444	8,987
Increase/(Decrease) Value of Inv (Net)	2,314	213	134	386	24	47	768	1,162	249	-	221	288	5,806
Less: Outflow during the Quarter	7,365	998	866	1,302	191	1,000	1,224	1,998	438		306	760	16,449
TOTAL INVESTIBLE FUNDS (MKT VALUE)	53,845	8,932	5,474	7,184	929	2,327	18,609	24,815	6,063	-	12,886	14,591	155,655

INVESTMENT OF UNIT FUND	GROW MONEY FUND		SAVE N GROW MONEY FUND		STEADY MONEY FUND		GROWTH OPPORTUNITIES		BUILD N PROTECT FUND SERIES 1		SAFE MONEY FUND		GROW MONEY PLUS		GROWTH OPPORTUNITIES PLUS		BUILD INDIA FUND		BUILD N PROTECT FUND SERIES 2		TRUE WEALTH FUND		DISCONTINUANCE LIFE FUND		TOTAL FUNDS		
	ULIF00221/08/2006EGROWMO NEY130		ULIF00121/08/2006BSAVENG ROW130		ULIF00321/08/2006DSTDY MOENY130		ULIF00708/12/2008EGROW THOPR130		ULIF00919/05/2009BBUILD NPS1130		ULIF01007/07/2009LSAFEM ONEY130		ULIF01214/12/2009EGR OMONYPL130		ULIF01614/12/2009EGRW THOPPL130		ULIF01909/02/2010EBUI LDINDAI130		ULIF02022/06/2009BBUI LDNPS2130		ULIF02104/10/2010BTR UEWLTHG130		ULIF02219/01/2011DDIS CONTLF130				
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.
Approved Investments (>=75%)																											
Central Govt Securities	-	-	1,330	14.9	2,011	36.7	-	-	-	-	-	-	-	-	-	-	-	-	-	8,237	63.9	9,889	67.8	21,467	13.8		
State Government Securities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other Approved Securities	-	-	-	-	-	-	-	-	-	914	98.4	-	-	-	-	-	-	-	-	-	-	170	1.3	-	-	1,084	0.7
Corporate Bonds	-	-	625	7.0	1,184	21.6	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1,808	1.2	
Infrastructure Bonds	-	-	2,390	26.8	2,032	37.1	-	-	-	-	-	200	8.6	-	-	-	-	-	-	-	-	-	594	4.1	5,216	3.4	
Equity	49,885	92.6	4,180	46.8	-	-	6,467	90.0	-	-	-	-	16,950	91.1	22,010	88.7	5,558	91.7	-	-	3,907	30.3	-	-	108,957	70.0	
Money Market	1,771	3.3	79	0.9	87	1.6	183	2.6	-	-	1,048	45.0	755	4.1	665	2.7	189	3.1	-	-	19	0.2	2,792	19.1	7,590	4.9	
Mutual Funds	0	0.0	3	0.0	6	0.1	-	-	1	0.2	105	4.5	-	-	25	0.1	-	-	-	-	210	1.6	659	4.5	1,009	0.6	
Deposit with Banks	-	-	-	-	-	-	-	-	-	-	774	33.3	-	-	-	-	-	-	-	-	-	-	750	5.1	1,524	1.0	
Sub Total (A)	51,657	95.9	8,607	96.4	5,320	97.2	6,650	92.6	915	98.5	2,127	91.4	17,705	95.1	22,700	91.5	5,747	94.8	-	-	12,544	97.3	14,683	100.6	148,655	95.5	
Current Assets:																											
Accrued Interest	(0)	(0.0)	109	1.2	157	2.9	(0)	(0.0)	16	1.7	37	1.6	0	0.0	(0)	(0.0)	0	0.0	-	-	241	1.9	281	1.9	841	0.5	
Dividend Income	9	0.0	1	0.0	-	-	2	0.0	-	-	-	-	3	0.0	5	0.0	1	0.0	-	-	1	0.0	-	-	21	0.0	
Bank Balance	34	0.1	0	0.0	0	0.0	6	0.1	0	0.0	0	0.0	31	0.2	21	0.1	17	0.3	-	-	56	0.4	0	0.0	166	0.1	
Receivable for Sale of Investments	262	0.5	-	-	514	9.4	46	0.6	-	-	-	-	13	0.1	76	0.3	3	0.0	-	-	92	0.7	-	-	1,005	0.6	
Other Current Assets (for Investments)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Unit Collection A/c	-	-	7	0.1	-	-	-	-	-	-	-	-	15	0.1	50	0.2	4	0.1	-	-	33	0.3	-	-	109	0.1	
Appropriation/Expropriation	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Less: Current Liabilities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Payable for Investments	0	0.0	0	0.0	513	9.4	19	0.3	-	-	-	-	32	0.2	95	0.4	10	0.2	-	-	92	0.7	1,016	7.0	1,777	1.1	
Fund Mgmt Charges Payable	2	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0.1	0.0	1	0.0	1	0.0	0	0.0	-	-	1	0.0	0	0.0	6	0.0	
Other Current Liabilities (for Investments)	326	0.6	-	-	3	0.1	39	0.5	2	0.3	2	0.1	-	-	-	-	-	-	-	-	-	-	35	0.2	407	0.3	
Sub Total (B)	(24)	(0.0)	115	1.3	155	2.8	(5)	(0.1)	14	1.5	35	1.5	28	0.2	56	0.2	14	0.2	-	-	330	2.6	(770)	(5.3)	(50)	(0.0)	
Other Investments (<=25%)																											
Corporate Bonds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Infrastructure Bonds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Equity	2,212	4.1	209	2.3	-	-	539	7.5	-	-	-	-	876	4.7	2,059	8.3	301	5.0	-	-	11	0.1	-	-	6,208	4.0	
Money Market	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Mutual Funds	-	-	-	-	-	-	-	-	-	-	165	7.1	-	-	-	-	-	-	-	-	0	0.0	677	4.6	842	0.5	
Deposit with Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Sub Total (C)	2,212	4.1	209	2.3	-	-	539	7.5	-	-	165	7.1	876	4.7	2,059	8.3	301	5.0	-	-	11	0.1	677	4.6	7,050	4.5	
Total (A + B + C)	53,845	100.0	8,932	100.0	5,474	100.0	7,184	100.0	929	100.0	2,327	100.0	18,609	100.0	24,815	100.0	6,063	100.0	-	-	12,886	100.0	14,591	100.0	155,655	100.0	

Date :

30-Sep-14

Note:

- The aggregate of all the above Segregated Unit-Fundshould tally with Item C of FORM 3A (Part A), for both Par & Non Par Business
- Details of item 12 of FORM LB 2 of IRDA (Actural Report) Regulation, 2000 shall be reconciled with FORM 3A (Part B)
- Other Investments' are as permitted under Sec 27A(2) and 27B(3)

Signature: _____

Alok Roongta

Chief Financial Officer

Form L-27 - Unit Linked Business - 3A(Linked Pension)

Unit Linked Insurance Business Link to Item 'C' of FORM 3A (Part A)

Company Name & Code: Bharti AXA Life Insurance Co. Ltd.

Periodicity of Submission : Quarterly

Statement as on : September 30, 2014

PART - B

Unit Linked Pension



Rs.Lakhs

PARTICULARS									
	GROW MONEY PENSION FUND	SAVE N GROW MONEY PENSION FUND	STEADY MONEY PENSION FUND	GROWTH OPPORTUNITIES PENSION FUND	SAFE MONEY PENSION FUND	GROW MONEY PENSION PLUS	BUILD INDIA PENSION FUND	GROWTH OPPORTUNITIES PENSION PLUS	Total of All Funds
SFIN	ULIF00526/12/2007EGROWMONYP130	ULIF00426/12/2007BSNGROWPEN130	ULIF00626/12/2007DSTDYMONYP130	ULIF00814/12/2008EGRWTHOPPRP130	ULIF01107/12/2009LSAFEMONYLP130	ULIF01501/01/2010EGRMONYPLP130	ULIF01704/01/2010EBUILDINDP130	ULIF01801/01/2010EGRWTHOPLP130	
Opening Balance (Market Value)	9,583	1,956	1,871	1,154	1,125	7,736	3,837	10,727	37,989
Add : Inflow during The Quarter	256	240	386	147	401	340	208	618	2,596
Increase/(Decrease) Value of Inv (Net)	369	43	44	60	23	312	157	589	1,596
Less: Outflow during the Quarter	1,927	355	554	379	416	742	314	923	5,610
TOTAL INVESTIBLE FUNDS (MKT VALUE)	8,281	1,885	1,746	982	1,133	7,646	3,889	11,011	36,572

INVESTMENT OF UNIT FUND	GROW MONEY PENSION FUND		SAVE N GROW MONEY PENSION FUND		STEADY MONEY PENSION FUND		GROWTH OPPORTUNITIES PENSION FUND		SAFE MONEY PENSION FUND		GROW MONEY PENSION PLUS		BUILD INDIA PENSION FUND		GROWTH OPPORTUNITIES PENSION PLUS		TOTAL FUND	
	ULIF00526/12/2007EGROWMONYP130		ULIF00426/12/2007BSNGROWPEN130		ULIF00626/12/2007DSTDYMONYP130		ULIF00814/12/2008EGRWTHOPPRP130		ULIF01107/12/2009LSAFEMONYLP130		ULIF01501/01/2010EGRMONYPLP130		ULIF01704/01/2010EBUILDINDP130		ULIF01801/01/2010EGRWTHOPLP130			
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)																		
Central Govt Securities	-	-	352	18.7	727	41.6	-	-	-	-	-	-	-	-	-	-	1,079	3.0
State Government Securities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other Approved Securities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Corporate Bonds	-	-	120	6.4	401	23.0	-	-	-	-	-	-	-	-	-	-	520	1.4
Infrastructure Bonds	-	-	446	23.7	558	32.0	-	-	100	8.8	-	-	-	-	-	-	1,104	3.0
Equity	7,656	92.5	907	48.1	-	-	879	89.5	-	-	7,009	91.7	3,545	91.1	9,794	88.9	29,790	81.5
Money Market	305	3.7	35	1.9	10	0.6	25	2.5	515	45.5	290	3.8	129	3.3	287	2.6	1,596	4.4
Mutual Funds	-	-	22	1.2	0	0.0	-	-	51	4.5	-	-	-	-	30	0.3	103	0.3
Deposit with Banks	-	-	-	-	-	-	-	-	329	29.0	-	-	-	-	-	-	329	0.9
Sub Total	7,961	96.1	1,883	99.9	1,696	97.1	904	92.1	995	87.8	7,299	95.5	3,674	94.5	10,111	91.8	34,522	94.4
Current Assets:																		
Accrued Interest	(0)	(0.0)	25	1.3	56	3.2	0	0.0	17	1.5	(0)	(0.0)	(0)	(0.0)	(0)	(0.0)	98	0.3
Dividend Income	1	0.0	0	0.0	-	-	0	0.0	-	-	1	0.0	1	0.8	2	0.0	6	0.0
Bank Balance	3	0.0	0	0.0	0	0.0	0	0.0	0	0.0	9	0.1	25	24.6	22	0.2	59	0.2
Receivable for Sale of Investment	66	0.8	-	-	0	0.0	13	1.3	-	-	-	-	-	-	30	0.3	108	0.3
Other Current Assets (for Investment)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Unit Collection A/c	-	-	-	-	-	-	-	-	1.01	0.1	1	0.0	24	23.6	29	0.3	55	0.1
Appropriation/Expropriation	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Less: Current Liabilities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Payable for Investments	0	0.0	0	0.0	-	-	2	0.2	-	-	5	0.1	14	14.1	54	0.5	75	0.2
Fund Mgmt Charges Payable	0	0.0	0	0.0	0	0.0	2	0.2	0.03	0.0	0	0.0	0	0.2	0	0.0	3	0.0
Other Current Liabilities (for Investment)	76	0.9	59	3.1	6	0.3	11	1.1	-	-	-	-	-	-	-	-	152	0.4
Sub Total (B)	(6)	(0.1)	(34)	(1.8)	50	2.9	(1)	(0.1)	18	2	7.1	0	35	34.7	29	0.3	97	0.1
Other Investments (<=25%)																		
Corporate Bonds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Infrastructure Bonds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Equity	326	3.9	36	1.9	-	-	79	8.1	-	-	340	4.4	180	180.1	871	7.9	1,833	5.0
Money Market	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Mutual Funds	-	-	-	-	0	0.0	-	-	120	10.6	-	-	-	-	-	-	120	0.3
Deposit with Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sub Total (C)	326	3.9	36	1.9	0	0.0	79	8.1	120	10.6	340	4.4	180	180.1	871	7.9	1,953	5.3
Total (A + B + C)	8,281	100.0	1,885	100.0	1,746	100.0	982	100.0	1,133	100.0	7,646	100.0	3,889	309.2	11,011	100.0	36,572	99.9

Date: 30-Sep-14

Note:

- The aggregate of all the above Segregated Unit-Fund should tally with item C of FORM 3A (Part A), for both Par & Non Par Business
- Details of item 12 of FORM LB 2 of IRDA (Actuarial Report) Regulation, 2000 shall be reconciled with FORM 3A (Part B)
- Other Investments' are as permitted under Sec 27A(2) and 27B(3)

 Signature: _____
 Alok Roongta
 Chief Financial Officer