

Form 'L-26 - INVESTMENT ASSETS (LIFE INSURERS) - 3A

Company Name & Code: Bharti Axa Life Insurance Co. Ltd. (0130)

PART - A

Statement as on : September 30, 2013

Statement of Investment Assets (Life Insurers) (Business within India)

Periodicity of Submission : Quarterly

Total Application as per Balance Sheet (A)		407,858	Reconciliation of Investment Assets	
Add (B)		-	Total Investment Assets (as per Balance Sheet)	211,033
Provisions	Sch-14	897	Balance Sheet Value of:	
Current Liabilities	Sch-13	15,536	A. Life Fund	39,274
		16,432	B. Pension & Gen Annuity Fund	5,253
Less (C)			C. Unit Linked Funds	166,505
Debit Balance in P&L A/c		198,201		211,033
Deferred tax asset		-		
Loans	Sch-09	-		
Adv & Other Assets	Sch-12	11,865		
Cash & Bank Balance	Sch-11	2,195		
Fixed Assets	Sch-10	997		
Misc Exp. Not Written Off/Dr balance	Sch-15	-		
		213,258		
Funds available for Investments		211,033		

NON - LINKED BUSINESS

(Rs in Lakhs)

A. LIFE FUND	% as per Reg	SH			PH		Book Value (SH+PH)	Actual %	FVC Amount	Total Fund	Market Value
		Balance	FRSM*	UL-Non Unit Res	PAR	NON PAR					
		(a)	(b)	(c)	(d)	(e)	F=[b+c+d+e]				
1 Central Govt. Sec	Not Less than 25%	-	7,447	1,973	7,905	917	18,242	45.7	-	18,242	17,255
Central Govt Sec, State Govt Sec or Other Approved Securities (incl (i) above)	Not Less than 50%	-	7,447	2,174	11,414	917	21,952	55.0	-	21,952	20,957
3 Investment subject to Exposure Norms									-		
a. Housing & Infrastructure									-		
1. Approved Investments	Not Less than 15%	-	2,925	561	3,007	430	6,923	17.3	(34)	6,889	6,752
2. Other Investments		-	-	-	-	-	-	-	-	-	-
b. i) Approved Investments	Not exceeding 35%	-	1,738	920	4,240	2,228	9,126	22.9	(391)	8,735	8,682
ii) "Other Investments" not to exceed 15%		-	746	134	604	445	1,929	4.8	(231)	1,698	1,702
TOTAL LIFE FUND	100%	-	12,856	3,790	19,265	4,019	39,930	100.0	(656)	39,274	38,093

Rs. Lakhs

B. PENSION AND GENERAL ANNUITY FUND*	% as per Reg	PH		Book Value	Actual %	FVC Amount	Total Fund	Market Value
		PAR	NON PAR					
1 Central Govt. Sec	Not Less than 20%	2,587	-	2,587	48.8	-	2,587	2,450
2 Central Govt Sec, State Govt Sec or Other Approved Securities (incl (i) above)	Not Less than 40%	2,890	-	2,890	54.5	-	2,890	2,750
3 Balance in Approved investment	Not Exceeding 60%	2,411	-	2,411	45.5	(48)	2,363	2,326
TOTAL PENSION, GENERAL ANNUITY FUND	100%	5,301	-	5,301	100.0	(48)	5,253	5,076

LINKED BUSINESS

Rs. Lakhs

C. LINKED FUNDS	% as per Reg	PH		Total	Fund	Actual %	Market Value
		PAR	NON PAR				
1 Approved Investment	Not Less than 75%	-	155,604	155,604	93.5	155,604	
2 Other Investments	Not More than 25%	-	10,901	10,901	6.5	10,901	
TOTAL LINKED INSURANCE FUND	100%	-	166,505	166,505	100.0	166,505	

CERTIFICATION:

Certified that the information given here in are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date:

Signature:

Mr. Alok Roongta

Chief of Finance

Note: (+) FRSM refers to 'Funds representing Solvency Margin'

Funds beyond Solvency Margin shall have a separate Custody Account.

Other Investments are as permitted under Section 27A(2) of Insurance Act, 1938

Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.

Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate Custody Account

*Group Term Life has been classified under PGA from 1st April 2013