

FORM - L-34

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: June 30, 2013

Name of the Fund Life Fund

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

(Rs in Lakhs)

No.	Category of Investment	Category Code	Current Quarter				Year to Date				Previous Year			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	1,009	18	7.3	7.3	1,009	18	7.3	7.3	1,010	18	18.5	18.5
2	Central Government Bonds	CGSB	15,577	313	8.3	8.3	15,577	313	8.3	8.3	11,803	231	230.9	230.9
3	Treasury Bills	CTRB	400	7	6.9	6.9	400	7	6.9	6.9	2,037	54	53.7	53.7
4	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	-	-	-	-	-	-	-	-	-	-	-
5	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	1,948	47	9.6	9.6	1,948	47	9.6	9.6	1,505	34	34.1	34.1
6	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	-	-	-	-	-	-	-	-	-	-	-	-
7	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	-	-	-	-	-	-	-	-	-
8	Infrastructure - PSU - Debentures / Bonds	IPFD	-	-	-	-	-	-	-	-	-	-	-	-
9	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	500	13	10.7	10.7	500	13	10.7	10.7	50	1	1.3	1.3
10	Infrastructure - PSU - Debentures / Bonds	IPTD	3,491	77	9.4	9.4	3,491	77	9.4	9.4	3,724	84	83.5	83.5
11	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	2	-	-	-	2	-	-	-	11	-	0.1	0.1
12	Infrastructure - PSU - Equity shares - Quoted	ITPE	81	5	19.0	19.0	81	5	19.0	19.0	30	1	0.6	0.6
13	Infrastructure - PSU - CPs	IPCP	-	-	-	-	-	-	-	-	-	-	-	-
14	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	1,006	82	26.9	26.9	1,006	82	26.9	26.9	254	20	19.5	19.5
15	PSU - Equity shares - Quoted	EAEQ	271	8	10.1	10.1	271	8	10.1	10.1	51	1	0.8	0.8
16	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	1,593	1	1.1	1.1
17	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	3,706	103	11.0	11.0	3,706	103	11.0	11.0	3,288	85	84.8	84.8
18	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-
19	Corporate Securities - Debentures	ECOS	1,578	26	11.4	11.4	1,578	26	11.4	11.4	-	-	-	-
20	Deposits - CDs with Scheduled Banks	EDCD	-	0	16.0	16.0	-	0	16.0	16.0	959	51	51.2	51.2
21	Corporate Securities - Bonds - (Taxable)	EPBT	942	21	9.1	9.1	942	21	9.1	9.1	1,011	22	22.4	22.4
22	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	-	16	8.5	8.5	-	16	8.5	8.5	880	23	23.2	23.2
23	Mutual Funds - (under Insurer's Promoter Group)	EMPG	745	4	8.3	8.3	745	4	8.3	8.3	-	-	-	-
24	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	76	-	-	-
25	Equity Shares (incl Co-op Societies)	OESH	955	1	0.5	0.5	955	1	0.5	0.5	203	44	43.9	43.9
26	Debentures	OLDB	340	10	11.7	11.7	340	10	11.7	11.7	340	10	9.8	9.8
27	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
28	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	-	2	9.1	9.1	-	2	9.1	9.1	-	6	6.4	6.4
29	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
	TOTAL		32,551	754			32,551	754			28,825	686		

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date:

Signature _____

Mr. Sandeep Nanda

Chief Investment Officer

Note: Category of Investment (COI) shall be as per Guidelines

1 To be calculated based on Monthly or lesser frequency 'Weighted Agerage' of Investments

2 Yield netted for Tax

3 FORM-1 shall be prepared in respect of each fund.

4 Group Term Life has been classified under PGA from 1st April 2013

FORM - L-34

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: June 30, 2013

Name of the Fund Pension / General Annuity Fund

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

(Rs in Lakhs)

No.	Category of Investment	Category Code	Current Quarter				Year to Date				Previous Year			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	-	-	-	-	-	-	-	-	-	-
2	Central Government Bonds	CGSB	2,299	46	8.1	8.1	2,299	46	8.1	8.1	49	1	8.7	8.7
3	Treasury Bills	CTRB	-	-	-	-	-	-	-	-	-	-	-	-
4	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	-	-	-	-	-	-	-	-	-	-	-
5	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	382	9	9.3	9.3	382	9	9.3	9.3	-	-	-	-
6	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	-	-	-	-
7	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	50	1	10.7	10.7	50	1	10.7	10.7	-	-	-	-
8	Infrastructure - PSU - Debentures / Bonds	IPTD	415	8	9.1	9.1	415	8	9.1	9.1	1	0	10.4	10.4
9	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	1	-	-	-	1	-	-	-	-	-	-	-
10	PSU - Equity shares - Quoted	EAEQ	11	-	-	-	11	-	-	-	-	-	-	-
11	Commercial Papers	ECCP	26	4	30.3	30.3	26	4	30.3	30.3	-	-	-	-
12	Deposits - Deposit with Scheduled Banks, Fls (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	20	1	11.5	11.5	20	1	11.5	11.5	-	-	-	-
13	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-
14	Deposits - CDs with Scheduled Banks	EDCD	527	16	10.8	10.8	527	16	10.8	10.8	26	1	10.2	10.2
15	Corporate Securities - Bonds - (Taxable)	EPBT	-	-	-	-	-	-	-	-	-	-	-	-
16	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	371	7	9.6	9.6	371	7	9.6	9.6	-	-	-	-
17	Equity Shares (incl Co-op Societies)	OESH	-	-	-	-	-	-	-	-	-	-	-	-
18	Short term Loans (Unsecured Deposits)	OSLU	252	6	9.0	9.0	252	6	9.0	9.0	-	-	-	-
19	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	-	1	8.6	8.6	-	1	8.6	8.6	-	-	-	-
20	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	0	8.2	8.2	-	0	8.2	8.2	-	-	-	-
TOTAL			4,354	98			4,354	98			76	2		

CERTIFICATION

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Date:

Signature _____

Mr. Sandeep Nanda

Chief Investment Officer

Note: Category of Investment (COI) shall be as per Guidelines

¹ To be calculated based on Monthly or lesser frequency 'Weighted Agerage' of Investments² Yield netted for Tax³ FORM-1 shall be prepared in respect of each fund.⁴ Group Term Life has been classified under PGA from 1st April 2013

FORM - L-34

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: June 30, 2013

Name of the Unit Linked Fund

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

(Rs in Lakhs)

No.	Category of Investment	Category Code	Current Quarter				Year to Date				Previous Year			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
A	GOVERNMENT SECURITIES													
	Central Government Bonds	CGSB	15,812	512	14.0	14.0	15,812	512	14.0	14.0	5,810	177	13.8	13.8
	Treasury Bills	CTRB	794	14	7.6	7.6	794	14	7.6	7.6	896	18	8.3	8.3
B	GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES													
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	1,411	77	21.9	21.9	1,411	77	21.9	21.9	1,343	48	14.4	14.4
C	HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT													
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	-	-	-	-	-	-	-	-	-
	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	1,574	46	11.7	11.7	1,574	46	11.7	11.7	1,449	37	10.5	10.5
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	499	12	9.4	9.4	499	12	9.4	9.4	492	13	10.3	10.3
D	INFRASTRUCTURE INVESTMENTS													
	Infrastructure - PSU - Debentures / Bonds	IPTD	4,814	159	12.6	12.6	4,814	159	12.6	12.6	1,404	39	11.0	11.0
	Infrastructure/ Social Sector - Other Corporate Securities (approved investments) - Debentures/ Bonds	ICTD	495	22	17.6	17.6	495	22	17.6	17.6	473	14	12.2	12.2
	Infrastructure - Equity (including unlisted)	IOEQ	1,092	174	75.1	75.1	1,092	174	75.1	75.1	-	-	-	-
	Infrastructure - Equity (Promoter Group)	IOPE	2,350	34	5.1	5.1	2,350	34	5.1	5.1	-	-	-	-
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	5	1	45.1	45.1	5	1	45.1	45.1	4,482	13	7.9	7.9
	Infrastructure - PSU - Equity shares - Quoted	ITPE	3,983	333	30.3	30.3	3,983	333	30.3	30.3	4,457	18	2.8	2.8
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS													
	PSU - Equity shares - Quoted	EAEQ	9,166	74	3.0	3.0	9,166	74	3.0	3.0	10,310	124	5.0	5.0
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	113,353	6,127	21.0	21.0	113,353	6,127	21.0	21.0	99,513	496	0.7	0.7
	Corporate Securities - Bonds - (Taxable)	EPBT	1,390	41	11.8	11.8	1,390	41	11.8	11.8	1,270	36	10.7	10.7
	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	1,602	42	10.0	10.0	1,602	42	10.0	10.0	2,597	64	10.5	10.5
	Deposits - CDs with Scheduled Banks	EDCD	492	22	9.6	9.6	492	22	9.6	9.6	7,013	154	10.2	10.2
	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Debentures	ECOS	693	32	18.5	18.5	693	32	18.5	18.5	277	7	10.6	10.6
	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	3,747	43	8.8	8.8	3,747	43	8.8	8.8	5,004	82	9.7	9.7
	CCIL - CBLO	ECBO	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	0	0	38.9	38.9	0	0	38.9	38.9	0	-	-	-
	Net Current Assets (Only in respect of ULIP Business)	ENCA	3,043	-	-	-	3,043	-	-	-	3,227	-	-	-
F	OTHER INVESTMENTS													
	Equity Shares (incl Co-op Societies)	OESH	8,863	253	10.4	10.4	8,863	253	10.4	10.4	7,692	634	48.5	48.5
	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	3,344	451	49.4	49.4
	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	1,458	12	8.7	8.7	1,458	12	8.7	8.7	779	23	9.8	9.8
	Mutual Funds - (under Insurer's Promoter Group)	OMPG	0	-	-	-	0	-	-	-	0	-	-	-
	Preference Shares	OPSH	44	44	692.9	692.9	44	44	692.9	692.9	-	-	-	-
	TOTAL		176,678	6,752			176,678	6,752			161,832	988		

CERTIFICATION

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Date:

Note : Category of information (COI) shall be as per Guidelines

1 To be calculated based on Monthly or lesser frequency Weighted Average of Investment

2 Yield netted for Tax

3 FORM-1 shall be prepared in respect of each fund

Signature: _____

Mr. Sandeep Nanda

Chief Investment Officer