

FORM L-34

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: December 31, 2013

Name of the Fund Life Fund

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Rs Lakhs

No.	Category of Investment	Category Code	Current Quarter					Year to Date (current year)					Year to Date (previous year) ³				
			Investment (Rs.)		Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)		Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)		Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
			Book Value	Market Value				Book Value	Market Value				Book Value	Market Value			
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	1,009	989	18	1.8	1.8	1,009	989	56	5.5	5.5	1,010	991	56	5.5	5.5
2	Central Government Bonds	CGSB	18,658	17,760	367	2.1	2.1	18,658	17,760	1,006	6.2	6.2	14,345	14,645	769	6.2	6.2
3	Treasury Bills	CTRB	491	491	24	2.6	2.6	491	491	44	5.8	5.8	589	589	104	6.2	6.2
4	State Government Securities	SGGB	3,710	3,738	88	2.4	2.4	3,710	3,738	113	3.5	3.5	-	-	-	-	-
5	Other Approved Securities (excluding Infrastructure Investments)	SGOA	1,466	1,473	18	1.4	1.4	1,466	1,473	18	1.4	1.4	-	-	-	-	-
6	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	2,831	2,814	57	2.4	2.4	2,831	2,814	151	7.2	7.2	1,329	1,337	104	7.1	7.1
7	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
8	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	188	188	5	2.5	2.5	188	188	7	4.0	4.0	-	-	-	-	-
9	Infrastructure - PSU - Debentures / Bonds	IPFD	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
10	Infrastructure - Other Corporate Securities - Debentures / Bonds	ICTD	50	51	4	2.7	2.7	50	51	30	8.0	8.0	550	594	23	8.2	8.2
11	Infrastructure - Debentures / Bonds / CPs / loans	IODS	500	506	10	2.2	2.2	500	506	10	2.2	2.2	-	-	-	-	-
12	Infrastructure - Equity (Including unlisted)	IOEQ	27	25	-	-	-	27	25	-	-	-	-	-	-	-	-
13	Infrastructure - PSU - Debentures / Bonds	IPTD	4,778	4,665	105	2.3	2.3	4,778	4,665	275	7.0	7.0	3,626	3,704	249	6.9	6.9
14	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	3	2	0	0.1	0.1	3	2	0	1.4	1.4	4	4	0	1.0	1.0
15	Infrastructure - PSU - Equity shares - Quoted	ITPE	201	190	3	2.8	2.8	201	190	10	9.5	9.5	85	85	3	5.7	5.7
16	Infrastructure - PSU - CPs	IPCP	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
17	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	2,072	2,086	29	1.8	1.8	2,072	2,086	168	11.8	11.8	1,921	1,921	151	22.2	22.2
18	PSU - Equity shares - Quoted	EAEQ	351	255	-	0.5	0.5	351	255	8	2.9	2.9	353	353	3	4.4	4.4
19	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	-	-	-	-	7	0.8	0.8
20	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	3,436	3,436	98	2.9	2.9	3,436	3,436	296	8.4	8.4	4,104	4,104	287	7.9	7.9
21	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	1	0.1	0.1	-	-	-	-	-
22	Corporate Securities - Debentures	ECOS	1,677	1,631	41	2.5	2.5	1,677	1,631	106	7.6	7.6	341	346	10	4.5	4.5
23	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	-	-	-	0	0.1	0.1	518	518	89	7.7	7.7
24	Corporate Securities - Bonds - (Taxable)	EPBT	200	199	8	2.4	2.4	200	199	43	7.0	7.0	1,006	1,006	68	6.7	6.7
25	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	1,791	1,791	33	2.4	2.4	1,791	1,791	90	7.1	7.1	20	20	58	6.6	6.6
26	Mutual Funds - (under Insurer's Promoter Group)	EMPG	-	-	3	0.4	0.4	-	-	7	1.1	1.1	-	-	1	0.4	0.4
27	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	66	66	1	1.2	1.2
28	Equity Shares (incl. Co-op Societies)	OESH	936	789	10	1.2	1.2	936	789	13	1.4	1.4	284	284	78	54.8	54.8
29	Debentures	OLDB	340	343	10	2.9	2.9	340	343	30	8.8	8.8	340	351	30	8.8	8.8
30	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
31	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	774	774	14	1.6	1.6	774	774	28	3.3	3.3	-	-	12	2.5	2.5
32	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	1	0.1	0.1	-	-	-	-	-
	TOTAL		45,491	44,196	945			45,491	44,196	2,510			30,490	30,918	2,102		

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date:

Signature

Mr. Alok Roongta

Chief of Finance

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time¹ Based on daily simple Average of Investments² Yield netted for Tax³ In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown⁴ FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.⁵ Group Term Life has been classified under PGA from 1st April 2013

No.	Category of Investment	Category Code	Current Quarter					Year to Date (current year)					Year to Date (previous year) ³				
			Investment (Rs.)		Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)		Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)		Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
			Book Value	Market Value				Book Value	Market Value				Book Value	Market Value			
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2	Central Government Bonds	CGSB	2,974	2,848	56	2.0	2.0	2,974	2,848	151	6.1	6.1	80	82	3	6.4	6.4
3	Treasury Bills	CTRB	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
4	Other Approved Securities (excluding Infrastructure Investments)	SGOA	363	368	2	1.3	1.3	363	368	2	1.3	1.3	-	-	-	-	-
5	State Government Securities	SGGB	302	303	7	2.4	2.4	302	303	8	2.7	2.7	-	-	-	-	-
6	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	577	577	14	2.5	2.5	577	577	34	7.2	7.2	-	-	-	-	-
7	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
8	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	-	-	0	0.5	0.5	-	-	3	5.9	5.9	-	-	-	-	-
9	Infrastructure - PSU - Debentures / Bonds	IPTD	1,007	980	19	2.3	2.3	1,007	980	40	6.8	6.8	1	1	0	7.8	7.8
10	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	2	1	-	-	-	2	1	0	1.4	1.4	-	-	-	-	-
11	Infrastructure - PSU - Equity shares - Quoted	ITPE	16	14	-	-	-	16	14	0	1.4	1.4	-	-	-	-	-
12	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	62	44	0	0.4	0.4	62	44	5	12.3	12.3	-	-	-	-	-
13	PSU - Equity shares - Quoted	EAEQ	31	18	-	-	-	31	18	1	5.6	5.6	-	-	-	-	-
14	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
15	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	447	447	13	2.8	2.8	447	447	41	8.3	8.3	28	28	2	7.8	7.8
16	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
17	Corporate Securities - Debentures	ECOS	571	575	15	2.7	2.7	571	575	32	7.7	7.7	-	-	-	-	-
18	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
19	Corporate Securities - Bonds - (Taxable)	EPBT	50	50	4	2.4	2.4	50	50	15	6.9	6.9	-	-	-	-	-
20	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	-	-	0	0.0	0.0	-	-	1	0.4	0.4	-	-	-	-	-
21	Mutual Funds - (under Insurer's Promoter Group)	EMPG	-	-	-	-	-	-	-	0	0.2	0.2	-	-	-	-	-
22	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
23	Equity Shares (incl Co-op Societies)	OESH	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
24	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
25	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
26	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
27	Infrastructure - Debentures / Bonds / CPs / loans	IODS	-	-	0	0.9	0.9	-	-	0	0.9	0.9	-	-	-	-	-
	TOTAL		6,402	6,226	131.64			6,402	6,226	334			109	111	5		

CERTIFICATION

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Date:

Signature

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Chief of Finance

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

¹ Based on daily simple Average of Investments

² Yield netted for Tax

³ In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown

⁴ FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.

⁵ Group Term Life has been classified under PGA from 1st April 2013

FORM L-34

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: December 31, 2013

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Name of the Fund:- Unit Linked Fund

Rs Lakhs

No.	Category of Investment	Category Code	Current Quarter					Year to Date (current year)					Year to Date (previous year) ³				
			Investment (Rs.)		Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)		Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)		Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
			Book Value	Market Value				Book Value	Market Value				Book Value	Market Value			
A	GOVERNMENT SECURITIES																
	Central Government Bonds	CGSB	20,644	19,908	386	2.1	2.1	20,644	19,908	335	2.0	2.0	10,319	10,362	587	8.2	8.2
	Treasury Bills	CTRB	1,726	1,726	46	2.6	2.6	1,726	1,726	109	7.6	7.6	634	634	50	6.1	6.1
B	GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	1,297	1,233	42	3.4	3.4	1,297	1,233	8	0.6	0.6	1,355	1,371	139	10.3	10.3
C	HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	283	283	7	2.6	2.6	283	283	12	4.2	4.2	-	-	-	-	-
	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	1,750	1,744	46	2.7	2.7	1,750	1,744	84	5.2	5.2	1,540	1,561	140	9.1	9.1
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	-	-	-	-	-	-	-	19	3.9	3.9	500	498	39	7.8	7.8
D	INFRASTRUCTURE INVESTMENTS		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - PSU - Debentures / Bonds	IPTD	3,913	3,853	135	3.0	3.0	3,913	3,853	190	3.9	3.9	3,422	3,443	205	8.8	8.8
	Infrastructure / Social Sector - Other Corporate Securities (approved investments) - Debentures/ Bonds	ICTD	450	462	12	2.6	2.6	450	462	13	2.7	2.7	450	484	48	10.1	10.1
	Infrastructure - Equity (including unlisted)	IOEQ	1,461	1,802	1	0.1	0.1	1,461	1,802	407	29.9	29.9	-	-	-	-	-
	Infrastructure - Equity (Promoter Group)	IOPE	3,330	3,014	82	2.7	2.7	3,330	3,014	333	12.2	12.2	-	-	-	-	-
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	698	762	48	8.3	8.3	698	762	47	19.0	19.0	13	11	35	10.6	10.6
	Infrastructure - PSU - Equity shares - Quoted	ITPE	2,014	1,951	166	10.9	10.9	2,014	1,951	575	20.8	20.8	4,194	4,805	630	15.1	15.1
	Infrastructure - Other Corporate Securities - CPs	ICCP	474	474	8	1.8	1.8	474	474	8	1.8	1.8	-	-	-	-	-
	Infrastructure - PSU - CPs	IPCP	467	467	6	1.3	1.3	467	467	6	1.3	1.3	-	-	-	-	-
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	PSU - Equity shares - Quoted	EAEQ	6,098	5,308	436	8.8	8.8	6,098	5,308	1,316	18.3	18.3	9,876	10,252	243	2.6	2.6
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	93,309	118,325	12,413	10.5	10.5	93,309	118,325	19,084	16.4	16.4	106,868	130,011	19,645	17.5	17.5
	Corporate Securities - Bonds - (Taxable)	EPBT	854	845	31	2.7	2.7	854	845	70	5.5	5.5	1,269	1,278	109	8.4	8.4
	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	1,011	1,011	28.44	2.4	2.4	1,011	1,011	102	7.4	7.4	2,413	2,413	187	7.9	7.9
	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	-	-	-	30	4.1	4.1	2,326	2,326	387	7.5	7.5
	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	1	0.1	0.1	-	-	-	-	-
	Corporate Securities - Debentures	ECOS	1,375	1,363	51	4.0	4.0	1,375	1,363	50	5.7	5.7	527	558	35	9.5	9.5
	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	1,014	1,014	40	2.4	2.4	1,014	1,014	164	7.3	7.3	555	555	195	7.1	7.1
	CCIL - CBLO	ECBO	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	-	-	-	-	-	-	-	2	6.2	-	0	0	0	6.8	-
	Net Current Assets (Only in respect of ULIP Business)	ENCA	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
F	OTHER INVESTMENTS		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (incl Co-op Societies)	OESH	6,250	5,738	946	15.7	15.7	6,250	5,738	395	5.2	5.2	10,465	11,490	1,185	12.2	12.2
	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	3,551	3,016	452	14.9	14.9
	Mutual Funds - Debt / Income / Serial Plans / Liquid Schemes	OMGS	583	583	17	2.4	-	583	583	48	7.1	-	467	467	51	7.0	-
	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	0	0	-	-	-
	Preference Shares	OPSH	43	45	1	2.8	2.8	43	45	46	103.9	103.9	-	-	-	-	-
	TOTAL		149,044	171,911	14,950			149,044	171,911	18,197			160,743	185,534	23,387		

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date:

Signature:

Mr. Alok Roongta

Chief of Finance

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