Form 'L-26 - INVESTMENT ASSETS (LIFE INSURERS) - 3A

Company Name & Code: Bharti AXA Life Insurance Co. Ltd. (0130) **PART - A**

Statement as on : September 30, 2014

Statement of Investment Assets (Life Insurers) (Business within India)

Periodicity of Submission : Quarterly

Total Application as per Balance Sheet (A)		**481,986**
Add (B)		
Provisions	Sch-14	894
Current Liabilities	Sch-13	16,090
		16,984
Less (C)		
Debit Balance in P& L A/c		212,651
Deferred tax asset		-
Loans	Sch-09	-
Adv & Other Assets	Sch-12	10,142
Cash & Bank Balance	Sch-11	3,047
Fixed Assets	Sch-10	846
Misc Exp. Not Written Off/Dr balance	Sch-15	-
		226,685
Funds available for Investments		**272,285**

Reconciliation of Investment Assets	
Total Investment Assets (as per Balance Sheet)	**272,285**
Balance Sheet Value of:	
A. Life Fund	67,938
B. Pension & Gen Annuity Fund	12,120
C. Unit Linked Funds	192,227
	272,285

NON - LINKED BUSINESS *Rs.Lakhs*

A. LIFE FUND			% as per Reg	SH Balance (a)	SH FRSM* (b)	PH UL-Non Unit Res (c)	PH PAR (d)	PH NON PAR (e)	Book Value (SH+PH) F=[b+c+d+e]	Actual %	FVC Amount	Total Fund	Market Value
1		Central Govt. Sec	Not Less than 25%	-	4,711	2,264	17,391	2,673	27,039	41	-	27,039	27,045
2		Central Govt Sec, State Govt Sec or Other Approved Securities (incl (i) above)	Not Less than 50%	-	7,970	2,656	20,930	3,286	34,842	52	-	34,842	35,081
3		**Investment subject to Exposure Norms**			-	-	-	-			-		-
	a.	Housing & Infrastructure	Not Less than 15%		-	-	-	-			-		-
		1. Approved Investments		-	4,653	653	4,916	1,424	11,647	18	(0)	11,647	11,764
		2. Other Investments		-	-	-	450	50	500	1	-	500	519
	b.	i) Approved Investments	Not exceeding 35%	-	3,141	741	10,730	2,635	17,246	26	974	18,221	18,372
		ii) "Other Investments" not to exceed 15%		-	367	31	1,565	273	2,236	3	492	2,729	2,729
		TOTAL LIFE FUND	**100%**	**-**	**16,131**	**4,082**	**38,590**	**7,668**	**66,471**	**100**	**1,466**	**67,938**	**68,464**

Rs.Lakhs

B. PENSION AND GENERAL ANNUITY FUND*		% as per Reg	PH PAR	PH NON PAR	Book Value	Actual %	FVC Amount	Total Fund	Market Value
1	Central Govt. Sec	Not Less than 20%	250	4,078	4,328	36	-	4,328	4,316
2	Central Govt Sec, State Govt Sec or Other Approved Securities (incl (i) above)	Not Less than 40%	250	4,895	5,146	43	-	5,146	5,149
3	Balance in Approved investment	Not Exceeding 60%	57	6,872	6,929	57	46	6,974	7,017
	TOTAL PENSION, GENERAL ANNUITY FUND	**100%**	**307**	**11,767**	**12,074**	**100**	**46**	**12,120**	**12,166**

LINKED BUSINESS *Rs.Lakhs*

C. LINKED FUNDS		% as per Reg	PH PAR	PH NON PAR	Total Fund	Actual %	Market Value
1	Approved Investment	Not Less than 75%	-	183,224	183,224	95	183,224
2	Other Investments	Not More than 25%	-	9,002	9,002	5	9,002
	TOTAL LINKED INSURANCE FUND	**100%**	**-**	**192,227**	**192,227**	**100**	**192,227**

CERTIFICATION:

Certified that the information given here in are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: **30-Sep-14**

Signature:

Mr. Alok Roongta

Chief of Finance

Note: (+) FRSM refers to 'Funds representing Solvency Margin'

Funds beyond Solvency Margin shall have a separate Custody Account.

Other Investments' are as permitted under Secction 27A(2) of Insurance Act, 1938

Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.

Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate Custody Account

*Group Term Life has been classified under PGA from 1st April 2013