

FORM - L-34

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: December 31, 2012

Name of the Fund Life Fund

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Rs. Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date				Previous Year			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	1,010	18	7.2	7.2	1,010	56	7.3	7.3	1,021	75	7.3	7.3
2	Central Government Bonds	CGSB	14,345	296	8.9	8.9	14,345	769	8.2	8.2	11,800	602	7.8	7.8
3	Treasury Bills	CTRB	589	15	8.1	8.1	589	104	8.3	8.3	2,138	190	7.9	7.9
4	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	-	-	-	-	-	-	-	-	67	6.8	6.8
5	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	1,329	32	9.6	9.6	1,329	104	9.5	9.5	1,202	74	8.1	8.1
6	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	-	-	-	-	-	-	-	-	-	24	7.5	7.5
7	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	-	-	-	-	-	-	-	-	-
8	Infrastructure - PSU - Debentures / Bonds	IPFD	-	-	-	-	-	-	-	-	-	-	-	-
9	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	550	15	10.7	10.7	550	23	10.8	10.8	50	3	10.5	10.5
10	Infrastructure - PSU - Debentures / Bonds	IPTD	3,626	83	9.2	9.2	3,626	249	9.1	9.1	3,726	236	8.8	8.8
11	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	4	0	0.2	0.2	4	0	1.4	1.4	11	0	10.8	10.8
12	Infrastructure - PSU - Equity shares - Quoted	ITPE	85	1	4.7	4.7	85	3	7.5	7.5	22	2	22.1	22.1
13	Infrastructure - PSU - CPs	IPCP	-	-	-	-	-	-	-	-	-	4	7.9	7.9
14	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	1,921	85	32.9	32.9	1,921	151	29.4	29.4	257	167	29.9	29.9
15	PSU - Equity shares - Quoted	EAEQ	353	1	5.5	5.5	353	3	5.9	5.9	41	19	17.1	17.1
16	Commercial Papers	ECCP	-	-	-	-	-	7	9.4	9.4	-	12	9.2	9.2
17	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCL, RBI	ECDB	4,104	106	10.6	10.6	4,104	287	10.4	10.4	3,307	207	10.0	10.0
18	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-
19	Corporate Securities - Debentures	ECOS	341	8	9.4	9.4	341	10	9.5	9.5	-	-	-	-
20	Deposits - CDs with Scheduled Banks	EDCD	518	13	9.8	9.8	518	89	10.3	10.3	3,102	190	9.5	9.5
21	Corporate Securities - Bonds - (Taxable)	EPBT	1,006	23	8.9	8.9	1,006	68	8.9	8.9	1,013	92	9.0	9.0
22	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	20	16	8.4	8.4	20	58	9.2	9.2	292	42	9.0	9.0
23	Mutual Funds - (under Insurer's Promoter Group)	EMPG	-	1	8.6	8.6	-	1	8.6	8.6	-	2	11.0	11.0
24	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	66	1	3.1	3.1	66	1	1.6	1.6	48	2	4.4	4.4
25	Equity Shares (incl Co-op Societies)	OESH	284	14	30.3	30.3	284	78	72.7	72.7	975	24	12.9	12.9
26	Debentures	OLDB	340	10	11.7	11.7	340	30	11.7	11.7	340	25	12.0	12.0
27	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
28	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	-	2	8.5	8.5	-	12	9.9	9.9	550	14	9.0	9.0
29	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL			30,490	739			30,490	2,102			29,895	2,071		

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 10-Feb-13

Signature

Mr. Sandeep Nanda
Chief Investment Officer

Note: Category of Investment (COI) shall be as per Guidelines

¹ To be calculated based on Monthly or lesser frequency 'Weighted Agerage' of Investments² Yield netted for Tax³ FORM-1 shall be prepared in respect of each fund.

FORM - L-34

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: December 31, 2012

Name of the Fund Pension / General Annuity Fund

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Rs. Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date				Previous Year			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	-	-	-	-	-	-	-	-	-	-
2	Central Government Bonds	CGSB	80	1	8.4	8.4	80	3	8.5	8.5	49	1	8.3	8.3
3	Treasury Bills	CTRB	-	-	-	-	-	-	-	-	-	-	-	-
4	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	-	-	-	-	-	-	-	-	-	-	-
5	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	-	-	-	-
6	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	-	-	-	-
7	Infrastructure - Other Corporate Securities - Debentures / Bonds	ICTD	-	-	-	-	-	-	-	-	-	-	-	-
8	Infrastructure - PSU - Debentures / Bonds	IPTD	1	0	10.4	10.4	1	0	10.4	10.4	1	0	10.6	10.6
9	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	-	-	-	-	-	-	-	-	-	-	-	-
10	PSU - Equity shares - Quoted	EAEQ	-	-	-	-	-	-	-	-	-	-	-	-
11	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	-	-	-	-
12	Deposits - Deposit with Scheduled Banks, Fls (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	28	1	10.4	10.4	28	2	10.3	10.3	8	1	10.0	10.0
13	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-
14	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	-	-	-	-	-	-	-	-
15	Corporate Securities - Bonds - (Taxable)	EPBT	-	-	-	-	-	-	-	-	-	-	-	-
16	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
17	Equity Shares (incl Co-op Societies)	OESH	-	-	-	-	-	-	-	-	-	-	-	-
18	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
19	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	-	-	-	-	-	-	-	-	-	-	-	-
20	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
	TOTAL		109	2.0			109	5.4			58	1.7		

CERTIFICATION

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Date: 10-Feb-13

Signature

Mr. Sandeep Nanda

Chief Investment Officer

Note: Category of Investment (COI) shall be as per Guidelines

1 To be calculated based on Monthly or lesser frequency 'Weighted Agerage' of Investments

2 Yield netted for Tax

3 FORM-1 shall be prepared in respect of each fund.

FORM - L-34

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: December 31, 2012

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Name of the Unit Linked Fund

Rs. Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date				Previous Year			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
A	GOVERNMENT SECURITIES													
	Central Government Bonds	CGSB	10,362	257	11.7	11.7	10,362	587	11.0	11.0	4,657	198	5.6	5.6
	Treasury Bills	CTRB	634	14	8.0	8.0	634	50	8.1	8.1	1,042	56	7.8	7.8
B	GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES													
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	1,371	52	15.3	15.3	1,371	139	13.7	13.7	1,322	60	5.1	5.1
C	HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT													
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	-	-	-	-	-	-	-	-	-
	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	1,561	37	9.0	9.0	1,561	140	12.1	12.1	1,243	104	9.3	9.3
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	498	11	8.8	8.8	498	39	10.4	10.4	490	44	9.0	9.0
D	INFRASTRUCTURE INVESTMENTS													
	Infrastructure - PSU - Debentures / Bonds	IPTD	3,443	73	9.2	9.2	3,443	205	11.7	11.7	1,397	122	9.7	9.7
	Infrastructure/ Social Sector - Other Corporate Securities (approved investments) - Debentures/ Bonds	ICTD	484	12	9.5	9.5	484	48	13.5	13.5	470	49	17.0	17.0
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	11	22	34.4	34.4	11	35	14.1	14.1	5,129	114	8.5	8.5
	Infrastructure - PSU - Equity shares - Quoted	ITPE	4,805	223	19.1	19.1	4,805	630	20.1	20.1	4,104	450	58.0	58.0
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS													
	PSU - Equity shares - Quoted	EAEQ	10,252	345	14.5	14.5	10,252	243	3.5	3.5	10,336	2,698	23.7	23.7
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	130,011	8,232	25.8	25.8	130,011	19,645	23.3	23.3	101,711	4,648	5.2	5.2
	Corporate Securities - Bonds - (Taxable)	EPBT	1,278	29	8.9	8.9	1,278	109	11.1	11.1	1,368	86	10.6	10.6
	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	2,413	62	10.5	10.5	2,413	187	10.5	10.5	2,257	115	10.1	10.1
	Deposits - CDs with Scheduled Banks	EDCD	2,326	91	9.9	9.9	2,326	387	10.0	10.0	4,545	697	9.4	9.4
	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Debentures	ECOS	558	16	11.3	11.3	558	35	12.6	12.6	271	23	9.0	9.0
	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	-	10	8.4	8.4
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	555	13	8.7	8.7	555	195	9.4	9.4	3,569	189	9.1	9.1
	CCIL - CBLO	ECBO	-	-	-	-	-	-	-	-	-	0	8.8	8.8
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	0	0	9.0	9.0	0	0	9.0	9.0	0	2	11.0	11.0
	Net Current Assets (Only in respect of ULIP Business)	ENCA	1,014	-	-	-	1,014	-	-	-	2,950	-	-	-
F	OTHER INVESTMENTS													
	Equity Shares (incl Co-op Societies)	OESH	11,490	369	13.9	13.9	11,490	1,185	16.2	16.2	8,325	496	5.4	5.4
	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	3,016	442	66.3	66.3	3,016	452	19.8	19.8	4,443	665	16.8	16.8
	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	467	8	8.6	8.6	467	51	9.4	9.4	681	43	9.3	9.3
	Mutual Funds - (under Insurer's Promoter Group)	OMPG	0	-	-	-	0	-	-	-	0	-	-	-
	TOTAL		186,548	10,262			186,548	23,387			160,310	6,147		

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 10-Feb-13

Signature:

Mr. Sandeep Nanda
Chief Investment Officer

Note : Category of information (COI) shall be as per Guidelines

1 To be calculated based on Monthly or lesser frequency Weighted Average of Investment

2 Yield netted for Tax

3 FORM-1 shall be prepared in respect of each fund