

FORM L-34

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: June 30, 2014

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Name of the Fund:- Unit Linked Fund



Rs Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
A	GOVERNMENT SECURITIES													
	Central Government Bonds	CGSB	20,513	761	3.71	3.71	20,513	761	3.71	3.71	14,683	512	3.49	3.49
	Central Government Guaranteed Loans / Bonds	CGSL	517	22	4.25	4.25	517	22	4.25	4.25	-	-	-	-
	Treasury Bills	CTRB	1,404	30	2.17	2.17	1,404	30	2.17	2.17	760	14	1.88	1.88
B	GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES													
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	1,190	48	4.01	4.01	1,190	48	4.01	4.01	1,405	77	5.46	5.46
C	HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT													
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	294	7	2.38	2.38	294	7	2.38	2.38	-	-	-	-
	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	1,755	53	2.99	2.99	1,755	53	2.99	2.99	1,582	46	2.93	2.93
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	-	-	-	-	-	-	-	-	498	12	2.35	2.35
D	INFRASTRUCTURE INVESTMENTS													
	Infrastructure - PSU - Debentures / Bonds	IPTD	3,468	113	3.25	3.25	3,468	113	3.25	3.25	5,067	159	3.13	3.13
	Infrastructure/ Social Sector - Other Corporate Securities (approved investments) - Debentures/ Bonds	ICTD	469	21	4.52	4.52	469	21	4.52	4.52	497	22	4.39	4.39
	Infrastructure - Equity (including unlisted)	IOEQ	879	68	7.70	7.70	879	68	7.70	7.70	930	174	18.73	18.73
	Infrastructure - Equity (Promoter Group)	IOPE	2,232	124	5.55	5.55	2,232	124	5.55	5.55	2,628	34	1.28	1.28
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	2,001	553	27.66	27.66	2,001	553	27.66	27.66	5	1	11.24	11.24
	Infrastructure - PSU - Equity shares - Quoted	ITPE	2,807	1,150	40.96	40.96	2,807	1,150	40.96	40.96	4,414	333	7.54	7.54
	Infrastructure - Other Corporate Securities - CPs	ICCP	490	11	2.24	2.24	490	11	2.24	2.24	-	-	-	-
	Infrastructure - PSU - CPs	IPCP	484	11	2.31	2.31	484	11	2.31	2.31	-	-	-	-
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS													
	PSU - Equity shares - Quoted	EAEQ	13,013	4,137	31.79	31.79	13,013	4,137	31.79	31.79	9,947	74	0.75	0.75
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	118,151	18,029	15.26	15.26	118,151	18,029	15.26	15.26	117,068	6,127	5.23	5.23
	Corporate Securities - Bonds - (Taxable)	EPBT	1,325	51	3.89	3.89	1,325	51	3.89	3.89	1,396	41	2.94	2.94
	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	1,181	29	2.42	2.42	1,181	29	2.42	2.42	1,700	42	2.49	2.49
	Deposits - CDs with Scheduled Banks	EDCD	1,141	27	2.35	2.35	1,141	27	2.35	2.35	916	22	2.40	2.40
	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Debentures	ECOS	1,110	33	2.93	2.93	1,110	33	2.93	2.93	697	32	4.61	4.61
	Commercial Papers	ECCP	1,113	27	2.38	2.38	1,113	27	2.38	2.38	-	-	-	-
	Passively Managed Equity ETF (Non Promoter Group)	EETF	3,540	535	15.11	15.11	3,540	535	15.11	15.11	-	-	-	-
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	1,328	30	2.26	2.26	1,328	30	2.26	2.26	1,944	43	2.20	2.20
	CCIL - CBLO	ECBO	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	450	1	0.12	0.12	450	1	0.12	0.12	2	0	9.69	9.69
	Net Current Assets (Only in respect of ULIP Business)	ENCA	-	-	-	-	-	-	-	-	-	-	-	-
F	OTHER INVESTMENTS													
	Equity Shares (incl Co-op Societies)	OESH	4,935	1,402	28.41	28.41	4,935	1,402	28.41	28.41	9,768	253	2.59	-
	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	711	16	2.22	2.22	711	16	2.22	2.22	567	12	2.17	2.17
	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	0	-	-	-
	Preference Shares	OPSH	64	3	5.33	5.33	64	3	5.33	5.33	44	44	100.61	100.61
	Equity Shares (PSUs & Unlisted)	OEPU	-	-	-	-	-	-	-	-	-	-	-	-
	TOTAL		186,565	27,290			186,565	27,290			176,516	6,752		

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date:

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time¹ Based on daily simple Average of Investments² Yield netted for Tax³ In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown⁴ FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.

Signature:

Mr. Alok Roontga

Chief of Finance