

**Form L-35 - Downgrading of Investments**

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: September 30, 2012

Name of Fund : Life Fund

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

*Rs. Lakhs*

| No | Name of the Security                  | COI | Amount | Date of Purchase | Rating Agency | Original Grade | Current Grade | Date of Downgrade | Remarks |
|----|---------------------------------------|-----|--------|------------------|---------------|----------------|---------------|-------------------|---------|
| A. | <u>During the Quarter<sup>1</sup></u> |     |        |                  |               |                |               |                   |         |
|    |                                       |     |        |                  |               |                |               |                   |         |
|    | NIL                                   |     |        |                  |               |                |               |                   |         |
|    |                                       |     |        |                  |               |                |               |                   |         |
|    |                                       |     |        |                  |               |                |               |                   |         |
| B. | <u>As on Date <sup>2</sup></u>        |     |        |                  |               |                |               |                   |         |
|    |                                       |     |        |                  |               |                |               |                   |         |
|    | NIL                                   |     |        |                  |               |                |               |                   |         |
|    |                                       |     |        |                  |               |                |               |                   |         |
|    |                                       |     |        |                  |               |                |               |                   |         |

**CERTIFICATION**

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Signature \_\_\_\_\_

Date:

Mr. Sandeep Nanda

Chief Investment Officer

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund.
- 4 Category of Investment (COI) shall be as per INV/GLN/001/2007-08

**Form L-35 - Downgrading of Investments**

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: September 30, 2012

Name of Fund : Pension / General Annuity Fund

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

*Rs. Lakhs*

| No | Name of the Security                   | COI | Amount | Date of Purchase | Rating Agency | Original Grade | Current Grade | Date of Downgrade | Remarks |
|----|----------------------------------------|-----|--------|------------------|---------------|----------------|---------------|-------------------|---------|
| A. | <u>During the Quarter <sup>1</sup></u> |     |        |                  |               |                |               |                   |         |
|    |                                        |     |        |                  |               |                |               |                   |         |
|    | NIL                                    |     |        |                  |               |                |               |                   |         |
|    |                                        |     |        |                  |               |                |               |                   |         |
|    |                                        |     |        |                  |               |                |               |                   |         |
| B. | <u>As on Date <sup>2</sup></u>         |     |        |                  |               |                |               |                   |         |
|    |                                        |     |        |                  |               |                |               |                   |         |
|    | NIL                                    |     |        |                  |               |                |               |                   |         |
|    |                                        |     |        |                  |               |                |               |                   |         |
|    |                                        |     |        |                  |               |                |               |                   |         |

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Mr. Sandeep Nanda

Chief Investment Officer

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**Form L-35 - Downgrading of Investments**

Company Name &amp; Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: September 30, 2012

Name of Fund : Unit Linked

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

*Rs. Lakhs*

| No | Name of the Security                   | COI | Amount | Date of Purchase | Rating Agency | Original Grade | Current Grade | Date of Downgrade | Remarks |
|----|----------------------------------------|-----|--------|------------------|---------------|----------------|---------------|-------------------|---------|
| A. | <u>During the Quarter <sup>1</sup></u> |     |        |                  |               |                |               |                   |         |
|    |                                        |     |        |                  |               |                |               |                   |         |
|    | NIL                                    |     |        |                  |               |                |               |                   |         |
|    |                                        |     |        |                  |               |                |               |                   |         |
|    |                                        |     |        |                  |               |                |               |                   |         |
| B. | <u>As on Date <sup>2</sup></u>         |     |        |                  |               |                |               |                   |         |
|    |                                        |     |        |                  |               |                |               |                   |         |
|    | NIL                                    |     |        |                  |               |                |               |                   |         |
|    |                                        |     |        |                  |               |                |               |                   |         |
|    |                                        |     |        |                  |               |                |               |                   |         |

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Chief Investment Officer

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