FORM L-34

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: September 30, 2013 Name of the Fund Life Fund

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

(Rs in Lakhs)

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year)3			
			Investment (Rs.)¹	Income on Investment (Rs.)	Gross Yield (%)¹	Net Yield (%)²	Investment (Rs.)¹	Income on Investment (Rs.)	Gross Yield (%)¹	Net Yield (%)²	Investment (Rs.)¹	Income on Investment (Rs.)	Gross Yield (%)¹	Net Yield (%)²
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	1,009	19	7.3	7.3	1,009	37	7.3	7.3	1,012	37	7.3	7.3
2	Central Government Bonds	CGSB	15,910	326	8.1	8.1	15,541	638	8.2	8.2	11,986	473	7.9	7.9
3	Treasury Bills	CTRB	852	14	10.3	10.3	663	20	8.9	8.9	2,131	89	8.3	8.3
4	State Government Securities	SGGB	2,235	25	8.9		2,235	25	8.9		-	-	-	
5	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	-	-	-	-	-	-	-	-	-	-	-
6	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	1,948	47	9.6	9.6	1,948	93	9.6	9.6	1,519	72	9.4	9.4
7	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	-	-	-	-	-	-	-	-	-	-	-	-
8	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	182	3	9.6	9.6	182	3	9.6	9.6	-	-	-	-
9	Infrastructure - PSU - Debentures / Bonds	IPFD	-	-	-	-	-	-	-	-	-	-	-	-
10	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	500	13	10.7	10.7	500	27	10.7	10.7	146	8	11.1	11.1
11	Infrastructure - PSU - Debentures / Bonds	IPTD	4,030	93	9.2	9.2	3,671	170	9.3	9.3	3,655	166	9.0	9.0
12	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	2	0	5.6	5.6	2	0	2.7	2.7	5	0	1.9	1.9
13	Infrastructure - PSU - Equity shares - Quoted	ITPE	89	1	6.4	6.4	100	7	13.4	13.4	42	2	9.4	9.4
14	Infrastructure - PSU - CPs	IPCP	-	-	-	-	-	-	-	-	-	-	-	-
15	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	1,375	57	16.3	16.3	1,298	139	21.3	21.3	503	65	25.9	25.9
16	PSU - Equity shares - Quoted	EAEQ	236	1	1.6	1.6	280	9	6.5	6.5	68	2	6.1	6.1
17	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	827	7	9.4	9.4
18	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	3,373	95	11.2	11.2	3,563	198	11.1	11.1	3,495	182	10.4	10.4
19	Deposits - Repo / Reverse Repo	ECMR	1,308	1	10.1	10.1	1,308	1	10.1	10.1	-	-	-	-
20	Corporate Securities - Debentures	ECOS	1,578	38	9.7	9.7	1,253	65	10.3	10.3	99	2	9.5	9.5
21	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	200	0	16.0	16.0	1,476	76	10.3	10.3
22	Corporate Securities - Bonds - (Taxable)	EPBT	577	14	9.4	9.4	759	35	9.2	9.2	1,011	45	8.9	8.9
23	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	1,583	40	10.4	10.4	1,205	57	9.8	9.8	930	42	9.6	9.6
24	Mutual Funds - (under Insurer's Promoter Group)	EMPG	745	1	36.9	36.9	629	4	9.6	9.6	-	-	-	-
25	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	96	0	0.5	0.5
26	Equity Shares (incl Co-op Societies)	OESH	924	1	0.4	0.4	965	2	0.5	0.5	124	64	103.4	103.4
27	Debentures	OLDB	340	10	11.7	11.7	340	20	11.7	11.7	339	20	11.7	11.7
28	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
29	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	756	11	10.9	10.9	778	13	10.5	10.5	522	11	10.2	10.2
30	Mutual Funds - (under Insurer's Promoter Group)	OMPG	800	1	10.5	10.5	800	1	10.5	10.5	-	-	-	-
	TOTAL		**40,352**	**811**			**39,229**	**1,565**			**29,986**	**1,363**		

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date:

Signature ______________________

Mr. Alok Roongta

Chief of Finance

Note: *Category of Investment (COI) shall be as per Guidelines, as amended from time to time*

1 *Based on daily simple Average of Investments*

2 *Yield netted for Tax*

3 *In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown*

4 *FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.*

5 *Group Term Life has been classified under PGA from 1st April 2013*

FORM L-34

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: September 30, 2013 **Name of the Fund** Pension / General Annuity Fund

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly *(Rs in Lakhs)*

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year)3			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%)¹	Net Yield (%)²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%)¹	Net Yield (%)²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%)¹	Net Yield (%)²
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	-	-	-	-	-	-	-	-	-	-
2	Central Government Bonds	CGSB	2,390	48	8.0	8.0	2,335	95	8.1	8.1	50	2	8.6	8.6
3	Treasury Bills	CTRB	-	-	-	-	-	-	-	-	-	-	-	-
4	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	-	-	-	-	-	-	-	-	-	-	-
5	State Government Securities	SGGB	302	1	7.8	7.8	302	1	7.8	7.8	-	-	-	-
6	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	470	11	9.6	9.6	426	20	9.4	9.4	-	-	-	-
7	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	-	-	-	-	-	-	-	-	-	-	-	-
8	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	50	1	10.7	10.7	50	3	10.7	10.7	-	-	-	-
9	Infrastructure - PSU - Debentures / Bonds	IPTD	569	13	9.0	9.0	456	21	9.0	9.0	1	0	10.4	10.4
10	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	1	0	5.6	5.6	1	0	2.7	2.7	-	-		
11	Infrastructure - PSU - Equity shares - Quoted	ITPE	10	0	5.5	5.5	9	0	3.0	3.0	-	-		
12	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	27	1	7.8	7.8	41	5	22.9	22.9	-	-	-	-
13	PSU - Equity shares - Quoted	EAEQ	16	0	7.5	7.5	23	1	10.0	10.0	-	-	-	-
14	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	-	-	-	-
15	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	462	13	11.0	11.0	518	28	10.9	10.9	24	1	10.3	10.3
16	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-
17	Corporate Securities - Debentures	ECOS	371	9	9.9	9.9	337	17	9.8	9.8	-	-		
18	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	-	-	-	-	-	-	-	-
19	Corporate Securities - Bonds - (Taxable)	EPBT	251	6	9.2	9.2	252	11	9.1	9.1	-	-	-	-
20	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	175	0	11.3	11.3	187	1	8.8	8.8	-	-		
21	Mutual Funds - (under Insurer's Promoter Group)	EMPG	-	-	-	-	180	0	8.2	8.2	-	-		
22	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
23	Equity Shares (incl Co-op Societies)	OESH	-	-	-	-	-	-	-	-	-	-	-	-
24	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
25	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	-	-	-	-	-	-	-	-	-	-	-	-
26	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
	TOTAL		**5,095**	**104**			**5,119**	**202**			**75**	**3**		

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date:

Signature ____________________

Mr. Alok Roongta

Chief of Finance

Note: *Category of Investment (COI) shall be as per Guidelines, as amended from time to time*

1 *Based on daily simple Average of Investments*

2 *Yield netted for Tax*

3 *In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown*

4 *FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.*

5 *Group Term Life has been classified under PGA from 1st April 2013*

FORM L-34

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: September 30, 2013

Name of the Fund-: Unit Linked Fund

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

(Rs in Lakhs)

No.	Category of Investment	Category Code	Current Quarter Investment (Rs.)	Current Quarter Income on Investment (Rs.)	Current Quarter Gross Yield (%)¹	Current Quarter Net Yield (%)²	Year to Date (current year) Investment (Rs.)	Year to Date (current year) Income on Investment (Rs.)	Year to Date (current year) Gross Yield (%)¹	Year to Date (current year) Net Yield (%)²	Year to Date (previous year)3 Investment (Rs.)	Year to Date (previous year)3 Income on Investment (Rs.)	Year to Date (previous year)3 Gross Yield (%)¹	Year to Date (previous year)3 Net Yield (%)²
A	**GOVERNMENT SECURITIES**													
	Central Government Bonds	CGSB	16,461	-1,232	-29.7	-29.7	15,577	-720	-9.2	-9.2	6,327	331	10.4	10.4
	Treasury Bills	CTRB	1,792	49	10.9	10.9	1,279	64	9.9	9.9	885	36	8.2	8.2
B	**GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES**													
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	1,308	-127	-38.5	-38.5	1,356	-50	-7.4	-7.4	1,341	87	12.9	12.9
C	**HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT**													
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	273	4	10.4	10.4	273	4	10.4	10.4	-	-	-	-
	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	1,528	-8	-2.2	-2.2	1,555	38	4.9	4.9	1,502	103	13.7	13.7
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	499	8	9.4	9.4	499	19	9.4	9.4	493	28	11.2	11.2
D	**INFRASTRUCTURE INVESTMENTS**													
	Infrastructure - PSU - Debentures / Bonds	IPTD	5,085	-104	-8.1	-8.1	5,076	55	2.2	2.2	1,918	132	13.8	13.8
	Infrastructure/ Social Sector - Other Corporate Securities (approved investments) - Debentures/ Bonds	ICTD	469	-21	-18.0	-18.0	483	1	0.2	0.2	475	37	15.5	15.5
	Infrastructure - Equity (including unlisted)	IOEQ	1,408	232	65.3	65.3	1,170	406	69.2	69.2	-	-	-	-
	Infrastructure - Equity (Promoter Group)	IOPE	2,577	218	33.5	33.5	2,602	251	19.3	19.3	-	-	-	-
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	5	-0	-3.9	-3.9	5	-1	-34.6	-34.6	372	-13	-7.0	-7.0
	Infrastructure - PSU - Equity shares - Quoted	ITPE	2,378	-408	-68.1	-68.1	3,390	-741	-43.6	-43.6	3,956	407	20.5	20.5
E	**APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS**													
	PSU - Equity shares - Quoted	EAEQ	6,645	-1,678	-100.2	-100.2	8,287	-1,752	-42.2	-42.2	9,207	-102	-2.2	-2.2
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	113,560	544	1.9	1.9	115,304	6,670	11.5	11.5	105,146	11,413	21.6	21.6
	Corporate Securities - Bonds - (Taxable)	EPBT	1,287	-2	-0.5	-0.5	1,341	39	5.8	5.8	1,311	80	12.2	12.2
	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	1,286	32	9.8	9.8	1,492	74	9.9	9.9	2,375	125	10.5	10.5
	Deposits - CDs with Scheduled Banks	EDCD	496	8	8.0	8.0	732	30	9.1	9.1	5,932	296	9.9	9.9
	Deposits - Repo / Reverse Repo	ECMR	1,017	1.26	9.039	9.039	1,017	1	9.0	9.0	-	-	-	-
	Corporate Securities - Debentures	ECOS	655	-33	-19.9	-19.9	676	-1	-0.2	-0.2	278	19	13.8	13.8
	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	3,136	81	10.2	10.2	2,543	124	9.7	9.7	3,839	182	9.5	9.5
	CCIL - CBLO	ECBO	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	47	1	11.0	11.0	25	2	12.3	12.3	0	-	-	-
	Net Current Assets (Only in respect of ULIP Business)	ENCA	-	-	-	-	-	-	-	-	-	-	-	-
F	**OTHER INVESTMENTS**													
	Equity Shares (incl Co-op Societies)	OESH	6,855	-1,088	-62.9	-62.9	8,303	-1,341	-32.2	-32.2	9,317	816	17.5	17.5
	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	3,241	-894	-55.0	-55.0
	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	743	19	9.9	9.9	656	31	9.4	9.4	904	43	9.5	9.5
	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	0	-	-	-
	Preference Shares	OPSH	44	1	6.9	6.9	44	45	257.2	257.2	-	-	-	-
	TOTAL		**169,554**	**-3,504**			**173,685**	**3,247**			**158,820**	**13,125**		

CERTIFICATION

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Date:

Signature: ____________________

Mr. Alok Roongta

Chief of Finance

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