

FORM - 1

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: Sep 30, 2012

Name of the Fund Life Fund

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Rs. Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date				Previous Year			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	1,010	19	7.3	7.3	1,010	37	7.3	7.3	1,021	75	7.3	7.3
2	Central Government Bonds	CGSB	12,354	242	7.9	7.9	12,354	473	7.9	7.9	11,800	602	7.8	7.8
3	Treasury Bills	CTRB	1,838	35	8.2	8.2	1,838	89	8.3	8.3	2,138	190	7.9	7.9
4	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	-	-	-	-	-	-	-	-	67	6.8	6.8
5	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	1,606	38	9.4	9.4	1,606	72	9.4	9.4	1,202	74	8.1	8.1
6	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	-	-	-	-	-	-	-	-	-	24	7.5	7.5
7	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	-	-	-	-	-	-	-	-	-
8	Infrastructure - PSU - Debentures / Bonds	IPFD	-	-	-	-	-	-	-	-	-	-	-	-
9	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	550	7	11.2	11.2	550	8	11.1	11.1	50	3	10.5	10.5
10	Infrastructure - PSU - Debentures / Bonds	IPDT	3,422	82	9.1	9.1	3,422	166	9.0	9.0	3,726	236	8.8	8.8
11	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	4	0	3.8	3.8	4	0.047	1.9	1.9	11	0	10.8	10.8
12	Infrastructure - PSU - Equity shares - Quoted	ITPE	54	1	14.7	14.7	54	2	9.4	9.4	22	2	22.1	22.1
13	Infrastructure - PSU - CPs	IPCP	-	-	-	-	-	-	-	-	-	4	8	8
14	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	601	46	34.1	34.1	601	65	25.9	25.9	257	167	29.9	29.9
15	PSU - Equity shares - Quoted	EAEQ	69	1	5.8	5.8	69	2	6.1	6.1	41	19	17.1	17.1
16	Commercial Papers	ECCP	-	6	9.4	9.4	-	7	9.4	9.4	-	12	9.2	9.2
17	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	3,783	97	10.4	10.4	3,783	182	10.4	10.4	3,307	207	10.0	10.0
18	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-
19	Corporate Securities - Debentures	ECOS	99	2	9.5	9.5	99	2	9.5	9.5	-	-	-	-
20	Deposits - CDs with Scheduled Banks	EDCD	505	25	10.6	10.6	505	76	10.3	10.3	3,102	190	9.5	9.5
21	Corporate Securities - Bonds - (Taxable)	EPBT	1,009	23	9.0	9.0	1,009	45	8.9	8.9	1,013	92	9.0	9.0
22	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	-	19	9.2	9.2	-	42	9.6	9.6	292	42	9.0	9.0
23	Mutual Funds - (under Insurer's Promoter Group)	EMPG	-	-	-	-	-	-	-	-	-	2	11.0	11.0
24	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	188	0	0.9	0.9	188	0	0.5	0.5	48	2	4.4	4.4
25	Equity Shares (incl Co-op Societies)	OESH	331	20	74.3	74.3	331	64	103.4	103.4	975	24	12.9	12.9
26	Debentures	OLDB	340	10	11.8	11.8	340	20	11.7	11.7	340	25	12.0	12.0
27	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
28	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	-	4	9.1	9.1	-	11	10.2	10.2	550	14	9	9
29	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
	TOTAL		27,763	677			27,763	1,363			29,895	2,071		

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 10-Nov-12

Signature _____

Mr. Sandeep Nanda

Chief Investment Officer

Note: Category of Investment (COI) shall be as per Guidelines

¹ To be calculated based on Monthly or lesser frequency 'Weighted Agerage' of Investments² Yield netted for Tax³ FORM-1 shall be prepared in respect of each fund.

FORM - 1

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: Sep 30, 2012

Name of the Fund Pension / General Annuity Fund

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Rs. Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date				Previous Year			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	-	-	-	-	-	-	-	-	-	-
2	Central Government Bonds	CGSB	54	1.1	8.5	8.5	54	2.1	8.6	8.6	49	1	8.3	8.3
3	Treasury Bills	CTRB	-	-	-	-	-	-	-	-	-	-	-	-
4	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	-	-	-	-	-	-	-	-	-	-	-
5	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	-	-	-	-
6	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	-	-	-	-
7	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	-	-	-	-	-	-	-	-	-	-	-	-
8	Infrastructure - PSU - Debentures / Bonds	IPTD	1	0.0	10.4	10.4	1	0.1	10.4	10.4	1	0	11	11
9	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	-	-	-	-	-	-	-	-	-	-	-	-
10	PSU - Equity shares - Quoted	EAEQ	-	-	-	-	-	-	-	-	-	-	-	-
11	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	-	-	-	-
12	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	28	0.7	10.4	10.4	28	1.3	10.3	10.3	8	1	10	10
13	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-
14	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	-	-	-	-	-	-	-	-
15	Corporate Securities - Bonds - (Taxable)	EPBT	-	-	-	-	-	-	-	-	-	-	-	-
16	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
17	Equity Shares (incl Co-op Societies)	OESH	-	-	-	-	-	-	-	-	-	-	-	-
18	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
19	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	-	-	-	-	-	-	-	-	-	-	-	-
20	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
	TOTAL		83	1.8			83	3.4			58	1.7		

CERTIFICATION

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Date: 10-Nov-12

Signature _____

Mr. Sandeep Nanda

Chief Investment Officer

Note: Category of Investment (COI) shall be as per Guidelines

¹ To be calculated based on Monthly or lesser frequency 'Weighted Agerage' of Investments² Yield netted for Tax³ FORM-1 shall be prepared in respect of each fund.

FORM - 1

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: Sep 30, 2012

Statement of Investment and Income on Investment

Name of the Fund Unit Linked Fund

Periodicity of Submission: Quarterly

Rs. Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date				Previous Year			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
A	GOVERNMENT SECURITIES													
	Central Government Bonds	CGSB	7,790	154	8.1	8.1	7,790	331	10.4	10.4	4,657	198	5.6	5.6
	Treasury Bills	CTRB	894	18	8.1	8.1	894	36	8.2	8.2	1,042	56	7.8	7.8
B	GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES													
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	1,346	39	11.4	11.4	1,346	87	12.9	12.9	1,322	60	5.1	5.1
C	HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT													
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	-	-	-	-	-	-	-	-	-
	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	1,980	67	16.6	16.6	1,980	103	13.7	13.7	1,243	104	9.3	9.3
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	497	15	12.0	12.0	497	28	11.2	11.2	490	44	9.0	9.0
D	INFRASTRUCTURE INVESTMENTS													
	Infrastructure - PSU - Debentures / Bonds	IPTD	2,941	94	15.3	15.3	2,941	132	13.8	13.8	1,397	122	9.7	9.7
	Infrastructure/ Social Sector - Other Corporate Securities (approved investments) - Debentures/ Bonds	ICTD	484	22	18.6	18.6	484	37	15.5	15.5	470	49	17.0	17.0
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	447	0	0.2	0.2	447	13	7.0	7.0	5,129	114	8.5	8.5
	Infrastructure - PSU - Equity shares - Quoted	ITPE	4,596	389	36.3	36.3	4,596	407	20.5	20.5	4,104	450	58.0	58.0
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS													
	PSU - Equity shares - Quoted	EAEQ	9,873	21	1.0	1.0	9,873	102	2.2	2.2	10,336	2,698	23.7	23.7
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	121,817	11,909	43.4	43.4	121,817	11,413	21.6	21.6	101,711	4,648	5.2	5.2
	Corporate Securities - Bonds - (Taxable)	EPBT	1,282	44	13.7	13.7	1,282	80	12.2	12.2	1,368	86	10.6	10.6
	Deposits - Deposit with Scheduled Banks, Fls (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	2,303	61	10.5	10.5	2,303	125	10.5	10.5	2,257	115	10.1	10.1
	Deposits - CDs with Scheduled Banks	EDCD	5,187	142	9.7	9.7	5,187	296	9.9	9.9	4,545	697	9.4	9.4
	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Debentures	ECOS	287	12	17.0	17.0	287	19	13.8	13.8	271	23	9.0	9.0
	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	-	10	8.4	8.4
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	883	100	9.3	9.3	883	182	9.5	9.5	3,569	189	9.1	9.1
	CCIL - CBLO	ECBO	-	-	-	-	-	-	-	-	-	0	8.8	-
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	0	-	-	-	0	-	-	-	0	2	11.0	11.0
	Net Current Assets (Only in respect of ULIP Business)	ENCA	2,322	-	-	-	2,322	-	-	-	2,950	-	-	-
F	OTHER INVESTMENTS													
	Equity Shares (incl Co-op Societies)	OESH	10,852	1,450	57.3	57.3	10,852	816	17.5	17.5	8,325	496	5.4	5.4
	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	2,352	444	62.2	62.2	2,352	894	55.0	55.0	4,443	665	16.8	16.8
	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	400	20	9.1	9.1	400	43	9.5	9.5	681	43	9.3	9.3
	Mutual Funds - (under Insurer's Promoter Group)	OMPG	0	-	-	-	0	-	-	-	0	-	-	-
	TOTAL		178,532	14,113			178,532	13,125			160,310	6,147		

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 10-Nov-12

Note : Category of information (COI) shall be as per Guidelines

1 To be calculated based on Monthly or lesser frequency Weighted Agerage of Investment

2 Yield netted for Tax

3 FORM-1 shall be prepared in respect of each fund

Signature: _____

Mr. Sandeep Nanda

Chief Investment Officer