

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: 30 September 2010

Name of the Fund Life Fund

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

(rs. In Lacs)

No.	Category of Investment	Category Code	Current Quarter				Year to Date				Previous Year			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	1,022	19	1.8	1.8	1,022	37	3.7	3.7	1,022	71	7.7	7.7
2	Central Government Bonds	CGSB	4,937	93	1.8	1.8	4,937	176	3.7	3.7	4,252	199	7.9	7.9
3	Treasury Bills	CTRB	6,444	53	1.1	1.1	6,444	87	2.7	2.7	1,498	66	3.0	3.0
4	Other Approved Securities (excluding Infrastructure Investments)	SGOA	1,009	17	1.7	1.7	1,009	34	3.4	3.4	1,011	130	8.4	8.4
5	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	1,242	23	1.8	1.8	1,242	35	3.4	3.4	484	52	7.2	7.2
6	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	495	9	1.9	1.9	495	18	3.7	3.7	493	10	3.2	3.2
7	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	-	-	4	-	-	-	-	-	-
8	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	494	2	0.5	0.5	494	7	2.1	2.1	-	4	1.0	1.0
9	Infrastructure - PSU - Debentures / Bonds	IPTD	2,343	46	2.6	2.6	2,343	83	4.4	4.4	1,928	159	10.5	10.5
10	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	1,050	25	3.2	3.2	1,050	30	4.1	4.1	707	21	4.5	4.5
11	PSU - Equity shares - Quoted	EAEQ	257	4	3.3	3.3	257	5	4.7	4.7	103	32	29.1	29.1
12	Commercial Papers	ECCP	1,495	14	1.4	1.4	1,495	19	2.8	2.8	999	2	0.6	0.6
13	Deposits - Deposit with Scheduled Banks, Fls (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	411	9	1.9	1.9	411	19	3.3	3.3	446	11	2.7	2.7
14	Deposits - Repo / Reverse Repo	ECMR	-	1	-	-	-	1	-	-	-	2	0.5	0.5
15	Deposits - CDs with Scheduled Banks	EDCD	1,492	15	1.5	1.5	1,492	20	2.5	2.5	-	12	1.9	1.9
16	Corporate Securities - Bonds - (Taxable)	EPBT	1,029	21	2.3	2.3	1,029	33	5.1	5.1	532	182	10.2	10.2
17	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	6	1	6.3	6.3	6	1	6.2	6.2	4	3	10.5	10.5
18	Equity Shares (incl Co-op Societies)	OESH	32	3	10.8	10.8	32	2	9.4	9.4	24	9	12.2	12.2
19	Short term Loans (Unsecured Deposits)	OSLU	300	6	2.0	2.0	300	12	4.1	4.1	150	1	0.7	0.7
20	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	1,353	13	1.8	1.8	1,353	18	2.3	2.3	87	17	6.3	6.3
21	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
	TOTAL		25,411	372			25,411	641			13,743	959		

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date:

Signature _____

Mr. Sandeep Nanda

Chief Investment Officer

Note: Category of Investment (COI) shall be as per Guidelines¹ To be calculated based on Monthly or lesser frequency 'Weighted Agerage' of Investments² Yield netted for Tax³ FORM-1 shall be prepared in respect of each fund.

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: 30 September 2010

Name of the Fund Pension / General Annuity Fund

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Rs. Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date				Previous Year			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	-	-	-	-	-	-	-	-	-	-
2	Central Government Bonds	CGSB	-	-	-	-	-	-	-	-	-	-	-	-
3	Treasury Bills	CTRB	-	-	-	-	-	-	-	-	-	-	-	-
4	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	-	-	-	-	-	-	-	-	-	-	-
5	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	-	-	-	-
6	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA												
7	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	-	-	-	-	-	-	-	-	-	-	-	-
8	Infrastructure - PSU - Debentures / Bonds	IPTD	-	-	-	-	-	-	-	-	-	-	-	-
9	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	-	-	-	-	-	-	-	-	-	-	-	-
10	PSU - Equity shares - Quoted	EAEQ	-	-	-	-	-	-	-	-	-	-	-	-
11	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	-	-	-	-
12	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	-	-	-	-	-	-	-	-	-	-	-	-
13	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-
14	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	-	-	-	-	-	-	-	-
15	Corporate Securities - Bonds - (Taxable)	EPBT	-	-	-	-	-	-	-	-	-	-	-	-
16	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
17	Equity Shares (incl Co-op Societies)	OESH	-	-	-	-	-	-	-	-	-	-	-	-
18	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
19	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	-	-	-	-	-	-	-	-	-	-	-	-
20	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
	TOTAL		-	-			-	-			-	-		

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Chief Investment Officer

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No.	Category of Investment	Category Code	Current Quarter				Year to Date				Previous Year			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
A	GOVERNMENT SECURITIES													
	Central Government Bonds	CGSB	1,758	6	0.4	0.4	1,758	42	2.5	2.5	1,518	35	4.1	4.1
	Treasury Bills	CTRB	181	2	1.3	1.3	181	3	2.3	2.3	138	1	5.9	5.9
B	GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES													
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	743	7	1.1	1.1	743	21	4.1	4.1	425	7	4.0	4.0
C	HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT													
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	-	-	1	-	-	-	-	-	-
	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	729	8	1.5	1.5	729	8	2.2	2.2	-	-	-	-
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	460	1	0.6	0.6	460	1	0.6	0.6				
D	INFRASTRUCTURE INVESTMENTS													
	Infrastructure - PSU - Debentures / Bonds	IPTD	1,150	10	1.4	1.4	1,150	20	3.6	3.6	465	39	9.4	9.4
	Infrastructure/ Social Sector - Other Corporate Securities (approved investments) - Debentures/ Bonds	ICTD	-	-	-	-	-	-	-	-	-	-	-	-
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS													
	PSU - Equity shares - Quoted	EAEQ	16,951	2,405	17.6	17.6	16,951	3,644	33.6	33.6	7,561	3,543	56.3	56.3
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	80,200	8,807	13.3	13.3	80,200	10,234	17.0	17.0	54,810	13,430	57.8	57.8
	Corporate Securities - Bonds - (Taxable)	EPBT	551	4	0.9	0.9	551	14	3.5	3.5	286	24	10.0	10.0
	Deposits - Deposit with Scheduled Banks, Fls (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	1,208	27	1.5	1.5	1,208	51	3.6	3.6	1,229	50	12.0	12.0
	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	-	-	-	-	-	-	-	-
	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Debentures	ECOS	250	0	0.1	0.1	250	6	2.6	2.6	245	0	0.1	0.1
	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	-	-	-	-	-	-	-	-	-	-	-	-
	Net Current Assets (Only in respect of ULIP Business)	ENCA	2,901	-	-	-	2,901	-	-	-	4,730	-	-	-
F	OTHER INVESTMENTS													
	Equity Shares (incl Co-op Societies)	OESH	7,100	775	11.4	11.4	7,100	641	9.7	9.7	6,375	1,942	50.2	50.2
	Short term Loans (Unsecured Deposits)	OSLU	584	12	2.0	2.0	584	23	4.1	4.1	519	4	2.7	2.7
	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	1,765	268	35.3	35.3	1,765	96	11.5	11.5	836	36	3.4	3.4
	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	90	8	0.9	0.9	90	6	0.8	0.8	65	32	2.9	2.9
	Mutual Funds - (under Insurer's Promoter Group)	OMPG	0	0	-	-	0	2	1.2	1.2	27	1	0.4	0.4
	TOTAL		116,622	12,342			116,622	14,814			79,230	19,144		

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2 Yield netted for Tax

3 FORM-1 shall be prepared in respect of each fund