

FORM L-34

Company Name & Code:

Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: March 31, 2014

Name of the Fund Life Fund

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Rs Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	1,020	19	1.82	1.82	1,012	74	7.33	7.33	1,011	74	7.34	7.34
2	Central Government Bonds	CGSB	24,540	539	2.19	2.19	18,308	1,545	8.44	8.44	13,055	1,079	8.26	8.26
3	Treasury Bills	CTRB	442	11	2.39	2.39	680	55	8.06	8.06	1,558	105	8.28	8.28
4	State Government Securities	SGGB	3,835	89	2.33	2.33	3,462	202	5.84	5.84	-	-	-	-
5	Other Approved Securities (excluding Infrastructure Investments)	SGOA	1,466	-	-	-	1,346	18	1.32	1.32	-	-	-	-
6	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	2,870	67	2.33	2.33	2,286	218	9.52	9.52	1,454	137	9.45	9.45
7	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	-	-	-	-	-	-	-	-	-	-	-	-
8	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	193	5	2.48	2.48	187	12	6.48	6.48	-	-	-	-
9	Infrastructure - PSU - Debentures / Bonds	IPFD	-	-	-	-	-	-	-	-	-	-	-	-
10	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	67	1	1.88	1.88	301	32	10.52	10.52	347	37	10.78	10.78
11	Infrastructure - Debentures / Bonds / CPs / loans	IODS	506	13	2.62	2.62	493	24	4.80	4.80	-	-	-	-
12	Infrastructure - Equity (including unlisted)	IOEQ	41	8	20.07	20.07	34	8	24.41	24.41	-	-	-	-
13	Infrastructure - PSU - Debentures / Bonds	IPTD	5,033	118	2.34	2.34	4,226	393	9.31	9.31	3,633	331	9.11	9.11
14	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	2	-	-	-	2	0	1.46	1.46	5	0	1.02	1.02
15	Infrastructure - PSU - Equity shares - Quoted	ITPE	96	10	10.90	10.90	100	20	20.08	20.08	70	8	11.53	11.53
16	Infrastructure - PSU - CPs	IPCP	-	-	-	-	-	-	-	-	-	-	-	-
17	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	2,494	216	8.66	8.66	1,688	384	22.74	22.74	965	240	24.87	24.87
18	PSU - Equity shares - Quoted	EAEQ	268	10	3.76	3.76	269	18	6.63	6.63	171	18	10.57	10.57
19	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	827	7	9.37	9.37
20	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	3,532	101	2.85	2.85	3,514	397	11.28	11.28	3,773	398	10.54	10.54
21	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	1,308	1	0.06	0.06	-	-	-	-
22	Corporate Securities - Debentures	ECOS	1,763	42	2.37	2.37	1,485	148	9.95	9.95	298	20	9.52	9.52
23	Deposits - CDs with Scheduled Banks	EDCD	659	1	0.17	0.17	528	1	0.25	0.25	911	94	10.34	10.34
24	Corporate Securities - Bonds - (Taxable)	EPBT	286	7	2.33	2.33	538	50	9.29	9.29	1,012	90	8.91	8.91
25	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	2,026	43	2.12	2.12	1,464	133	9.08	9.08	876	76	9.08	9.08
26	Passively Managed Equity ETF (Non Promoter Group)	EETF	1,019	-	-	-	1,019	-	-	-	-	-	-	-
27	Mutual Funds - (under Insurer's Promoter Group)	EMPG	938	2	0.25	0.25	695	9	1.35	1.35	437	3	7.07	7.07
28	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	109	6	7.21	7.21
29	Passively Managed Equity ETF (Non Promoter Group)	OETF	1,075	-	-	-	1,075	-	-	-	-	-	-	-
30	Equity Shares (incl Co-op Societies)	OESH	693	18	2.63	2.63	871	5	0.62	0.62	174	74	42.68	42.68
31	Debentures	OLDB	344	-	-	-	341	40	11.67	11.67	340	40	11.68	11.68
32	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
33	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	1,226	21	1.70	1.70	980	48	4.93	4.93	497	15	9.65	9.65
34	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	800	1	0.11	0.11	800	2	8.68	8.68
	TOTAL		56,433	1,287			49,013	3,808			32,323	2,855		

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date:

Signature

Mr. Alok Roontga

Chief of Finance

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time¹ Based on daily simple Average of Investments² Yield netted for Tax³ In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown⁴ FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.⁵ Group Term Life has been classified under PGA from 1st April 2013

FORM L-34

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: March 31, 2014

Name of the Fund Pension / General Annuity Fund



Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Rs Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	-	-	-	-	-	-	-	-	-	-
2	Central Government Bonds	CGSB	3,504	73	2.08	2.08	2,733	224	8.18	8.18	60	5	8.54	8.54
3	Treasury Bills	CTRB	-	-	-	-	-	-	-	-	-	-	-	-
4	Other Approved Securities (excluding Infrastructure Investments)	SGOA	363	-	-	-	220	2	0.96	0.96	-	-	-	-
5	State Government Securities	SGGB	306	7	2.34	2.34	304	15	5.08	5.08	-	-	-	-
6	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	583	14	2.35	2.35	503	48	9.56	9.56	-	-	-	-
7	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	-	-	-	-	-	-	-	-	-	-	-	-
8	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	-	-	-	-	50	3	5.89	5.89	-	-	-	-
9	Infrastructure - PSU - Debentures / Bonds	IPTD	1,193	29	2.43	2.43	734	69	9.35	9.35	1	0	10.39	10.39
10	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	1	-	-	-	1.30	0.02	1.38	1.38	-	-	-	-
11	Infrastructure - PSU - Equity shares - Quoted	ITPE	13	0	2.71	2.71	11	1	4.62	4.62	-	-	-	-
12	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	47	0	0.01	0.01	41	5	11.77	11.77	-	-	-	-
13	PSU - Equity shares - Quoted	EAEQ	17	0	2.15	2.15	20	2	7.67	7.67	-	-	-	-
14	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	-	-	-	-
15	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	758	20	2.62	2.62	559	61	10.88	10.88	26	3	10.41	10.41
16	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-
17	Corporate Securities - Debentures	ECOS	577	15	2.54	2.54	454	47	10.25	10.25	-	-	-	-
18	Deposits - CDs with Scheduled Banks	EDCD	91	1	0.70	0.70	91	1	0.70	0.70	-	-	-	-
19	Corporate Securities - Bonds - (Taxable)	EPBT	385	9	2.30	2.30	264	24	9.22	9.22	-	-	-	-
20	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	267	1	0.21	0.21	216	1	0.57	0.57	-	-	-	-
21	Mutual Funds - (under Insurer's Promoter Group)	EMPG	-	-	-	-	180	0.32	0.18	0.18	-	-	-	-
22	Passively Managed Equity ETF (Non Promoter Group)	EETF	170	-	-	-	170	-	-	-	-	-	-	-
23	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
24	Equity Shares (incl Co-op Societies)	OESH	-	-	-	-	-	-	-	-	-	-	-	-
25	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
26	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	-	-	-	-	-	-	-	-	-	-	-	-
27	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
28	Infrastructure - Debentures / Bonds / CPs / loans	IODS	-	-	-	-	50	0.46	0.91	0.91	-	-	-	-
	TOTAL		8,275	168			6,600	502			87	8		

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date:

Signature

Mr. Alok Roontga

Chief of Finance

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time¹ Based on daily simple Average of Investments² Yield netted for Tax³ In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown⁴ FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.⁵ Group Term Life has been classified under PGA from 1st April 2013

FORM L-34

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: March 31, 2014

Name of the Fund:- Unit Linked Fund

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly



Rs Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
A	GOVERNMENT SECURITIES													
	Central Government Bonds	CGSB	19,790	470	2.38	2.38	17,339	135	0.78	0.78	8,175	858	10.50	10.50
	Treasury Bills	CTRB	1,548	34	2.22	2.22	1,472	144	9.76	9.76	780	63	8.07	8.07
B	GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES		-	-	-	-	-	-	-	-	-	-	-	-
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	1,244	28	2.22	2.22	1,300	20	1.52	1.52	1,352	172	12.70	12.70
C	HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT		-	-	-	-	-	-	-	-	-	-	-	-
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	290	7	2.57	2.57	282	19	6.81	6.81	-	-	-	-
	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	1,763	49	2.76	2.76	1,649	132	8.02	8.02	1,552	178	11.49	11.49
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	-	-	-	-	499	19	3.89	3.89	495	49	9.83	9.83
D	INFRASTRUCTURE INVESTMENTS		-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - PSU - Debentures / Bonds	IPTD	3,921	109	2.79	2.79	4,636	300	6.46	6.46	2,758	302	10.94	10.94
	Infrastructure / Social Sector - Other Corporate Securities (approved investments) - Debentures/ Bonds	ICTD	468	13	2.77	2.77	475	26	5.42	5.42	480	60	12.58	12.58
	Infrastructure - Equity (including unlisted)	IOEQ	1,473	299	20.27	20.27	1,389	109	7.83	7.83	-	-	-	-
	Infrastructure - Equity (Promoter Group)	IOPE	2,695	68	2.52	2.52	2,731	265	9.71	9.71	-	-	-	-
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	1,009	178	17.68	17.68	470	225	47.99	47.99	253	37	14.46	14.46
	Infrastructure - PSU - Equity shares - Quoted	ITPE	1,751	132	7.55	7.55	2,515	443	17.62	17.62	4,274	129	3.01	3.01
	Infrastructure - Other Corporate Securities - CPs	ICCP	484	11	2.24	2.24	478	19	4.04	4.04	-	-	-	-
	Infrastructure - PSU - CPs	IPCP	478	11	2.33	2.33	473	17	3.60	3.60	-	-	-	-
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS		-	-	-	-	-	-	-	-	-	-	-	-
	PSU - Equity shares - Quoted	EAEQ	5,994	1,160	19.35	19.35	6,887	156	2.27	2.27	9,892	790	7.99	7.99
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	116,517	8,430	7.23	7.23	116,477	27,513	23.62	23.62	115,040	13,939	12.12	12.12
	Corporate Securities - Bonds - (Taxable)	EPBT	1,145	31	2.70	2.70	1,247	101	8.12	8.12	1,298	151	11.62	11.62
	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	1,142	27	2.41	2.41	1,329	130	9.77	9.77	2,319	243	10.48	10.48
	Deposits - CDs with Scheduled Banks	EDCD	927	11	1.23	1.23	773	41	5.32	5.32	4,103	408	9.95	9.95
	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	1,017	1	0.12	0.12	-	-	-	-
	Corporate Securities - Debentures	ECOS	1,378	40	2.90	2.90	998	90	9.00	9.00	513	56	10.87	10.87
	Commercial Papers	ECCP	920	18	2.00	2.00	920	18	2.00	2.00	-	-	-	-
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	1,628	36	2.18	2.18	2,097	199	9.51	9.51	2,242	209	9.34	9.34
	CCIL - CBLO	ECBO	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	1,045	0	0.02	0.02	59	2	3.03	3.03	5	0	4.86	4.86
	Net Current Assets (Only in respect of ULIP Business)	ENCA	-	-	-	-	-	-	-	-	-	-	-	-
F	OTHER INVESTMENTS		-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (incl Co-op Societies)	OESH	5,174	388	7.49	7.49	6,954	8	0.11	0.11	10,197	189	1.86	1.86
	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	3,048	697	22.88	22.88
	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	580	13	2.15	2.15	657	61	9.26	9.26	657	60	9.19	9.19
	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	0	-	-	-
	Preference Shares	OPSH	69	69	100.80	100.80	51	115	226.22	226.22	-	-	-	-
	TOTAL		173,432	10,899			175,171	29,096			169,432	15,542		

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date:

Signature:

Mr. Alok Roonitga

Chief of Finance

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