

Form L-27 - Unit Linked Business - 3A (Linked)

PART - B

Unit Linked Insurance Business
Link to Item 'C' of FORM 3A (Part A)
Company Name & Code: Bharti Axa Life Insurance Co. Ltd. (130)
Periodicity of Submission : Quarterly
Statement as on : September 30, 2013

Unit Linked Life

PARTICULARS	NAME OF THE BUSINESS:											
	GROW MONEY FUND	SAVE N GROW MONEY FUND	STEADY MONEY FUND	GROWTH OPPORTUNITIES	BUILD N PROTECT FUND SERIES 1	SAFE MONEY FUND	GROW MONEY PLUS	GROWTH OPPORTUNITIES PLUS	BUILD INDIA FUND	TRUE WEALTH FUND	DISCONTINUANCE LIFE FUND	TOTAL FUNDS
SFIN	ULIF00221/08/2006EGROW WMONEY130	ULIF00121/08/2006BSAVEN GROW130	ULIF00321/08/2006DSTDY M OENY130	ULIF00708/12/2008EGROW T HOPR130	ULIF00919/05/2009BBUILD N PS1130	ULIF01007/07/2009LSAFEM ONEY130	ULIF01214/12/2009EGROW MONYPL130	ULIF01614/12/2009EGROW THOPPL130	ULIF01909/02/2010EBUILD INDIA130	ULIF02104/10/2010BTRUE WEALTHG130	ULIF02219/01/2011DISCONT INANCE LFI30	
Opening Balance (Market Value)	61,151	8,884	6,596	6,939	1,492	1,605	13,854	17,392	4,417	9,419	7,401	139,151
Add : Inflow during The Quarter	2,215	717	895	455	71	1,077	1,094	1,765	325	849	1,289	10,750
Increase/(Decrease) Value of Inv (Net)	(843)	(319)	(239)	(136)	(134)	39	(272)	(382)	(92)	(712)	(291)	(3,382)
Less: Outflow during the Quarter	6,428	846	1,365	631	130	659	1,215	2,014	447	309	239	14,284
TOTAL INVESTIBLE FUNDS (MKT VALUE)	56,095	8,435	5,887	6,627	1,298	2,062	13,461	16,760	4,204	9,247	8,159	132,235

INVESTMENT OF UNIT FUND	GROW MONEY FUND		SAVE N GROW MONEY FUND		STEADY MONEY FUND		GROWTH OPPORTUNITIES		BUILD N PROTECT FUND SERIES 1		SAFE MONEY FUND		GROW MONEY PLUS		GROWTH OPPORTUNITIES PLUS		BUILD INDIA FUND		TRUE WEALTH FUND		DISCONTINUANCE LIFE FUND		TOTAL FUNDS	
	ULIF00221/08/2006EGROW MONEY130		ULIF00121/08/2006BSAVEN GROW130		ULIF00321/08/2006DSTDM OENY130		ULIF00708/12/2008EGROWT HOPR130		ULIF00919/05/2009BBUILD N PS1130		ULIF01007/07/2009LSAFEM ONEY130		ULIF01214/12/2009EGROW MONYPL130		ULIF01614/12/2009EGROW THOPPL130		ULIF01909/02/2010EBUILD INDIA130		ULIF02104/10/2010BTRUEWLT HGI30		ULIF02219/01/2011DDISCONT LFI30			
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)																								
Central Govt Securities	-	-	1,100	13.0	2,052	34.9	-	-	-	-	-	-	-	-	-	-	-	7,721	83.5	6,588	80.7	17,460	13.2	
State Government Securities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other Approved Securities	-	-	-	-	-	-	-	-	1,257	96.9	-	-	-	-	-	-	-	-	-	-	-	-	1,257	1.0
Corporate Bonds	-	-	474	5.6	889	15.1	-	-	-	-	-	-	-	-	-	-	-	-	-	127	1.6	1,490	1.1	
Infrastructure Bonds	-	-	2,570	30.5	2,337	39.7	-	-	-	-	-	-	-	-	-	-	-	-	-	950	11.6	5,856	4.4	
Equity	51,792	92.3	3,490	41.4	-	-	5,954	89.9	-	-	-	-	12,148	90.2	14,895	88.9	3,806	90.6	759	8.2	-	-	92,845	70.2
Money Market	-	-	284	3.4	238	4.0	-	-	-	-	981	47.6	-	-	-	-	-	-	10	0.1	38	0.5	1,551	1.2
Mutual funds	50	0.1	211	2.5	127.60	2.2	50	0.8	22	1.7	92	4.5	170	1.3	220	1.3	30	0.7	50	0.5	322	4.0	1,345	1.0
Deposit with Banks	-	-	-	-	60	1.0	-	-	-	-	804	39.0	-	-	-	-	-	-	-	-	-	-	864	0.7
Sub Total (A)	51,842	92.4	8,128	96.4	5,703	96.9	6,004	90.6	1,279	98.5	1,877	91.0	12,318	91.5	15,115	90.2	3,836	91.3	8,539	92.4	8,026	98.4	122,669	92.8
Current Assets:																								
Accrued Interest	0	0.0	99	1.2	155	2.6	0	0.0	23	1.8	41	2.0	0	0.0	0	0.0	0	0.0	219	2.4	182	2.2	720	0.5
Dividend Recievable	39	0.1	1	0.0	-	-	4	0.1	-	-	-	-	8	0.1	10	0.1	3	0.1	-	-	-	-	65	0.0
Bank Balance	(103)	(0.2)	12	0.1	29	0.5	20	0.3	(4)	(0.3)	9	0.5	44	0.3	76	0.5	24	0.6	169	1.8	(48)	(0.6)	228	0.2
Receivable for Sale of Investments	273	0.5	-	-	-	-	15	0.2	-	-	-	-	10	0.1	28	0.2	3	0.1	-	-	-	-	329	0.2
Other Current Assets (for Investments)	(133)	(0.2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	(133)	(0.1)
Unit Collection A/c	(157)	(0.3)	12	0.1	29	0.5	(4)	(0.1)	(4)	(0.3)	9	0.5	19	0.1	54	0.3	(1)	(0.0)	93	1.0	(48)	(0.6)	2	0.0
Appropriation/Expropriation	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Less: Current Liabilities																								
Payable for Investments	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Fund Mgmt Charges Payable	8	0.0	1	0.0	1	0.0	1	0.0	0	0.0	0	0.0	2	0.0	2	0.0	1	0.0	1	0.0	0	0.0	17	0.0
Other Current Liabilities (for Investment)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sub Total (B)	68	0.1	111	1.3	184	3.1	38	0.6	19	1.5	50	2.4	60	0.4	111	0.7	29	0.7	387	4.2	133	1.6	1,192	0.9
Other Investments (<=25%)																								
Corporate Bonds	18	0.0	0	0.0	-	-	2	0.0	-	-	-	-	7	0.1	8	0.0	1	0.0	-	-	-	-	36	0.0
Infrastructure Bonds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Equity	4,167	7.4	196	2.3	-	-	582	8.8	-	-	-	-	1,075	8.0	1,526	9.1	337	8.0	320	3.5	-	-	8,204	6.2
Money Market	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Mutual Funds	0	0.0	0	0.0	0	0.0	-	-	-	-	135	6.5	-	-	-	-	-	-	0	0.0	-	-	135	0.1
Deposit with Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sub Total (C)	4,185	7.5	196	2.3	0	0.0	584	8.8	-	-	135	6.5	1,082	8.0	1,534	9.2	338	8.0	320	3.5	-	-	8,375	6.3
Total (A + B + C)	56,095	100.0	8,435	100.0	5,887	100.0	6,627	100.0	1,298	100.0	2,062	100.0	13,461	100.0	16,760	100.0	4,204	100.0	9,247	100.0	8,159	100.0	132,235	100.0

Date :

Signature: _____
Mr. Alok Roongta
Chief of Finance

Note:
1. The aggregate of all the above Segregated Unit-Fundsshould tally with item C of FORM 3A (Part A), for both Par & Non Par Business
2. Details of item 12 of FORM LB 2 of IRDA (Actuarial Report) Regulation, 2000 shall be reconciled with FORM 3A (Part B)
3. Other Investments' are as permitted under Sec 27A(2) and 27B(3)

Form L-27 - Unit Linked Business - 3A(Linked Pension)

Unit Linked Insurance Business

Link to Item 'C' of FORM 3A (Part A)

Company Name & Code: Bharti Axa Life Insurance Co. Ltd.

Periodicity of Submission : Quarterly

Statement as on : September 30, 2013

PART - B

Unit Linked Pension

(Rs in Lakhs)

PARTICULARS									
	GROW MONEY PENSION FUND	SAVE N GROW MONEY PENSION FUND	STEADY MONEY PENSION FUND	GROWTH OPPORTUNITIES PENSION FUND	SAFE MONEY PENSION FUND	GROW MONEY PENSION PLUS	BUILD INDIA PENSION FUND	GROWTH OPPORTUNITIES PENSION PLUS	Total of All Funds
SFIN	ULIF00526/12/2007EGROWMONYP130	ULIF00426/12/2007BSNGROWPEN130	ULIF00626/12/2007DSTDYMONYP130	ULIF00814/12/2008EGRWTHOPRP130	ULIF01107/12/2009LSAFEMONYYP130	ULIF01501/01/2010EGRMONYPLP130	ULIF01704/01/2010EBUIDINDP130	ULIF01801/01/2010EGRWTHOPLP130	
Opening Balance (Market Value)	12,357	2,088	2,055	1,184	657	6,676	3,363	9,149	37,527
Add : Inflow during The Quarter	331	126	434	52	365	340	125	596	2,367
Increase/(Decrease) Value of Inv (Net)	(175)	(75)	(75)	(18)	16	(153)	(70)	(241)	(792)
Less: Outflow during the Quarter	1,567	289	331	126	131	814	409	1,166	4,832
TOTAL INVESTIBLE FUNDS (MKT VALUE)	10,944	1,850	2,083	1,092	907	6,049	3,008	8,337	34,270

INVESTMENT OF UNIT FUND	GROW MONEY PENSION FUND		SAVE N GROW MONEY PENSION FUND		STEADY MONEY PENSION FUND		GROWTH OPPORTUNITIES PENSION FUND		SAFE MONEY PENSION FUND		GROW MONEY PENSION PLUS		BUILD INDIA PENSION FUND		GROWTH OPPORTUNITIES PENSION PLUS		TOTAL FUND	
	ULIF00526/12/2007EGROWMONYP130		ULIF00426/12/2007BSNGROWPEN130		ULIF00626/12/2007DSTDYMONYP130		ULIF00814/12/2008EGRWTHOPRP130		ULIF01107/12/2009LSAFEMONYYP130		ULIF01501/01/2010EGRMONYPLP130		ULIF01704/01/2010EBUIDINDP130		ULIF01801/01/2010EGRWTHOPLP130			
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)																		
Central Govt Securities	-	-	249	13.5	858	41.2	-	-	-	-	-	-	-	-	-	-	1,107	3.2
State Government Securities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other Approved Securities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Corporate Bonds	-	-	158	8.6	251	12.0	-	-	-	-	-	-	-	-	-	-	409	1.2
Infrastructure Bonds	-	-	494	26.7	671	32.2	-	-	-	-	-	-	-	-	-	-	1,165	3.4
Equity	10,181	93.0	789	42.6	-	-	1,000	91.6	-	-	5,498	90.9	2,746	91.3	7,534	90.4	27,748	81.0
Money Market	-	-	38	2.0	93	4.5	-	-	352	38.8	-	-	-	-	-	-	482	1.4
Mutual Funds	-	-	64	3.5	94	4.5	-	-	40	4.5	50	0.8	-	-	-	-	248	0.7
Deposit with Banks	-	-	-	-	50	2.4	-	-	332	36.6	-	-	-	-	-	-	382	1.1
Sub Total (A)	10,181	93.0	1,793	96.9	2,016	96.8	1,000	91.6	724	79.8	5,548	91.7	2,746	91.3	7,534	90.4	31,542	92.0
Current Assets:																		
Accrued Interest	0	0.0	24	1.3	55	2.6	0	0.0	22	2.5	0	0.0	0	0.0	0	0.0	101	0.3
Dividend Recievable	5	0.0	0	0.0	-	-	1	0.1	-	-	3	0.1	2	0.1	5	0.1	16	0.0
Bank Balance	(50)	(0.5)	(13)	(0.7)	(1)	(0.0)	(7)	(0.6)	59	6.6	14	0.2	2	0.1	23	0.3	28	0.1
Receivable for Sale of Investments	79	0.7	-	-	465	22.3	1	0.1	-	-	9	0.1	8	0.3	16	0.2	577	1.7
Other Current Assets (for Investments)	(53)	(0.5)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	(53)	(0.2)
Unit Collection A/c	(58)	(0.5)	(13)	(0.7)	(1)	(0.0)	(13)	(1.2)	9	1.0	(5)	(0.1)	(3)	(0.1)	(4)	(0.1)	(87)	(0.3)
Appropriation/Expropriation	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Less: Current Liabilities																		
Payable for Investments	-	-	-	-	464	22.3	-	-	-	-	-	-	-	-	-	-	464	1.4
Fund Mgmt Charges Payable	2	0.0	0	0.0	0	0.0	0	0.0	0	0.0	1	0.0	0	0.0	1	0.0	4	0.0
Other Current Liabilities (for Investments)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sub Total (B)	(21)	(0.2)	11	0.6	55	2.6	(6)	(0.5)	82	9.0	26	0.4	12	0.4	42	0.5	201	0.6
Other Investments (<=25%)																		
Corporate Bonds	4	0.0	0	0.0	-	-	0	0.0	-	-	1	0.0	1	0.0	2	0.0	8	0.0
Infrastructure Bonds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Equity	781	7.1	47	2.5	-	-	97	8.9	-	-	474	7.8	249	8.3	758	9.1	2,405	7.0
Money Market	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Mutual Funds	-	-	0	0.0	12	0.6	-	-	101	11.2	-	-	-	-	-	-	113	0.3
Deposit with Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sub Total (C)	785	7.2	47	2.5	12	0.6	97	8.9	101	11.2	475	7.8	250	8.3	760	9.1	2,527	7.4
Total (A + B + C)	10,944	100.0	1,850	100.0	2,083	100.0	1,092	100.0	907	100.0	6,049	100.0	3,008	100.0	8,337	100.0	34,270	100.0

Date :

 Signature: _____
 Mr. Alok Roongta
 Chief of Finance

Note:

- The aggregate of all the above Segregated Unit-Fundsshould tally with item C of FORM 3A (Part A), for both Par & Non Par Business
- Details of item 12 of FORM LB 2 of IRDA (Actuarial Report) Regulation, 2000 shall be reconciled with FORM 3A (Part B)
- Other Investments' are as permitted under Sec 27A(2) and 27B(3)